

# Schnyder woods generalized to higher genus

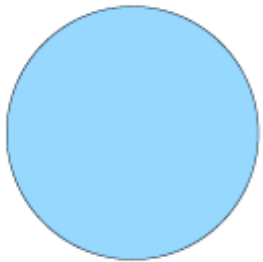
Eric Fusy (Ecole Polytechnique, Paris)

joint work with Luca Castelli Aleardi and Thomas Lewiner

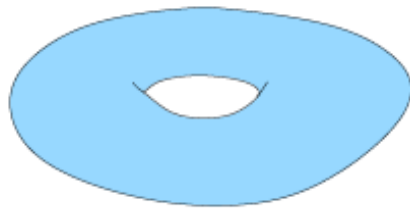
# Combinatorics of maps

# Surfaces

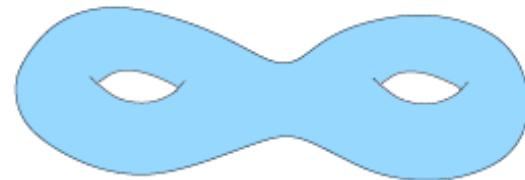
- All surfaces here are **closed** and **orientable**
- Classification: one surface in **each genus  $g$**



$g=0$  (sphere)



$g=1$  (torus)

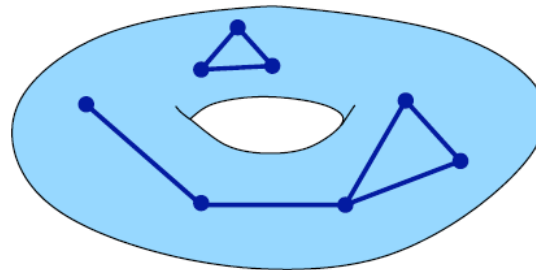
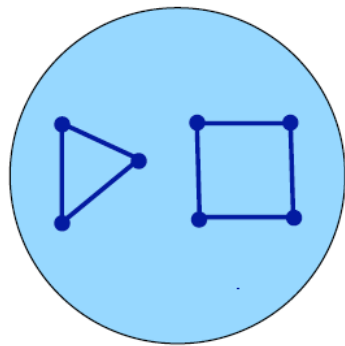


$g=2$

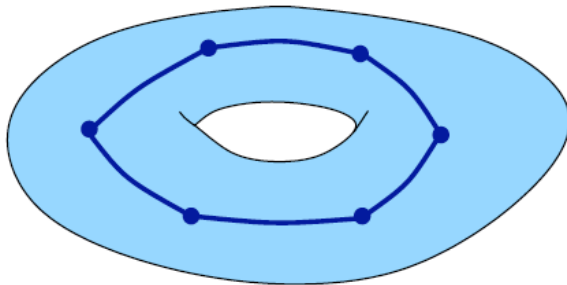
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# Graphs on surfaces, maps

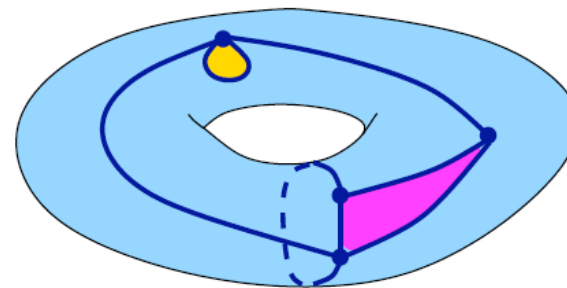
- Graph on surface = graph  $G$  embedded on a surface  $S_g$   
(no edge-crossings)



- $G$  is a map if the components of  $G \setminus S_g$  are topological disks



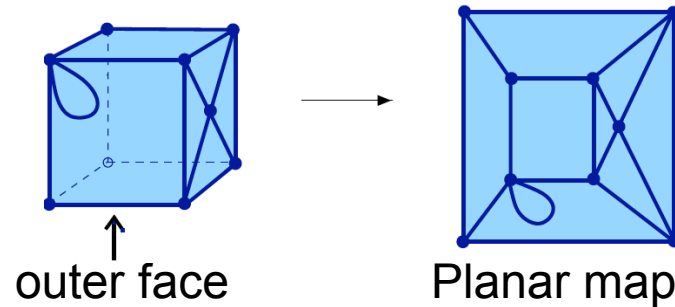
Not a map  
(cylindric component)



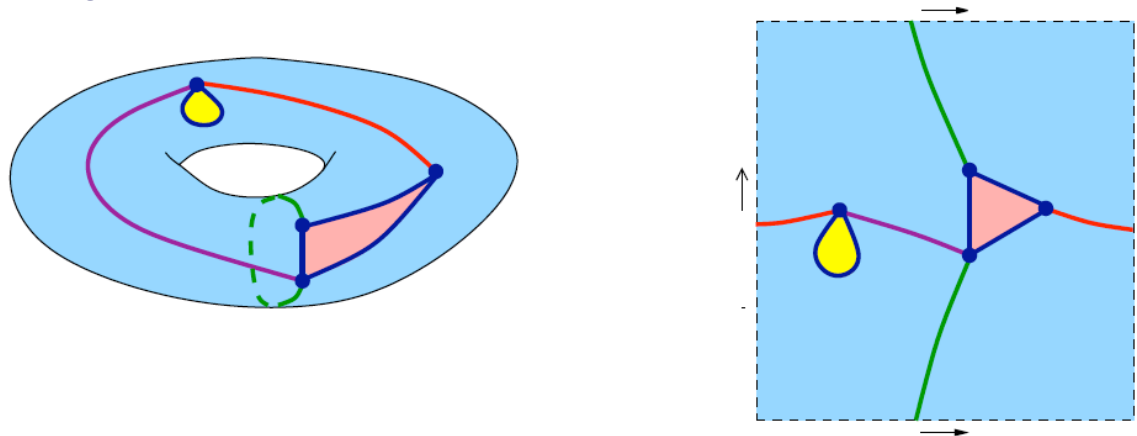
A map  
(3 faces)

# How to display a map ?

- $g=0$ : project on the plane



- $g=1$  :  $S_g$  like a **square** with identified opposite sides

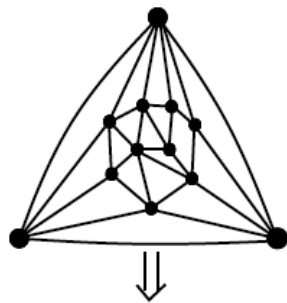


- $g>1$  :  $S_g$  like a  **$4g$ -polygon** + identifications of sides

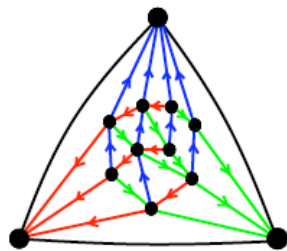
# Enumeration of planar maps

- Strikingly simple counting formulas

Triangulations

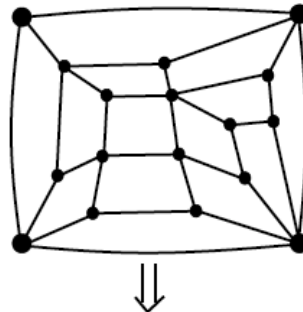


3 spanning trees

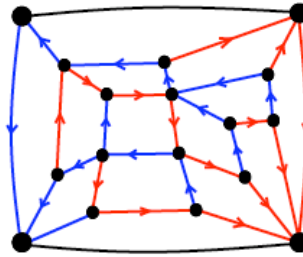


$$|\mathcal{T}_n| = \frac{2(4n-3)!}{n!(3n-2)!}$$

Quadrangulations

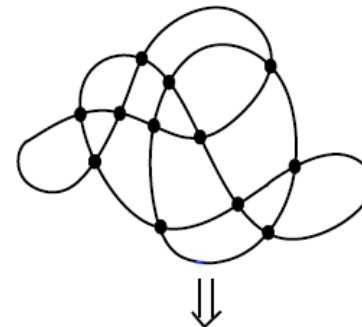


2 spanning trees

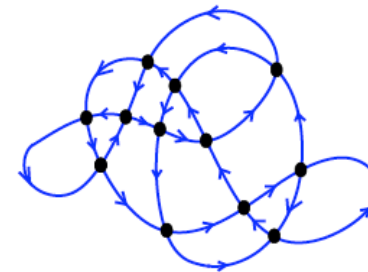


$$|\mathcal{Q}_n| = \frac{2(3n-3)!}{n!(2n-2)!}$$

Tetravalent



eulerian orientation



$$|\mathcal{E}_n| = \frac{2 \cdot 3^n (2n)!}{n!(n+2)!}$$

- Recursive method:** [Tutte 60's]
- Bijective method:** [Cori-Vauquelin'84], [Schaeffer'97]  
(bijections rely on combinatorial structures: orientations,...)

# Counting maps in higher genus

- No exact counting formula known, but
  - Can write recurrences [Bender-Canfield'84]
  - Some bijections work [Chapuy-Marcus-Schaeffer'98]
  - Simple asymptotic pattern [Bender-Canfield'86, Gao'93]

$\mathcal{M} = \cup_{g,n} \mathcal{M}_g[n]$  a map family (e.g. triangulations)

$$\text{Then } |\mathcal{M}_g[n]| \underset{n \rightarrow \infty}{\sim} c_g \gamma^n n^{5(g-1)/2}.$$

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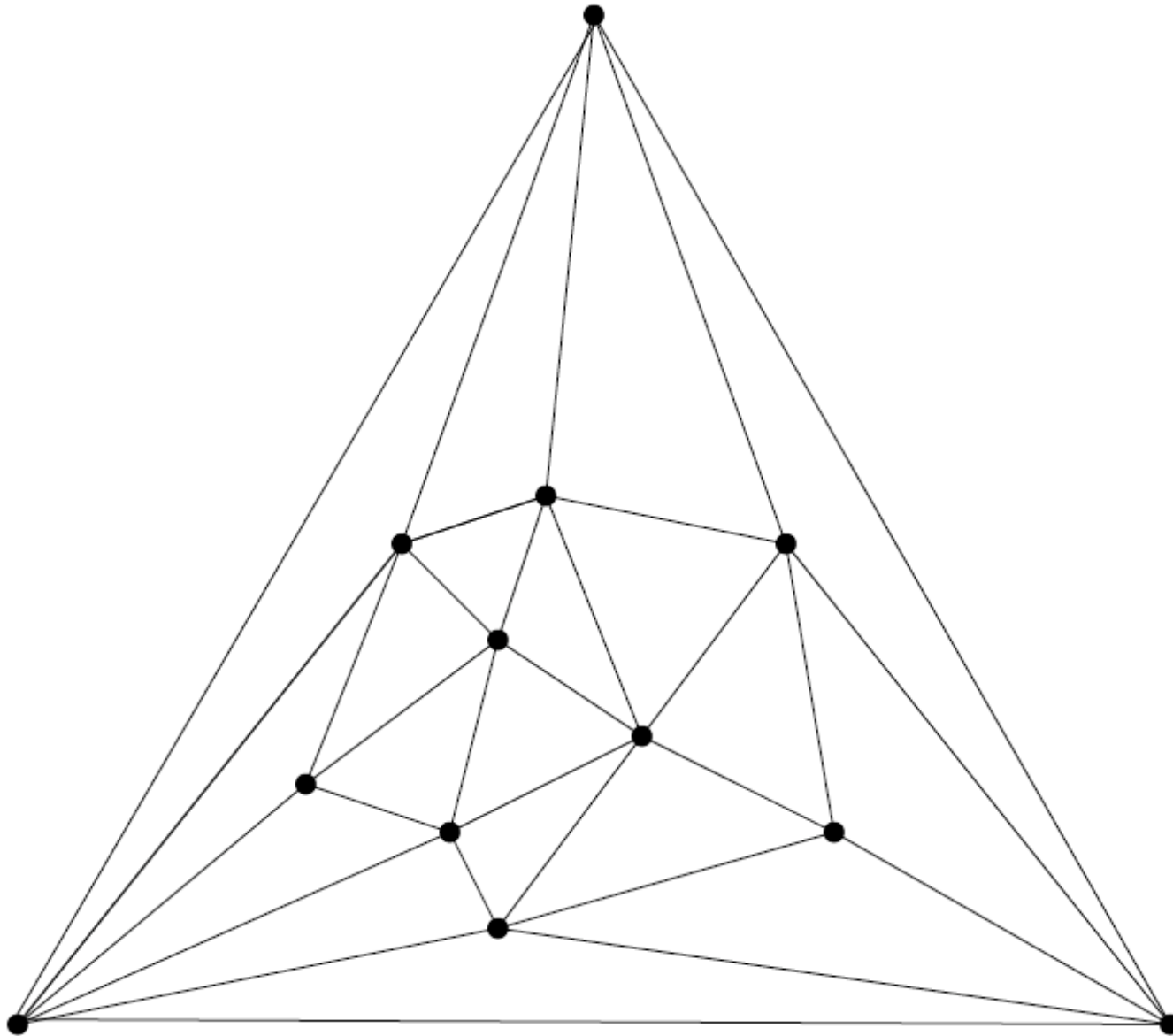
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- 'is like' can be made rigorous in some cases:
  - Counting maps with a unique face [Chapuy'08]
  - **This talk:** Schnyder woods can be extended to genus  $g > 0$  by allowing  $\Theta(g)$  'special edges' [Castelli, F, Lewiner'08]

# Schnyder woods for planar triangulations

# Planar triangulations

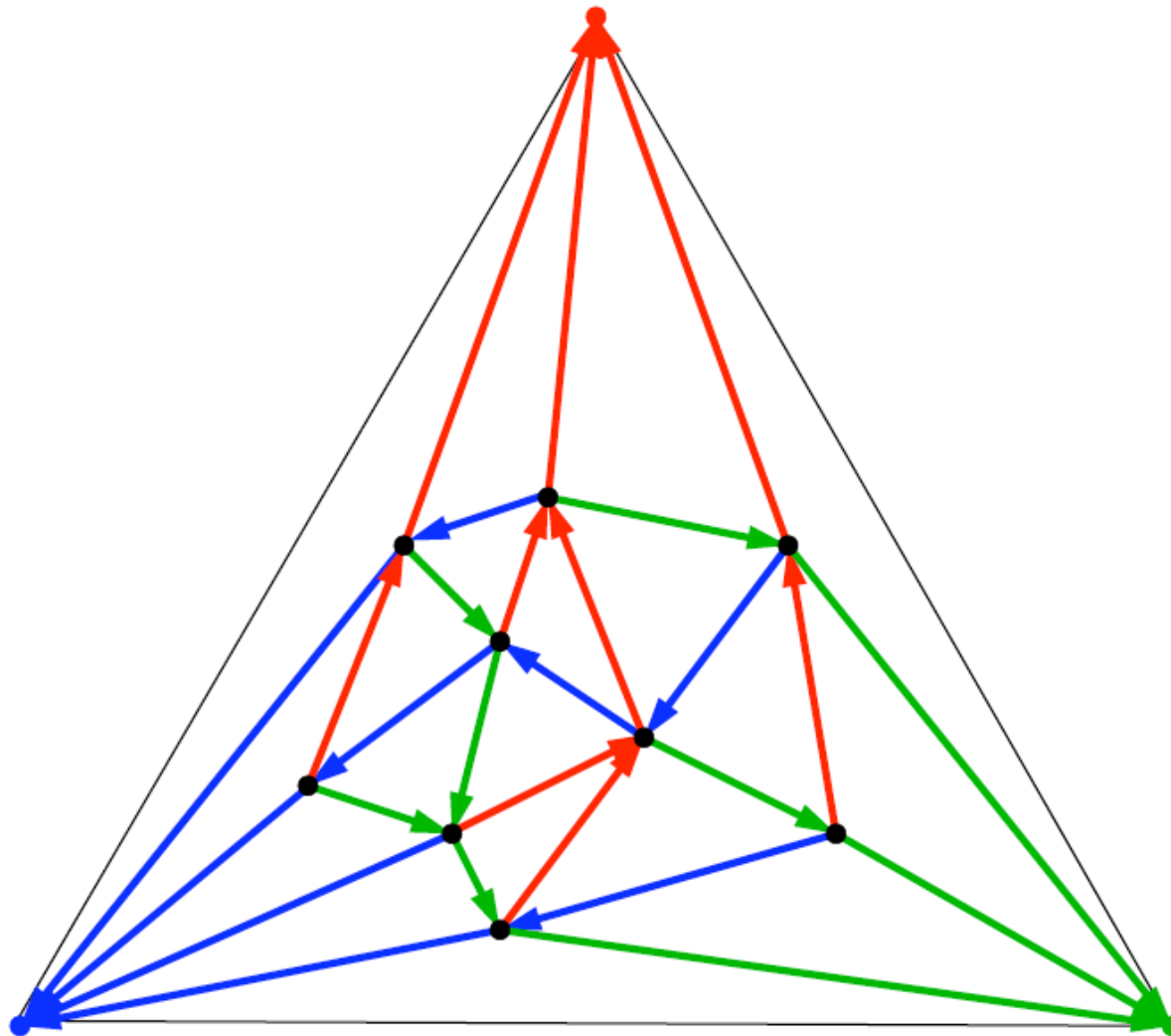


$n$  inner vertices

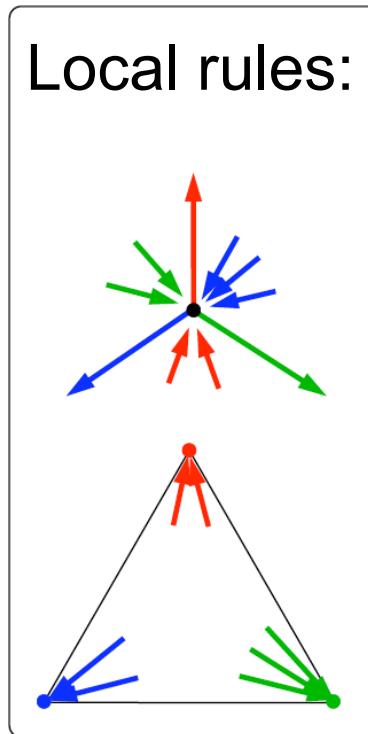


$3n$  inner edges

# Definition of Schnyder woods



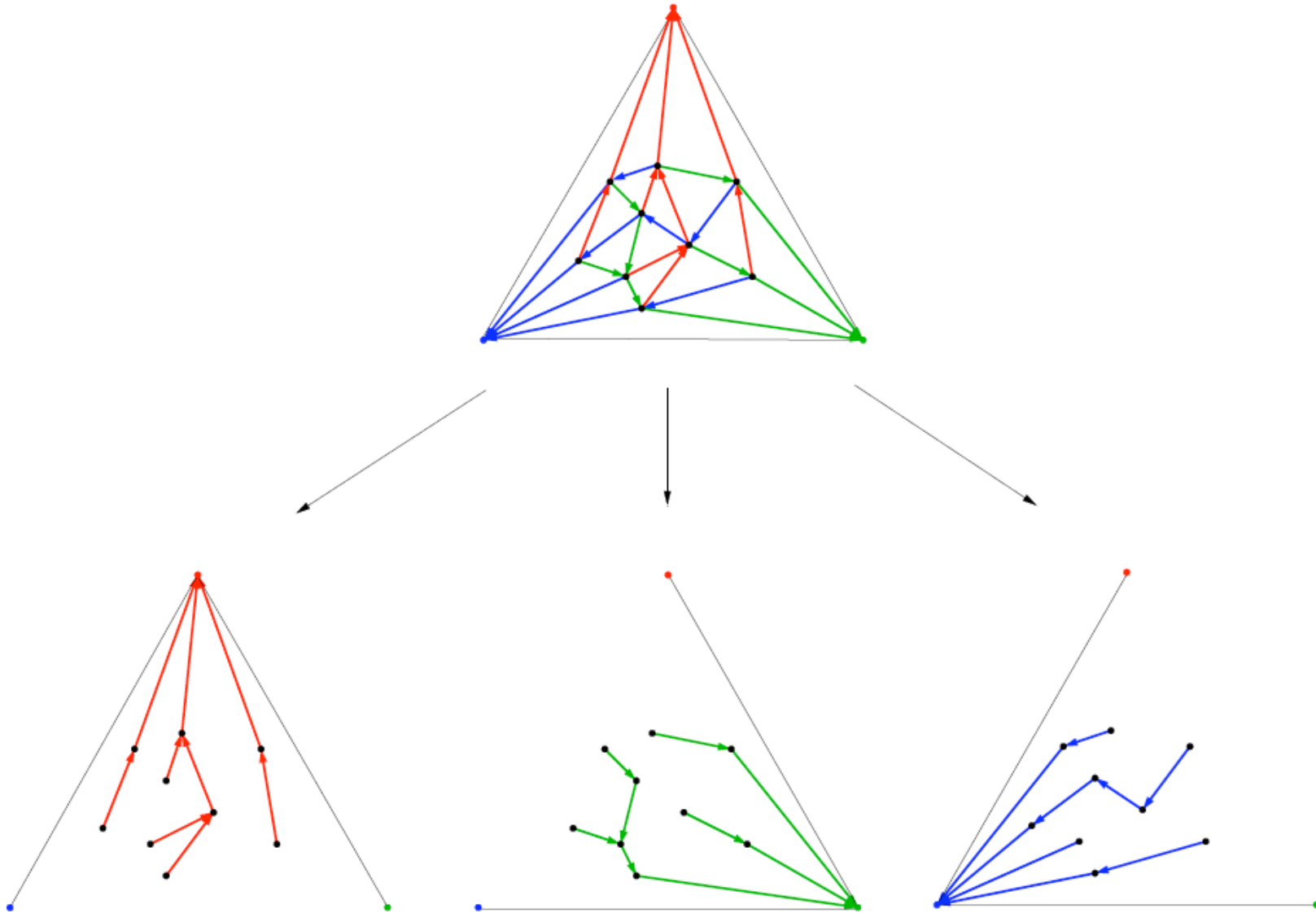
Each inner edge is directed and colored in red, green or blue



- Every planar triangulation admits a Schnyder wood [Schnyder'89]

# Fundamental property

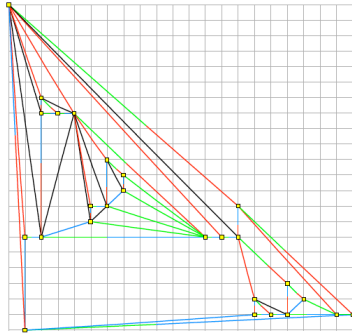
- Schnyder wood  $\rightarrow$  3 spanning trees (one for each color)



# Applications of Schnyder woods

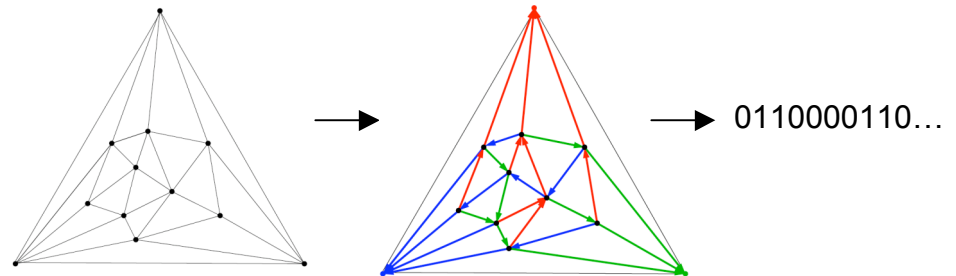
## Graph drawing

[Schnyder'90, Bonichon-Felsner-Mosbah'04]



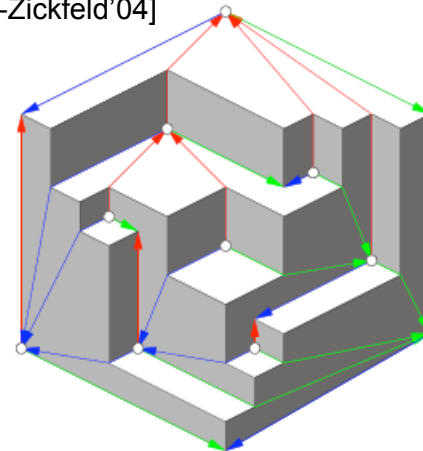
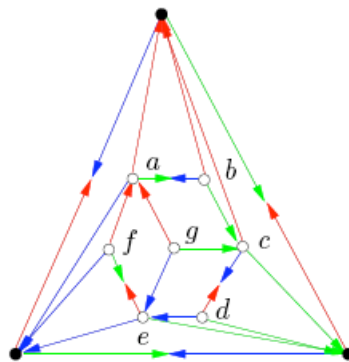
## Coding

[He-Kao-Lu'99, Bernardi-Bonichon'07, Poulalhon-Schaeffer'03]



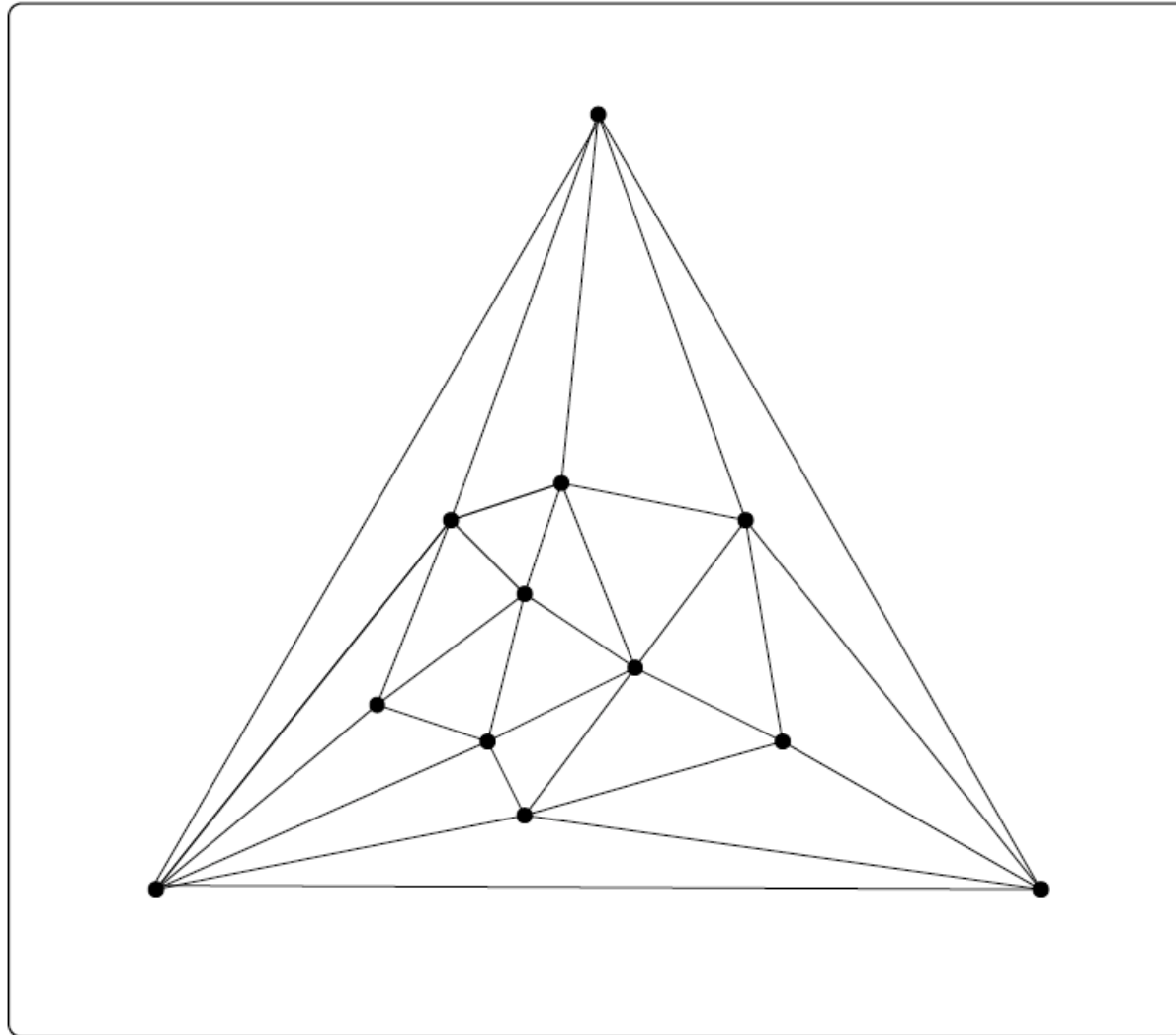
## Planarity criterion

[Schnyder'89, Felsner-Zickfeld'04]



$$G = (V, E) \text{ planar} \Leftrightarrow (V \cup E, \subseteq) \text{ has dimension } \leq 3$$

# Computing a Schnyder wood

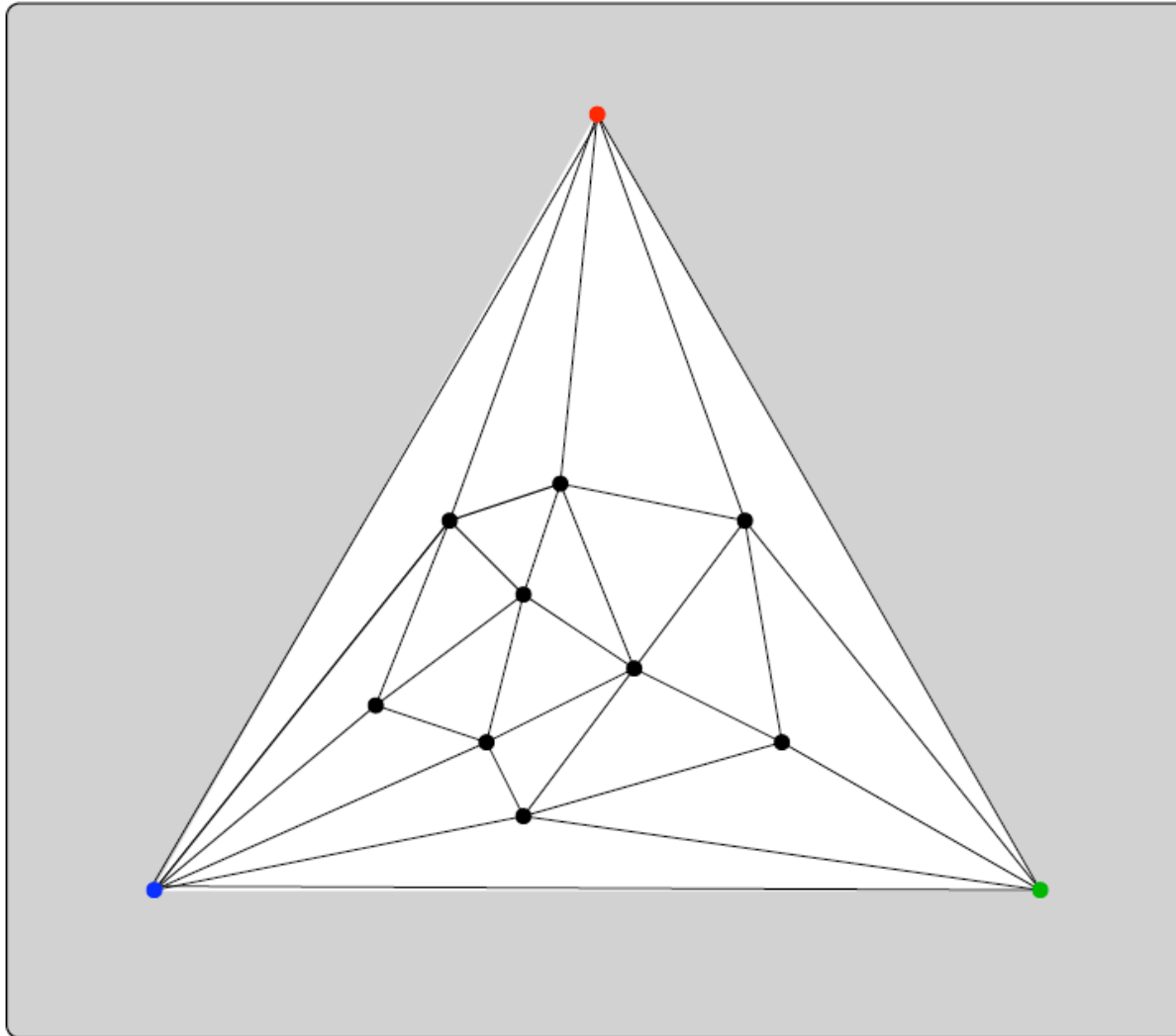


Traversal algorithm:  
the faces are  
conquered  
progressively

[Schnyder'89]  
reformulated by  
[Brehm'03]

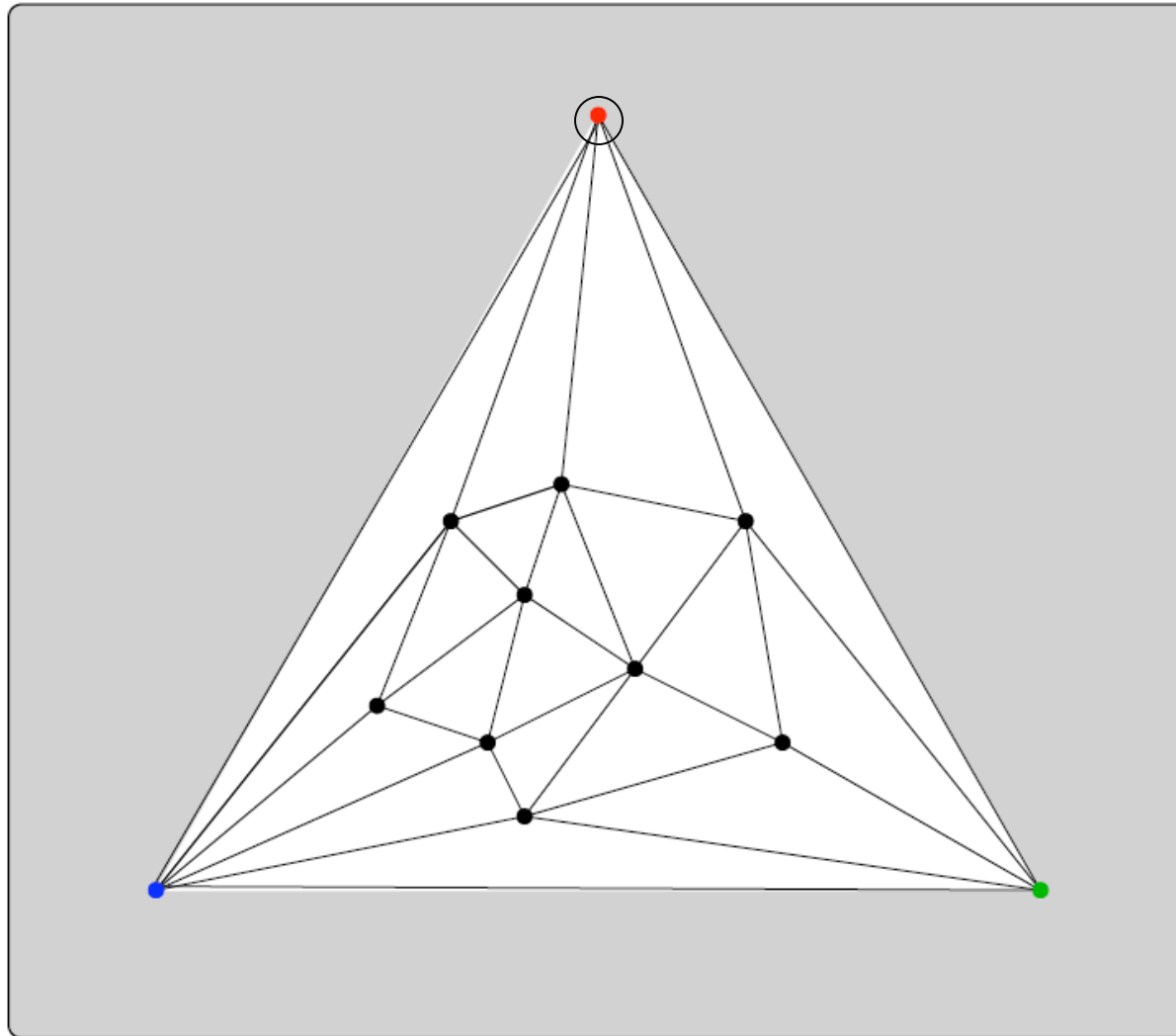


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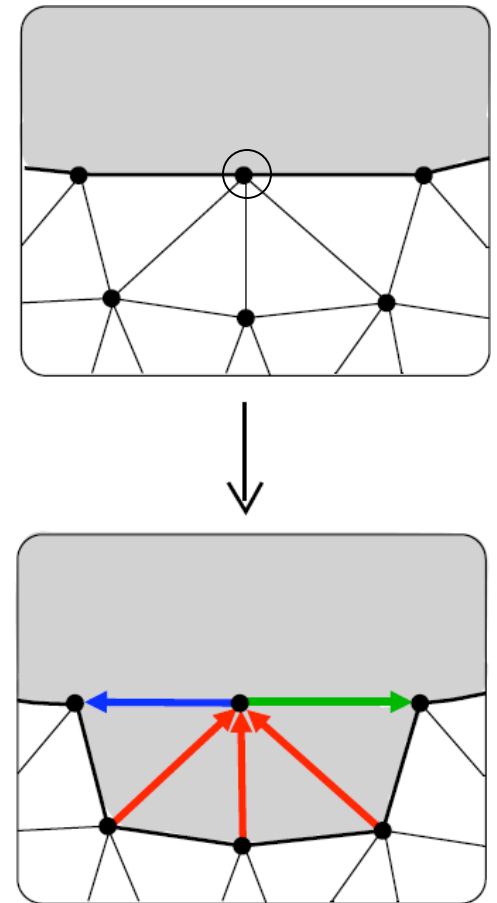


First step: Conquer  
The outer face

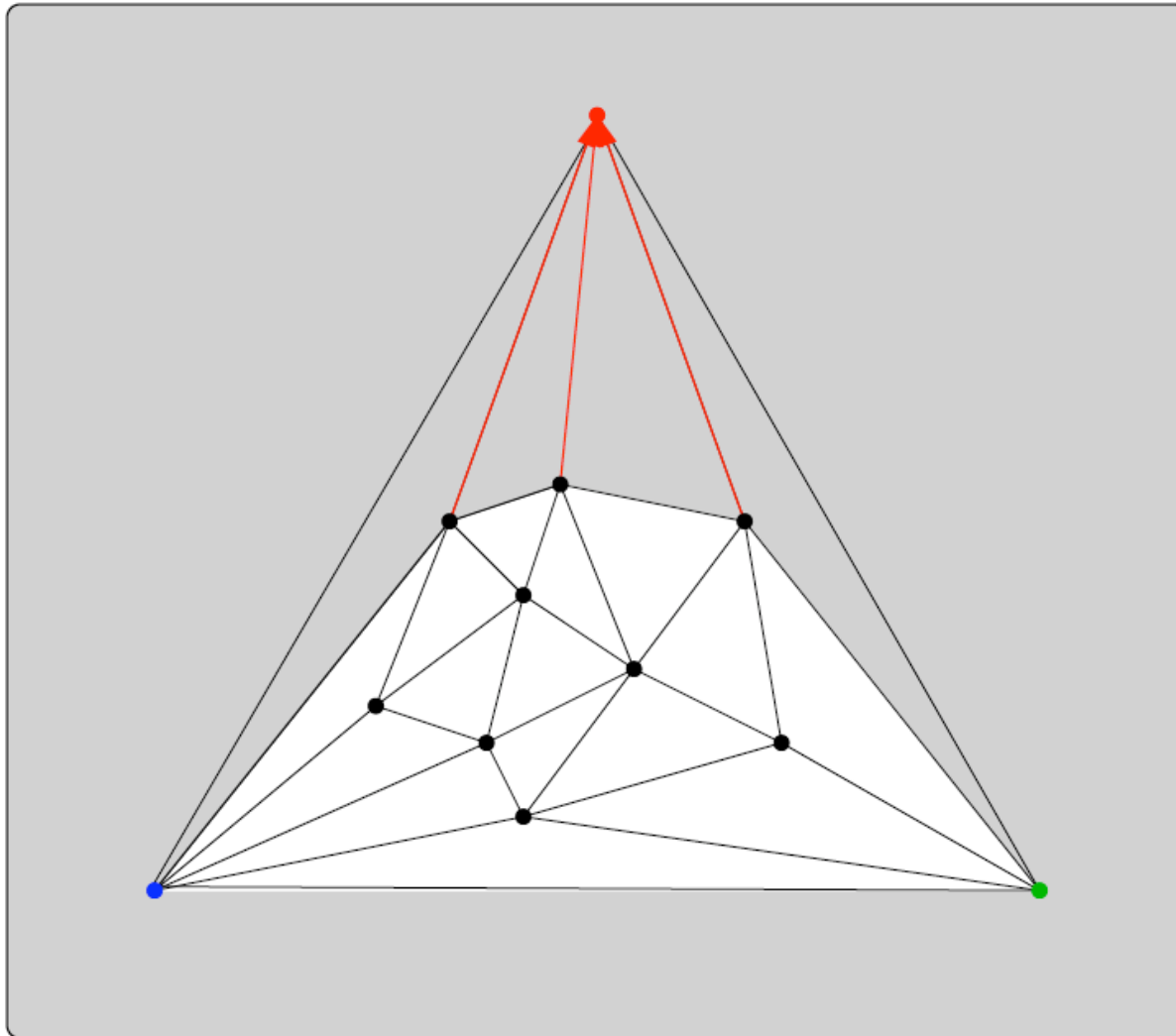
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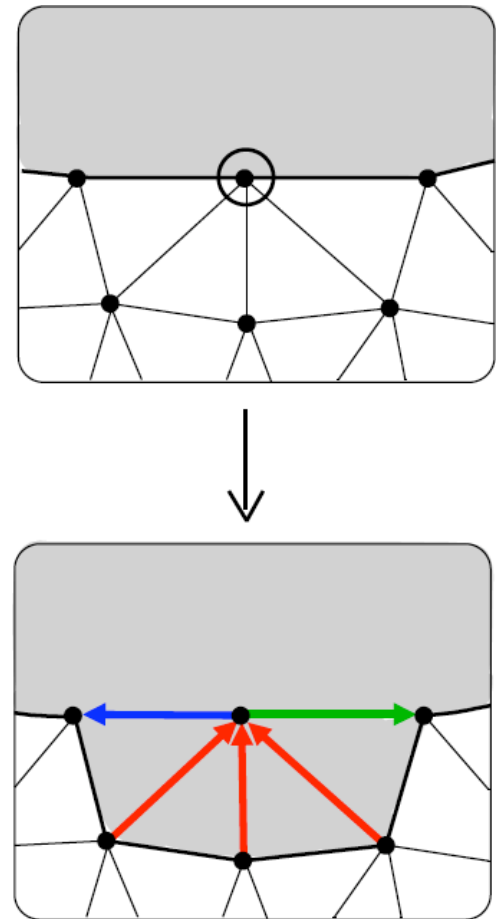
Conquest step:



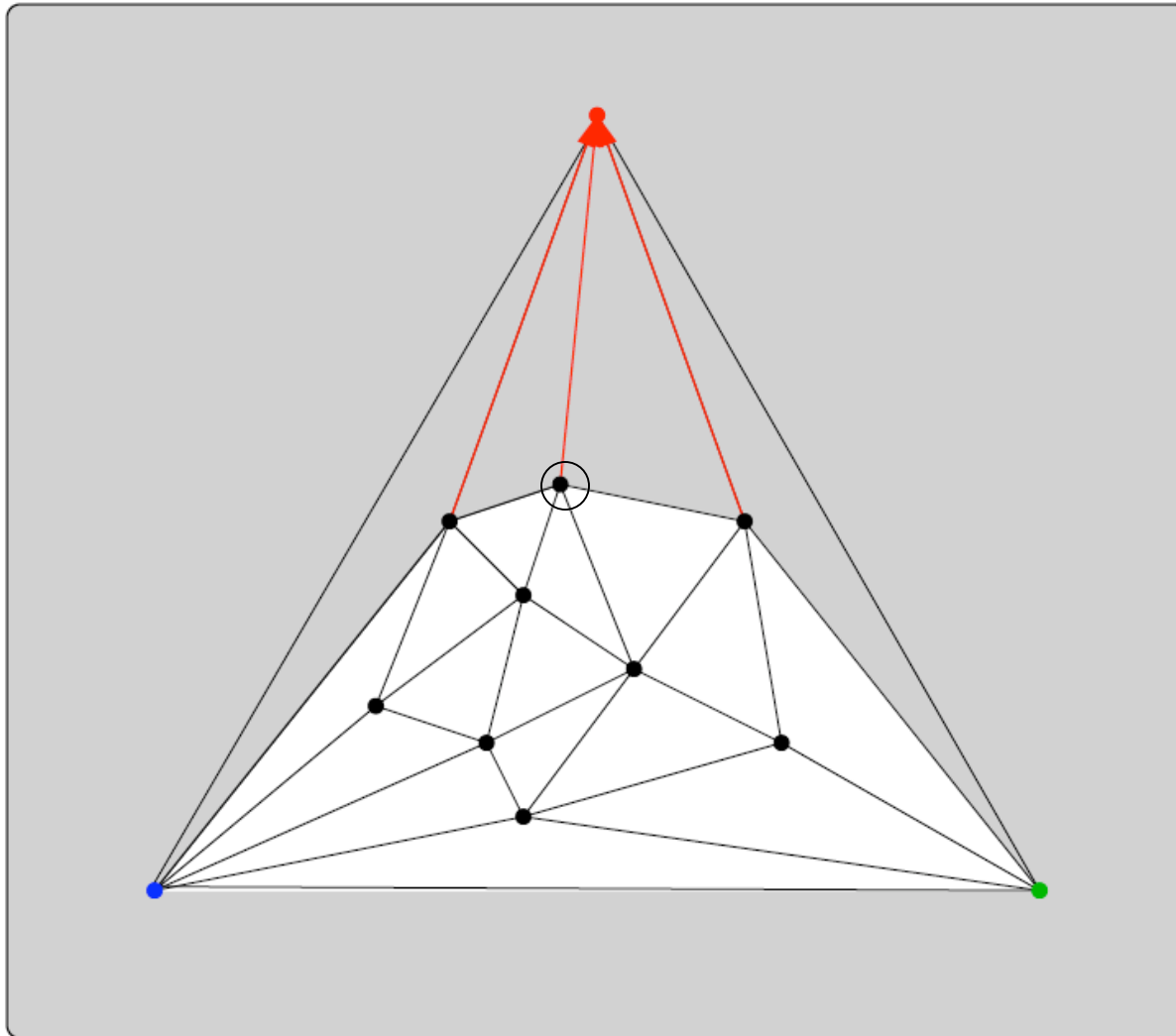
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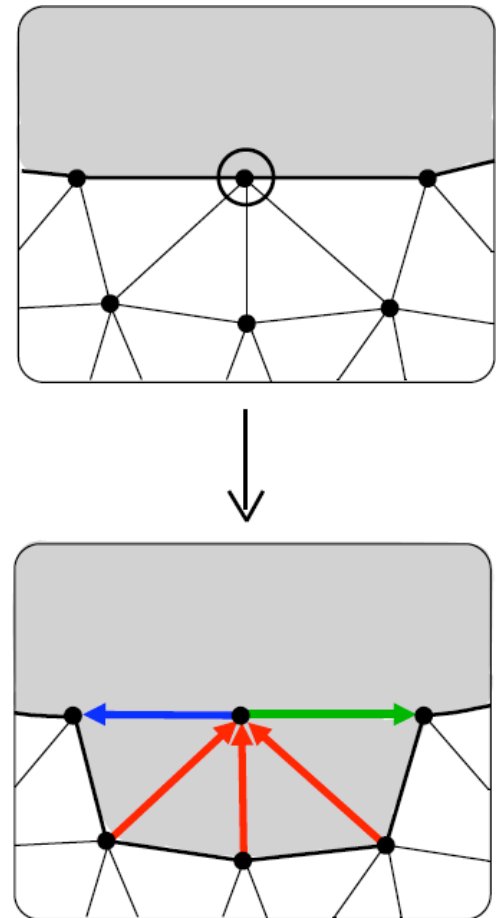
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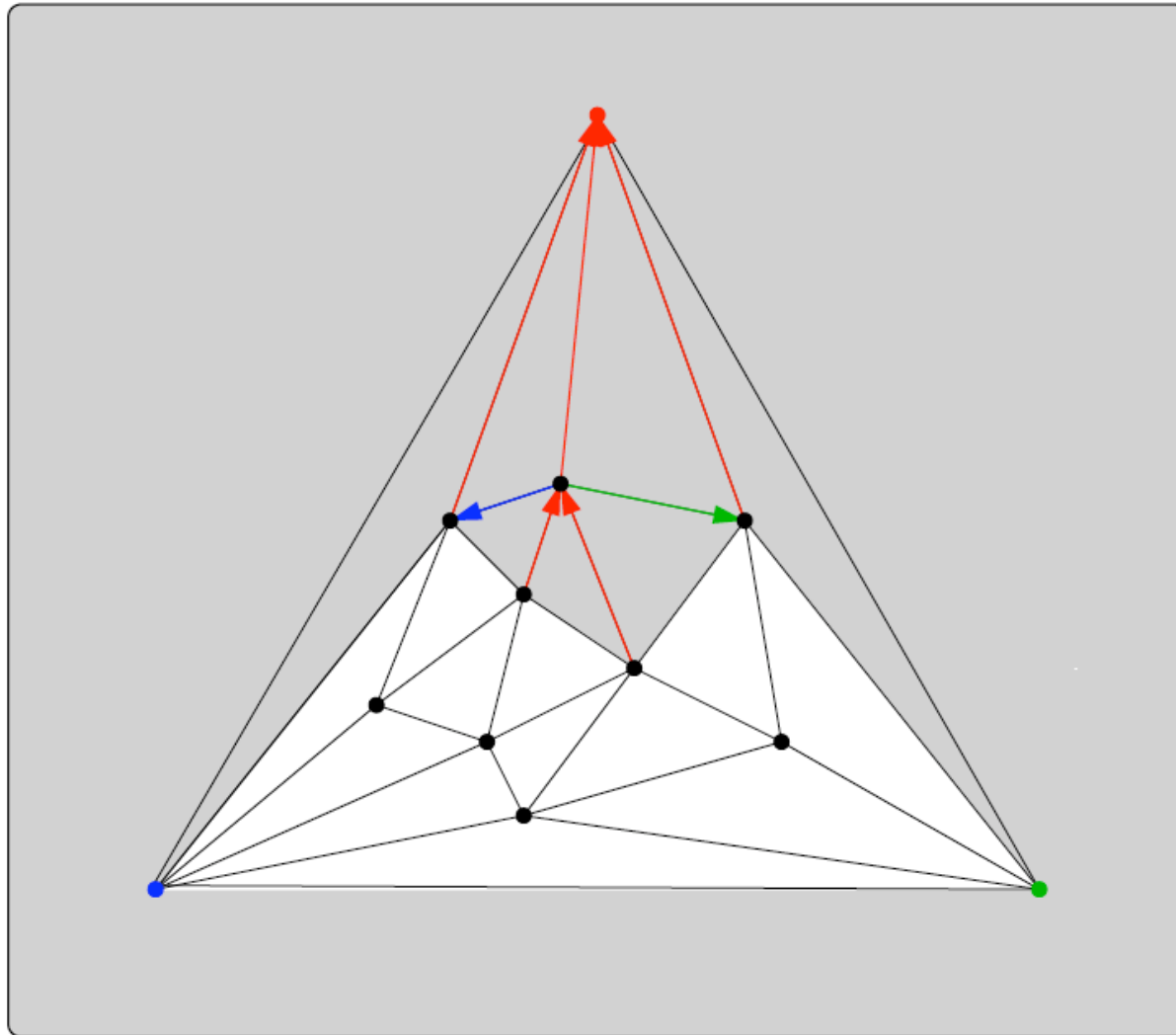
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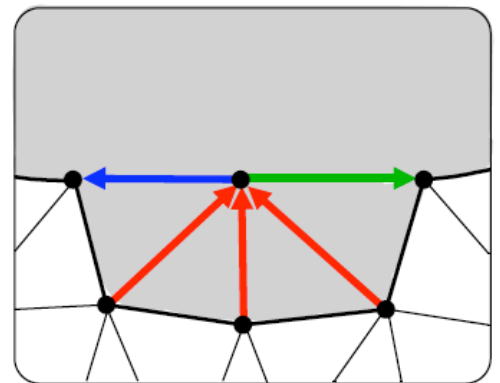
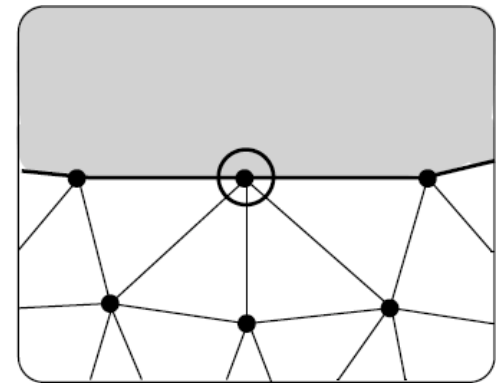
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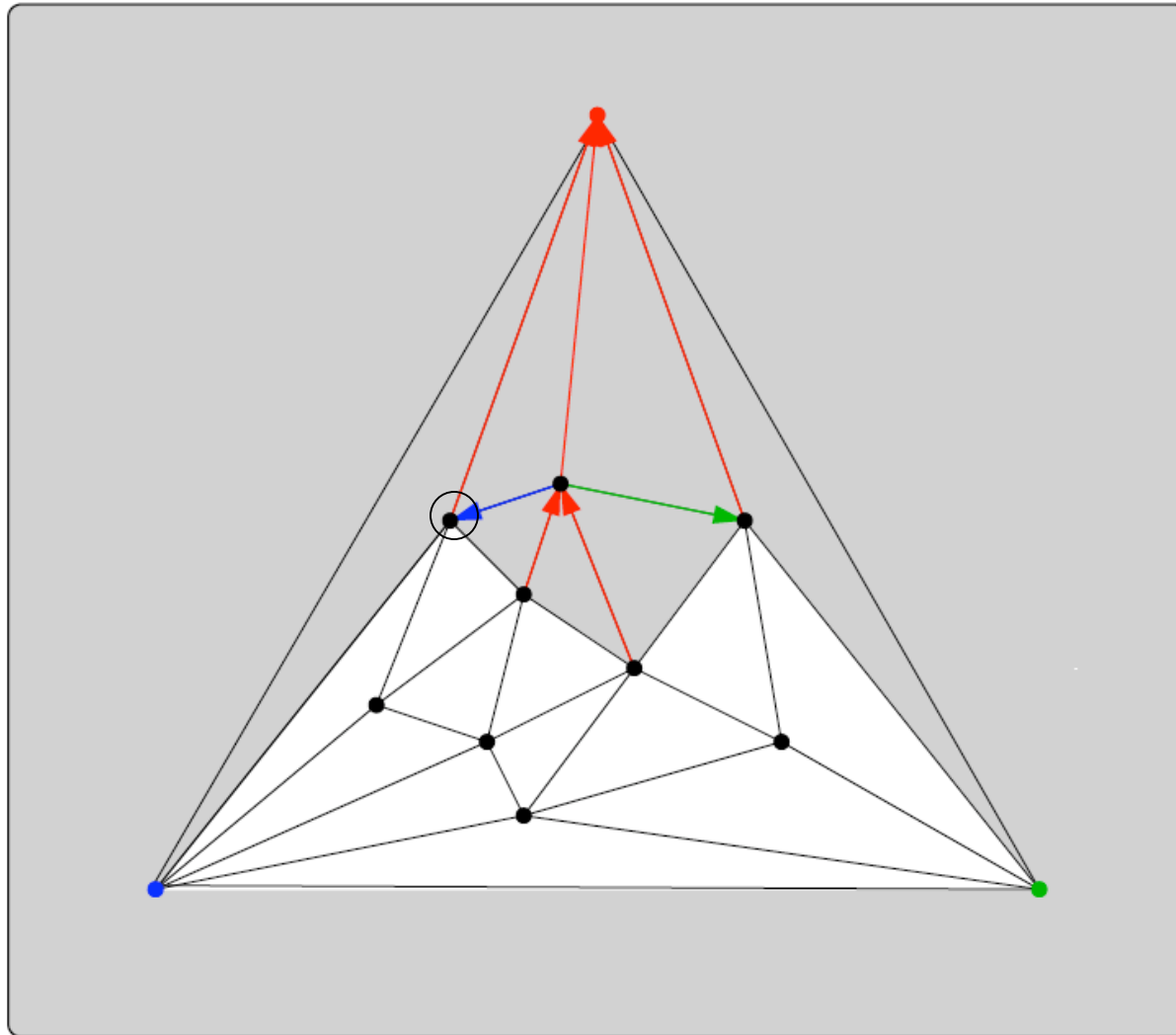
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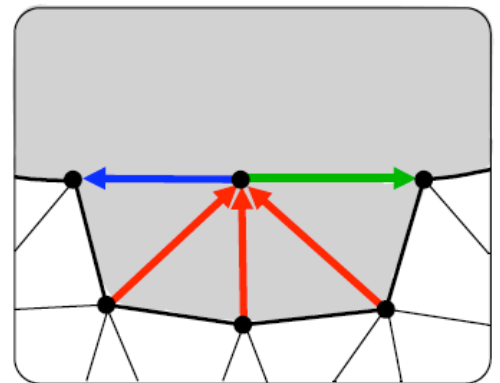
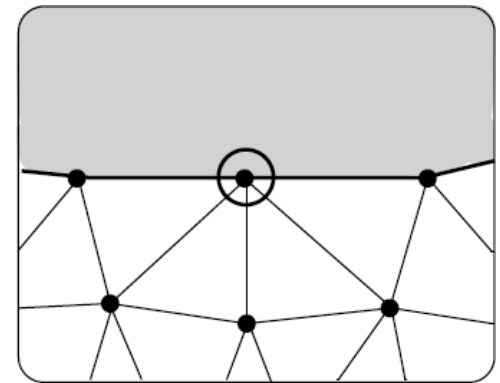
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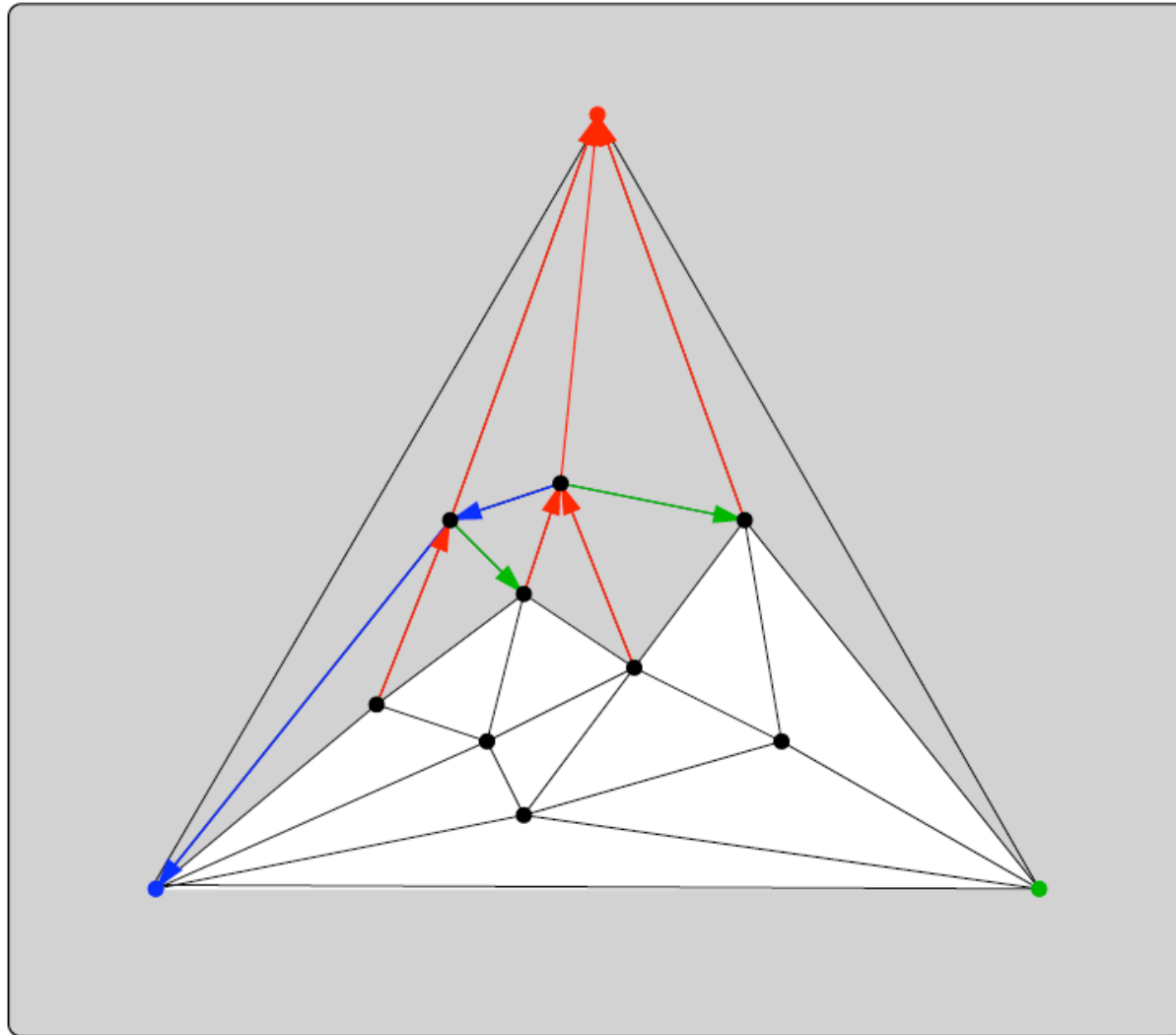
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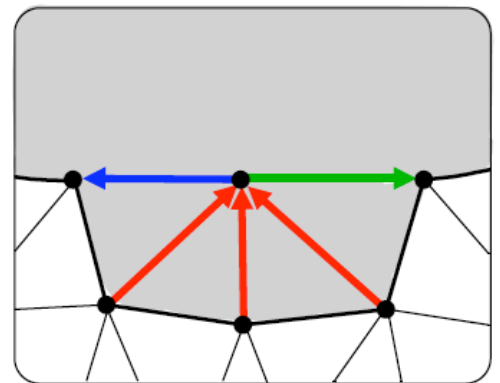
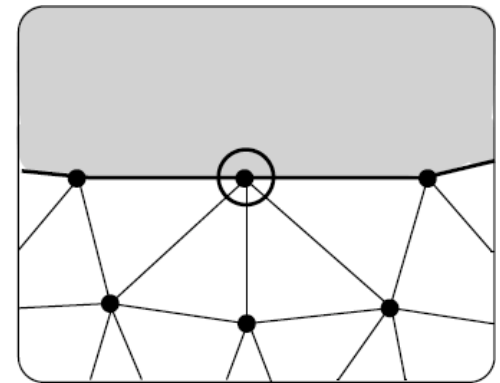
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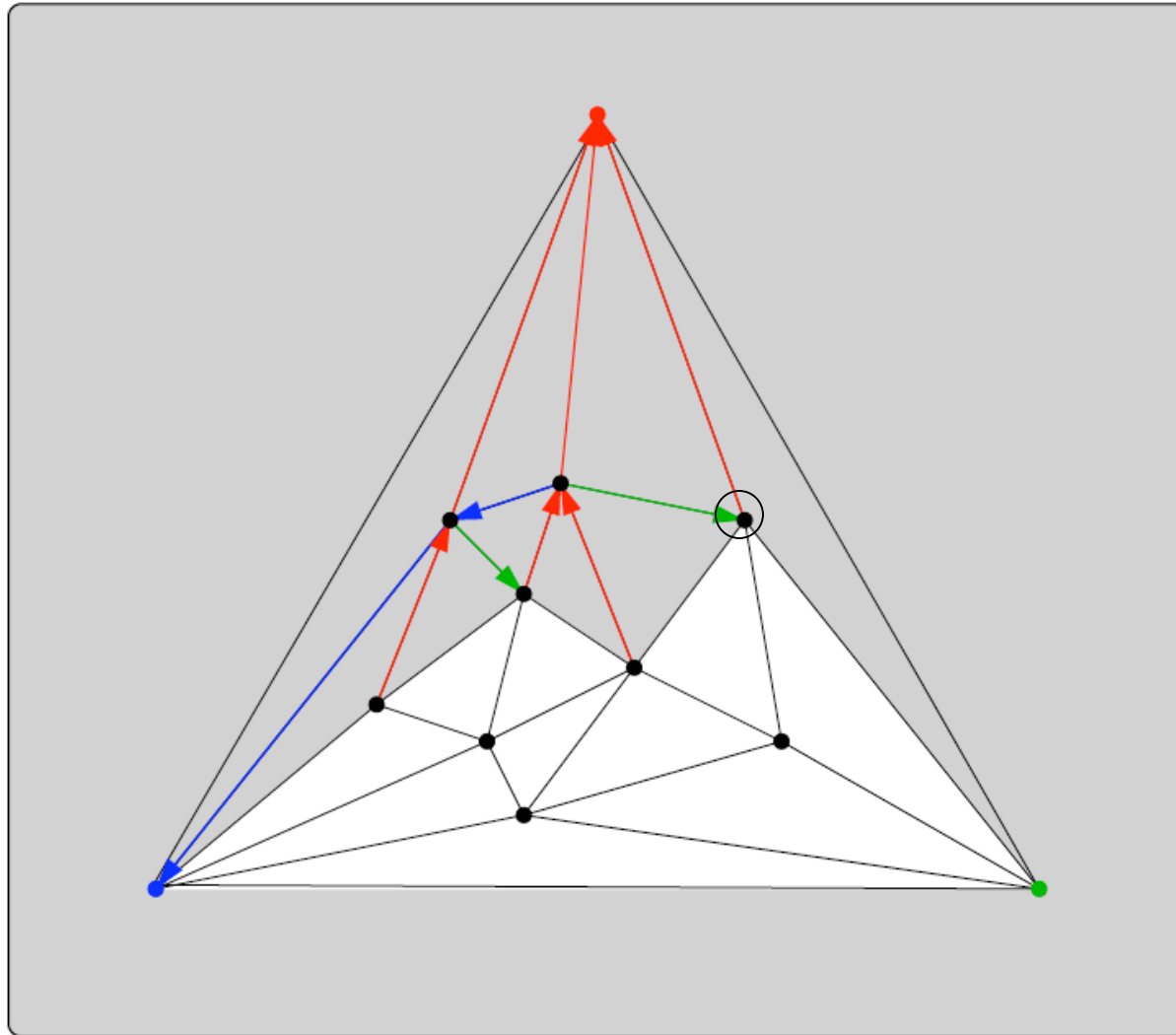
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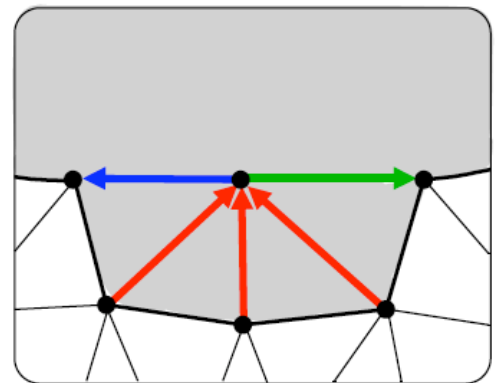
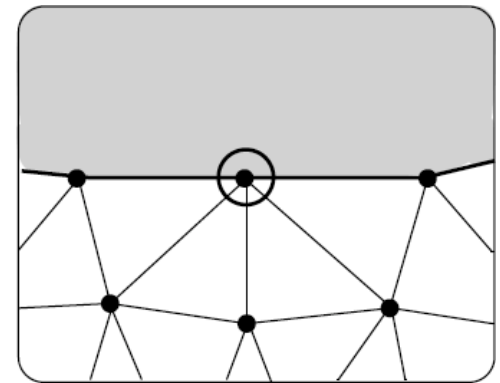
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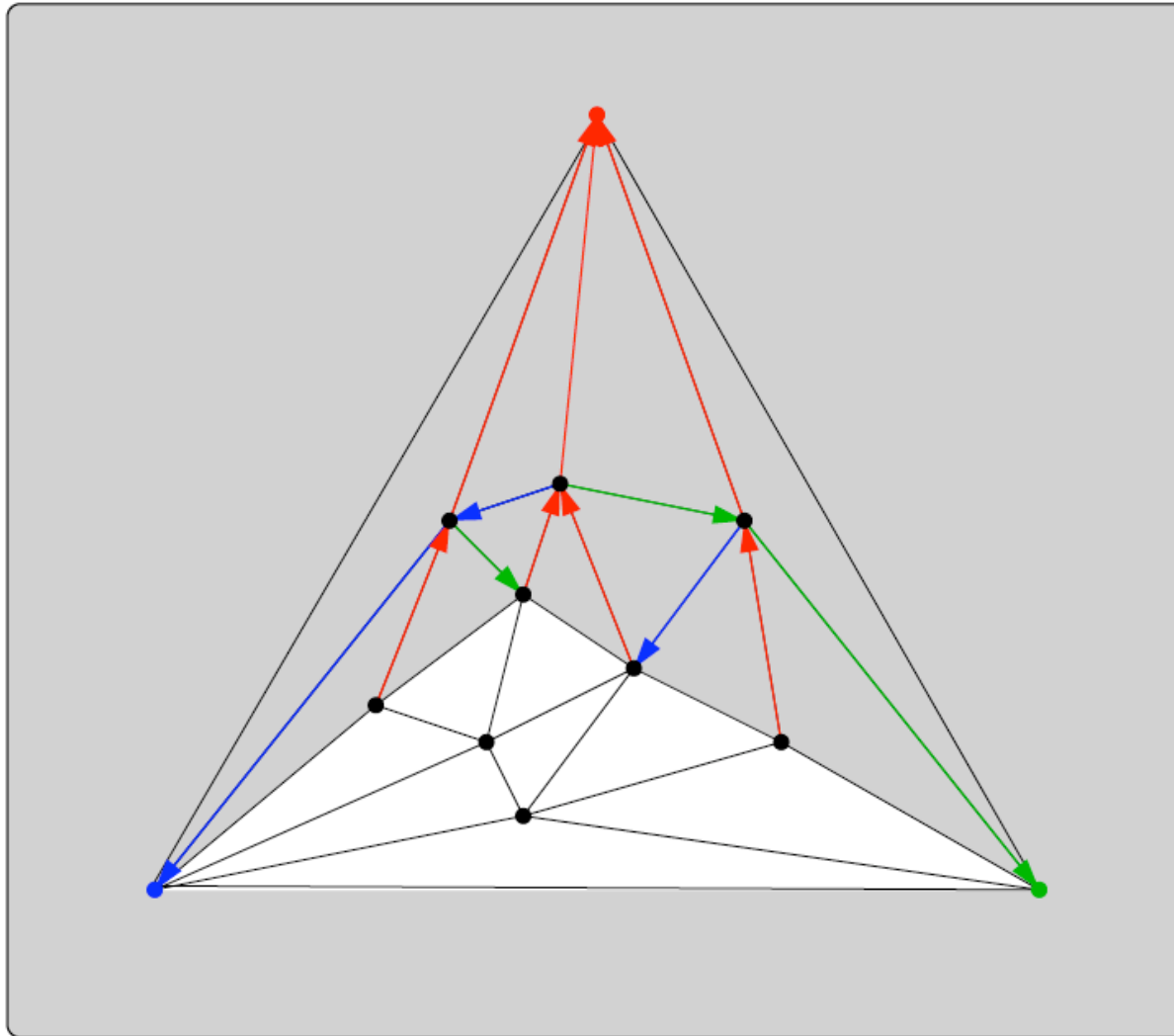


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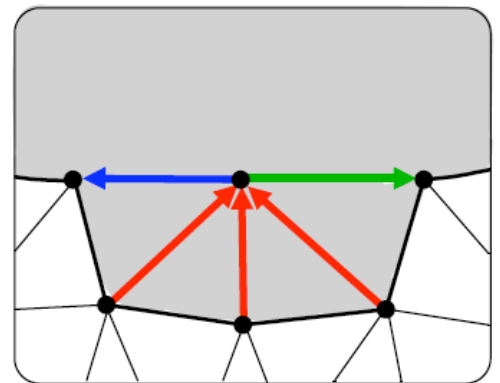
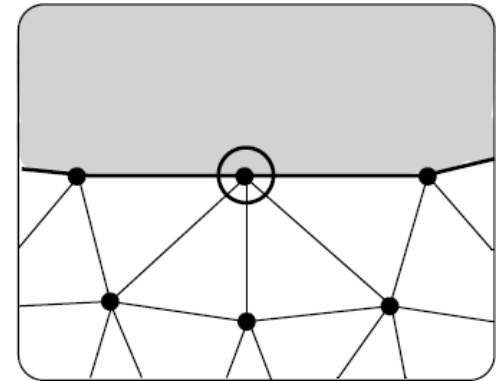




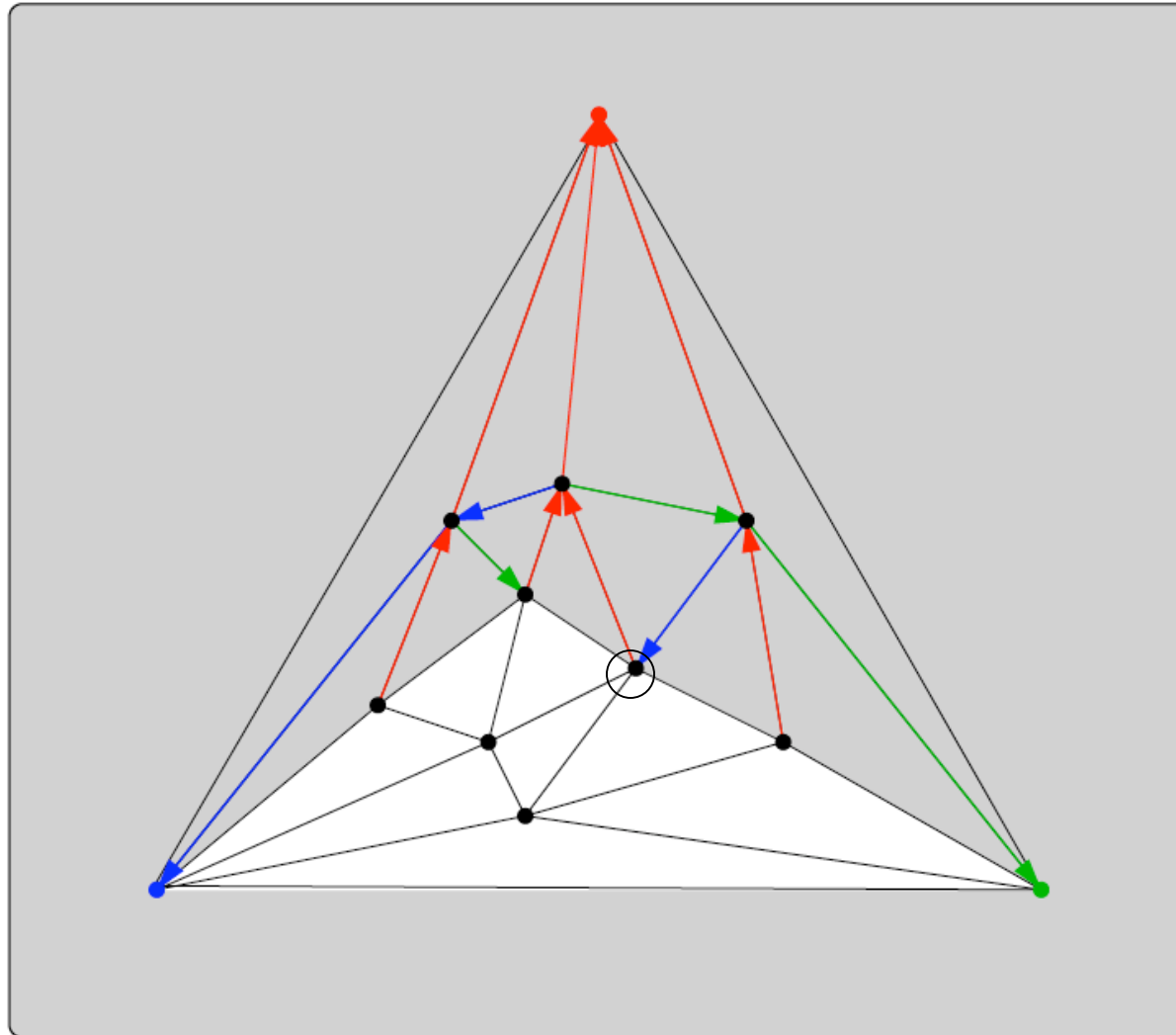
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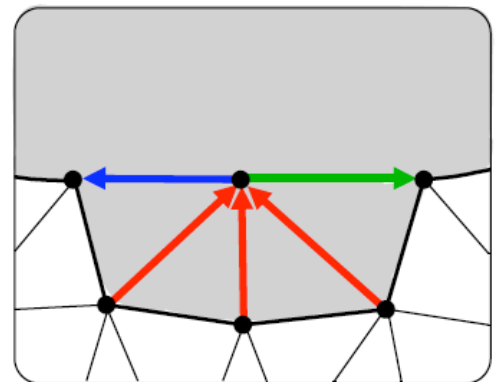
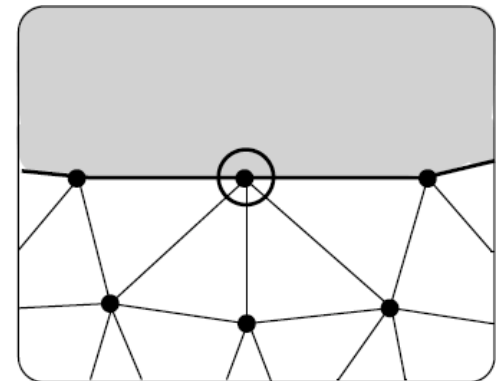
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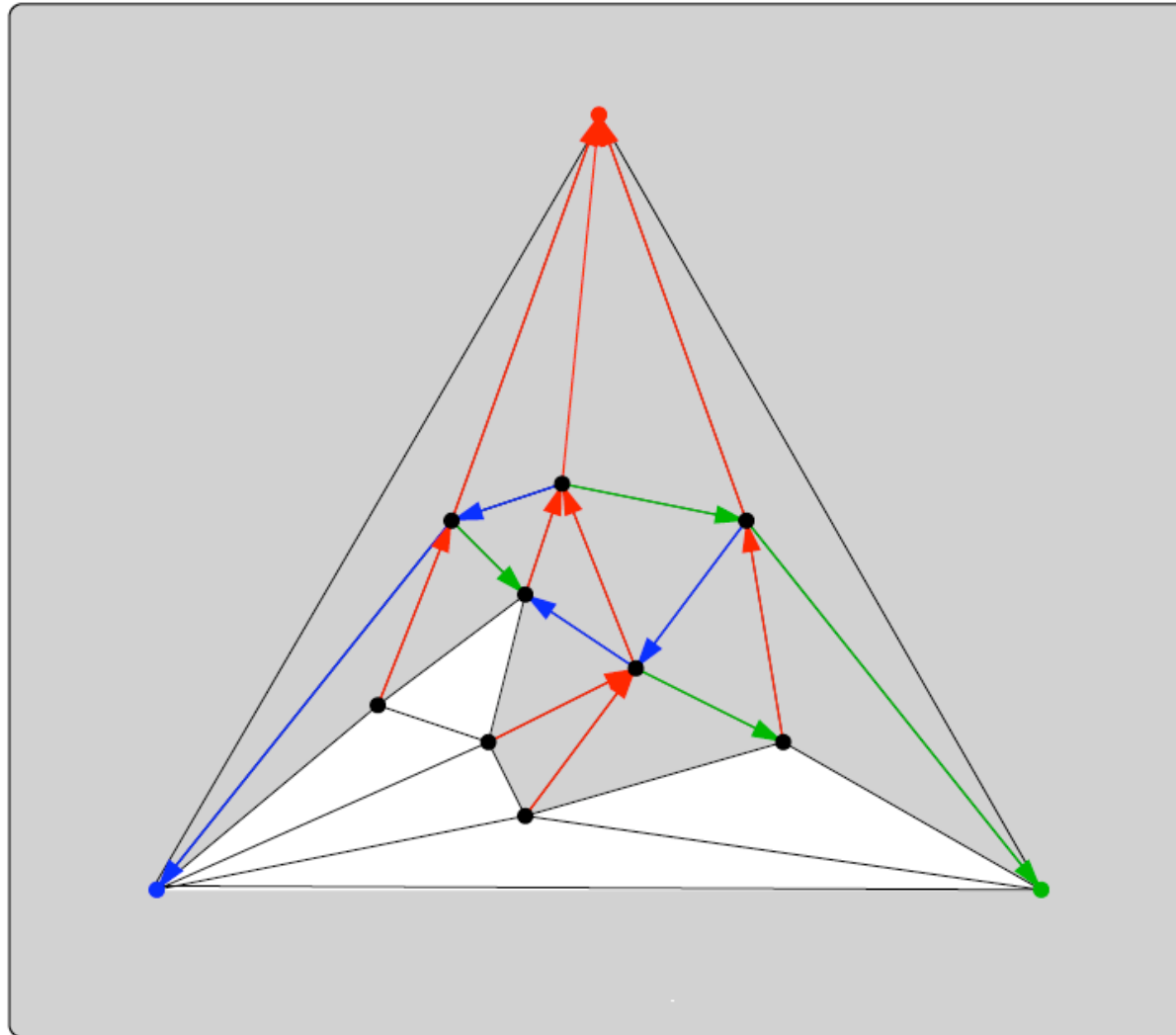
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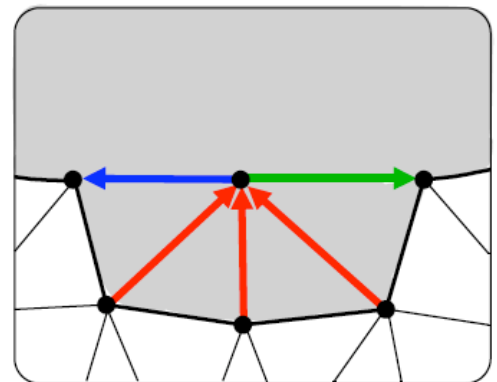
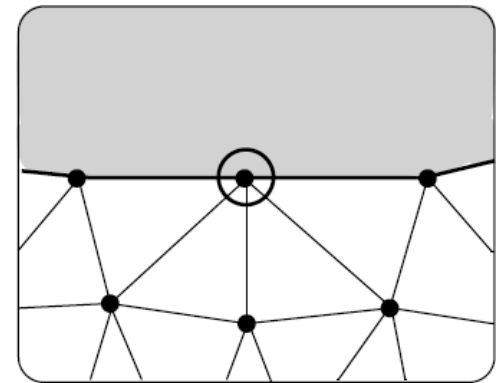
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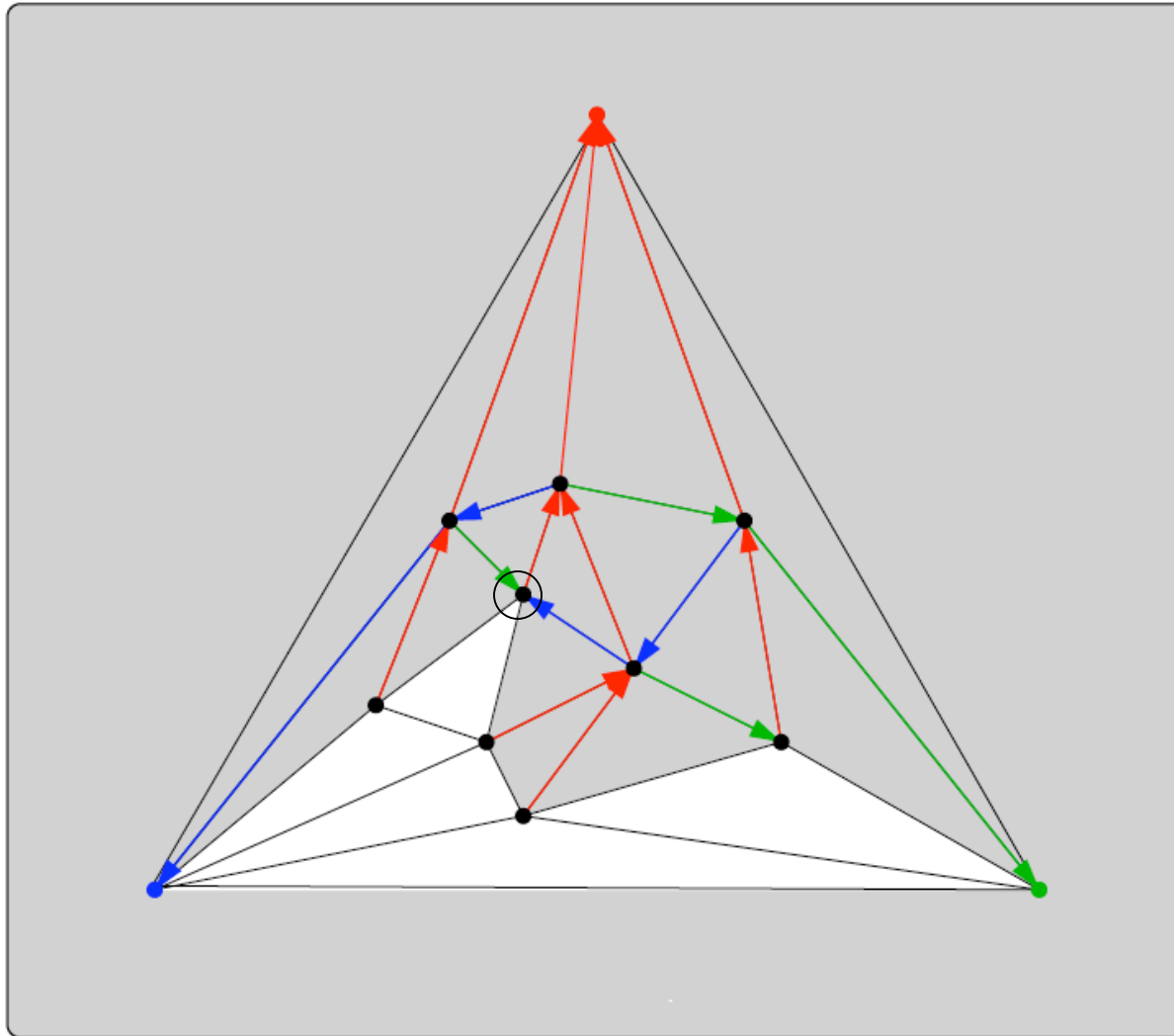
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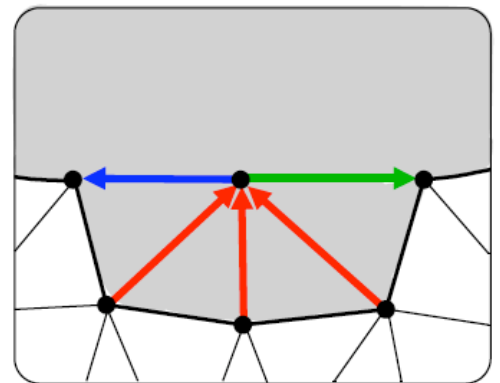
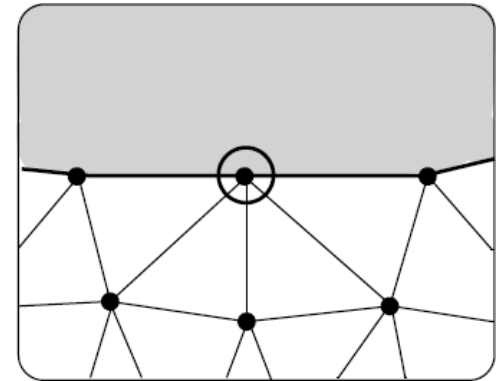
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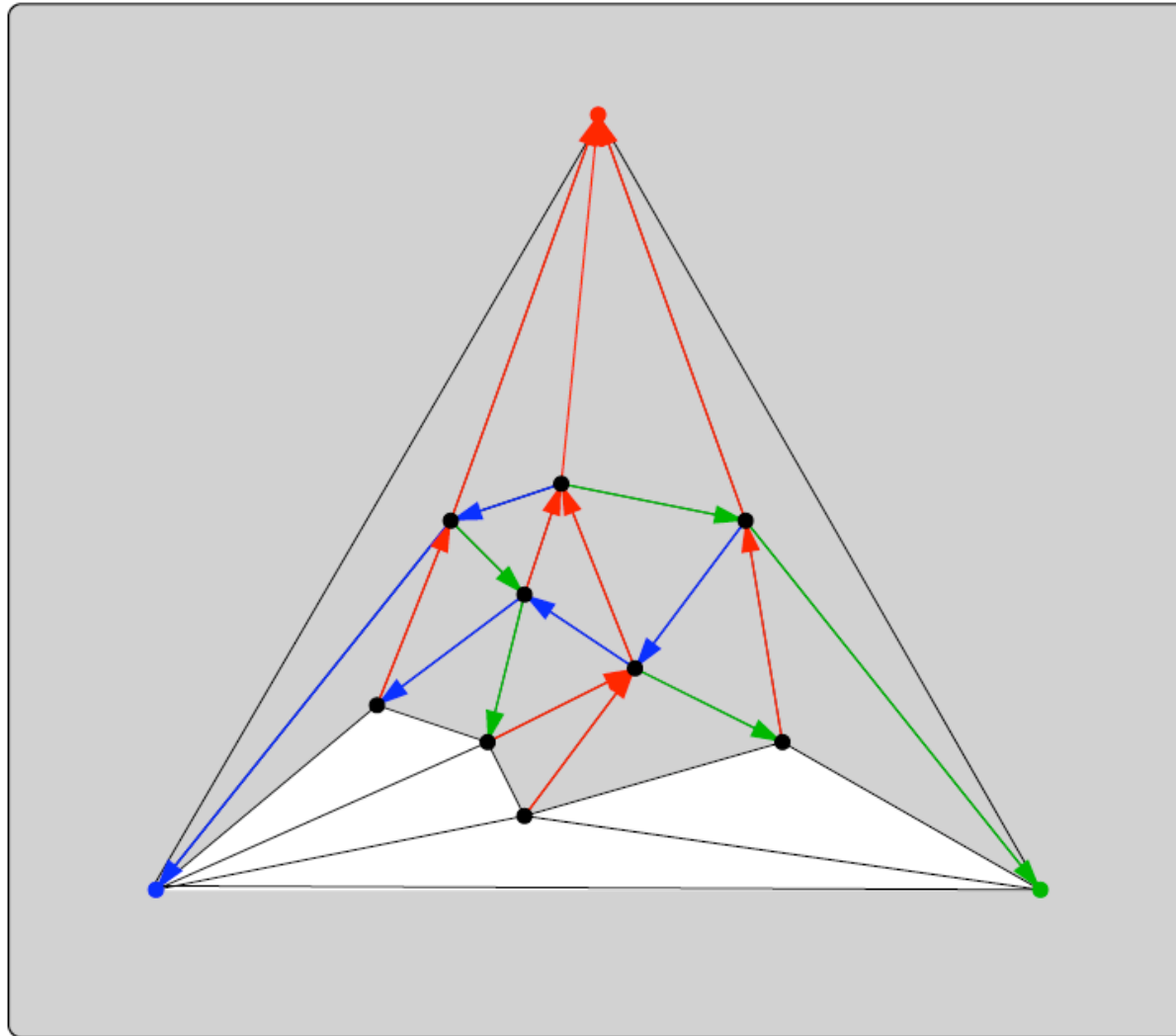
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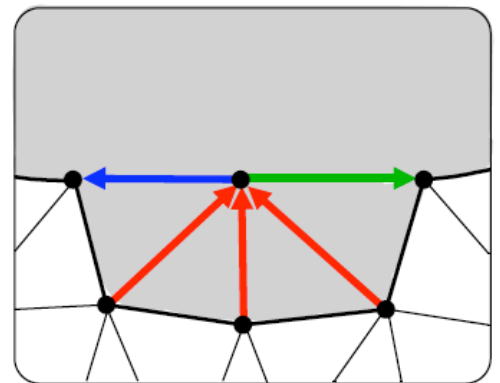
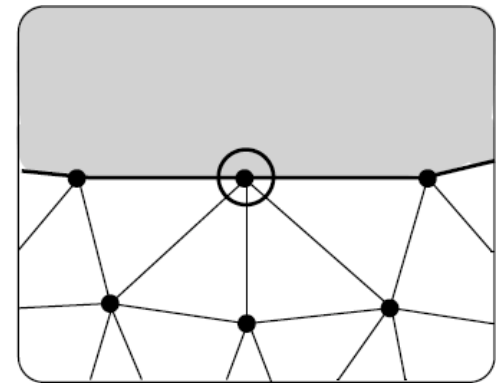
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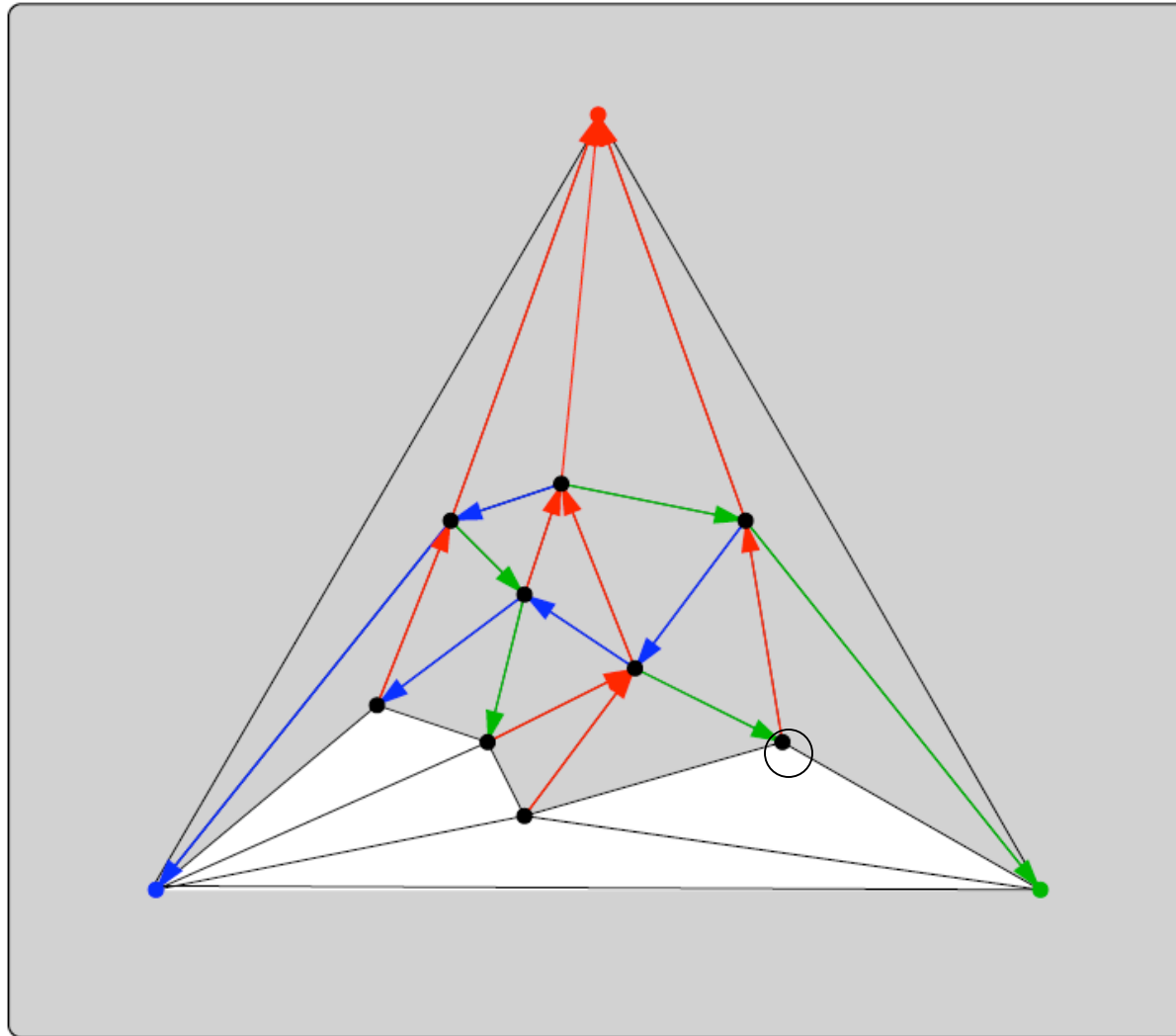
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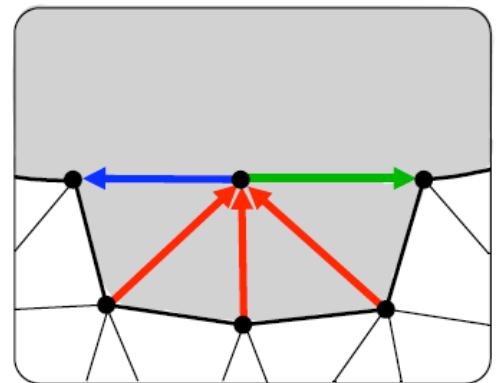
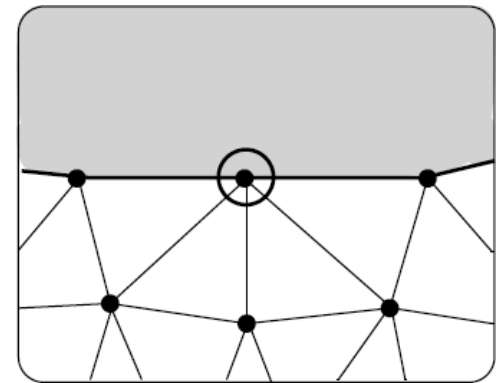
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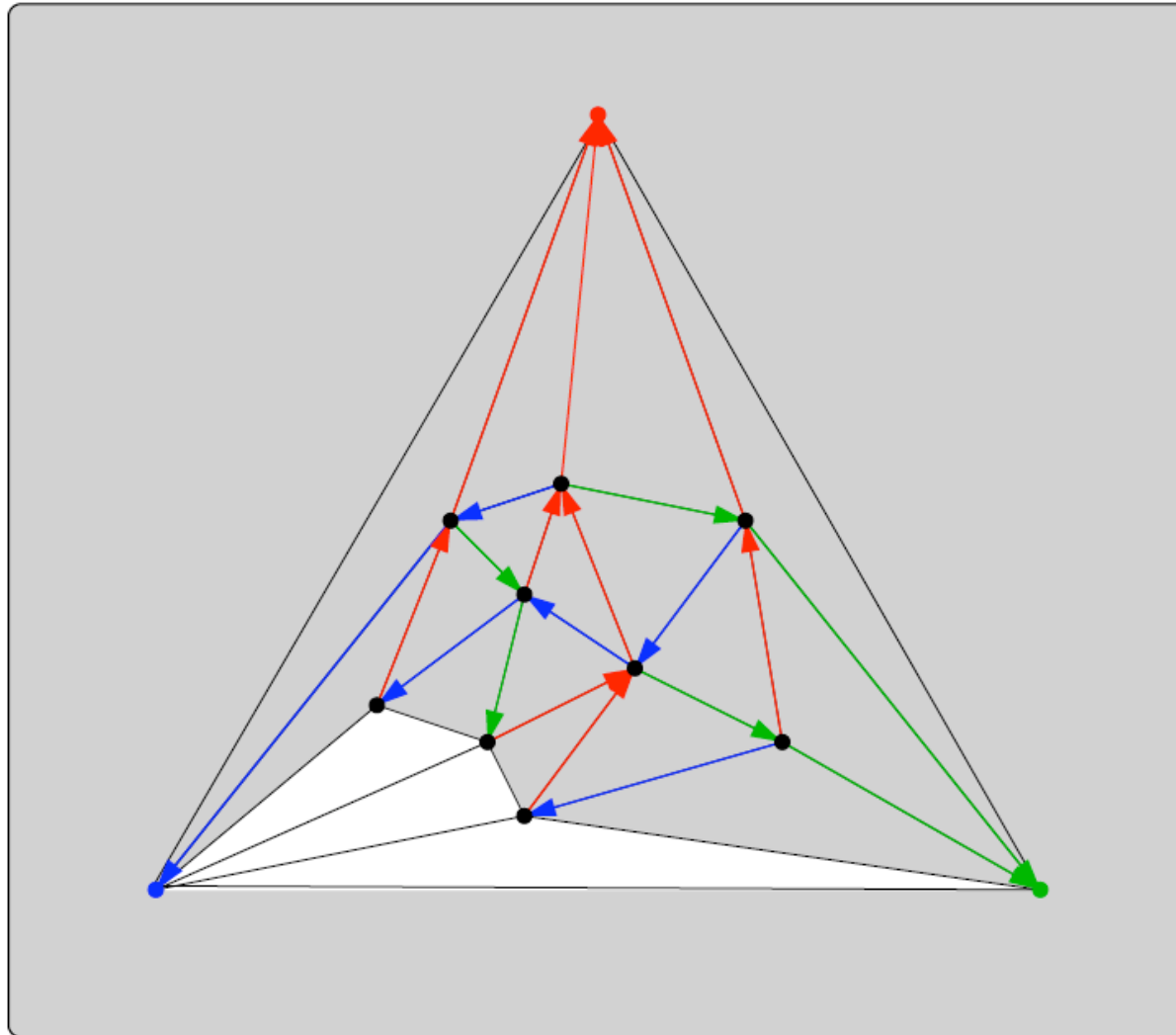
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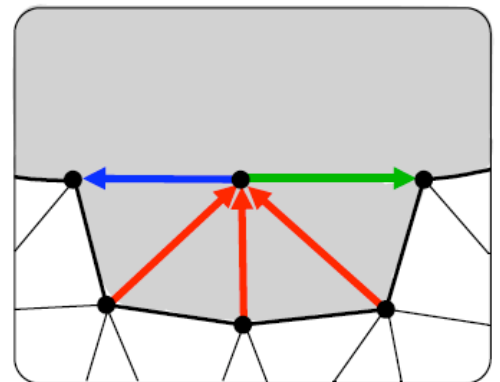
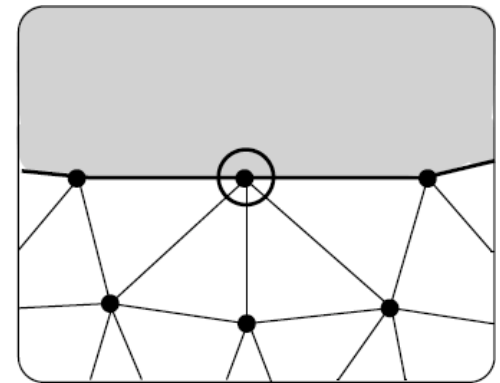
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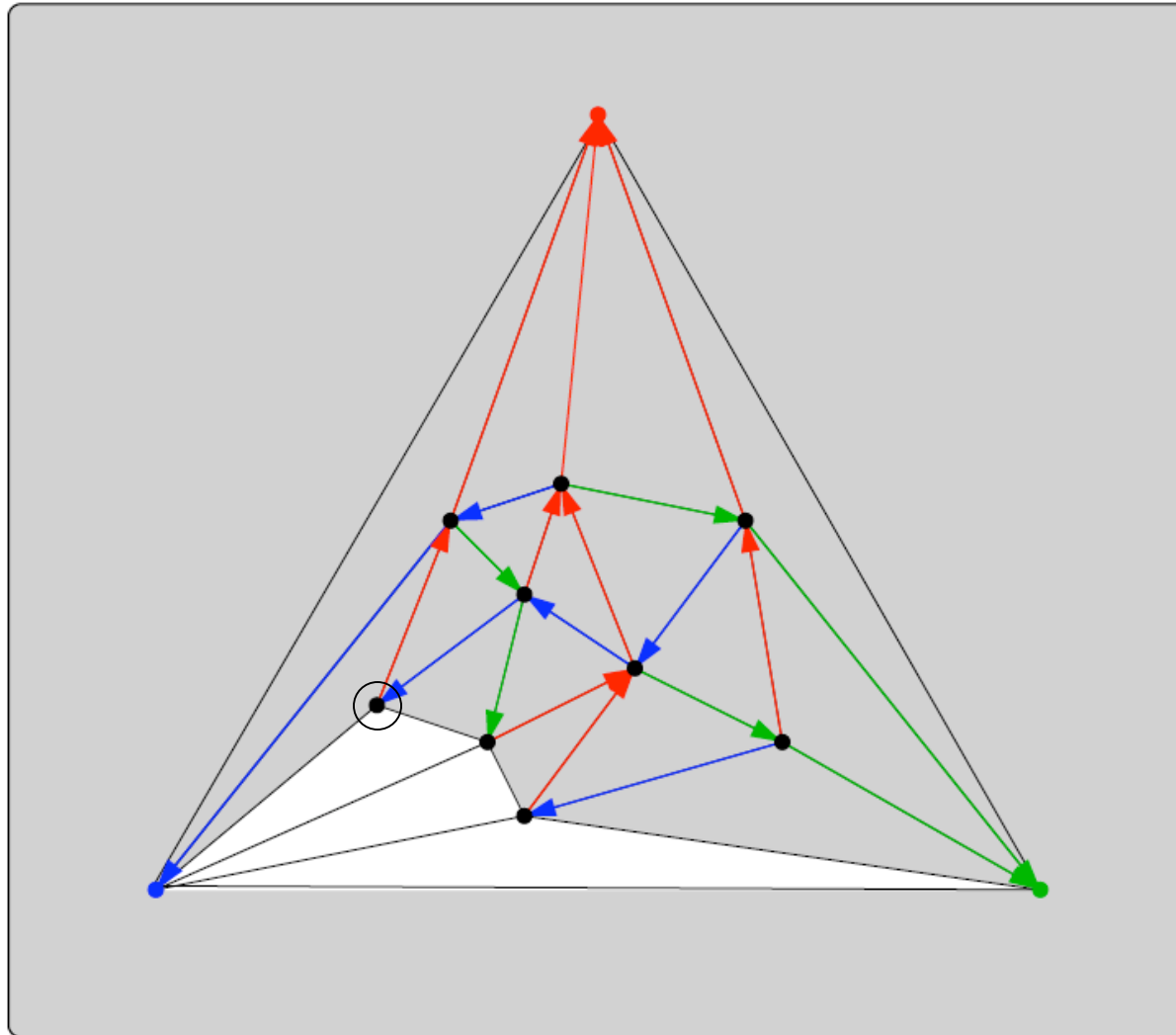
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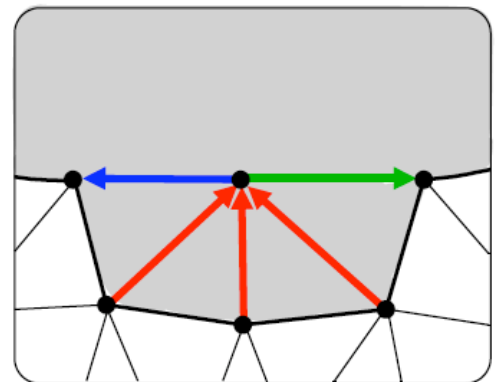
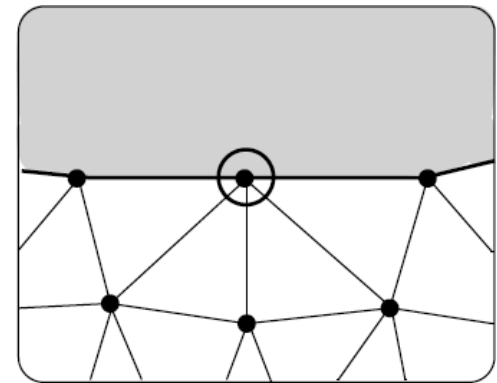
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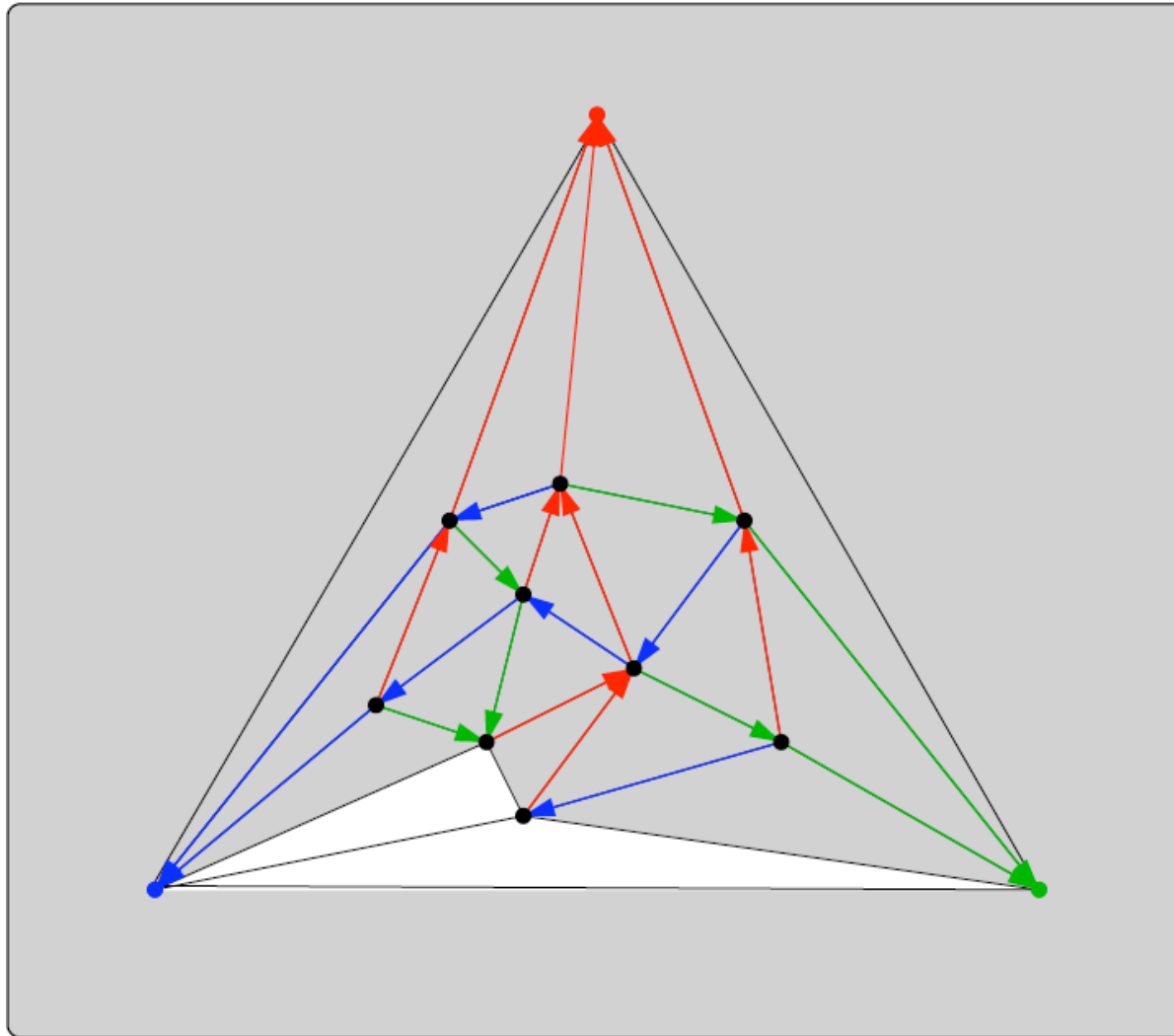


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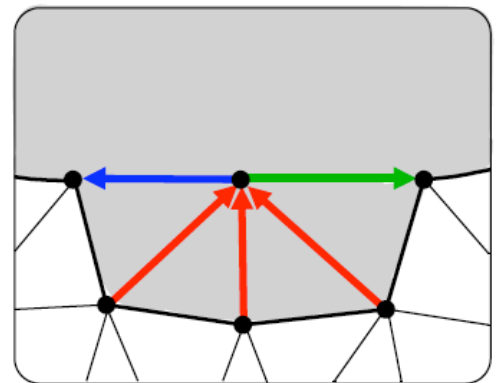
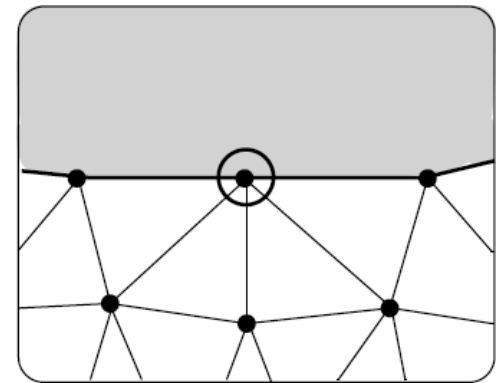




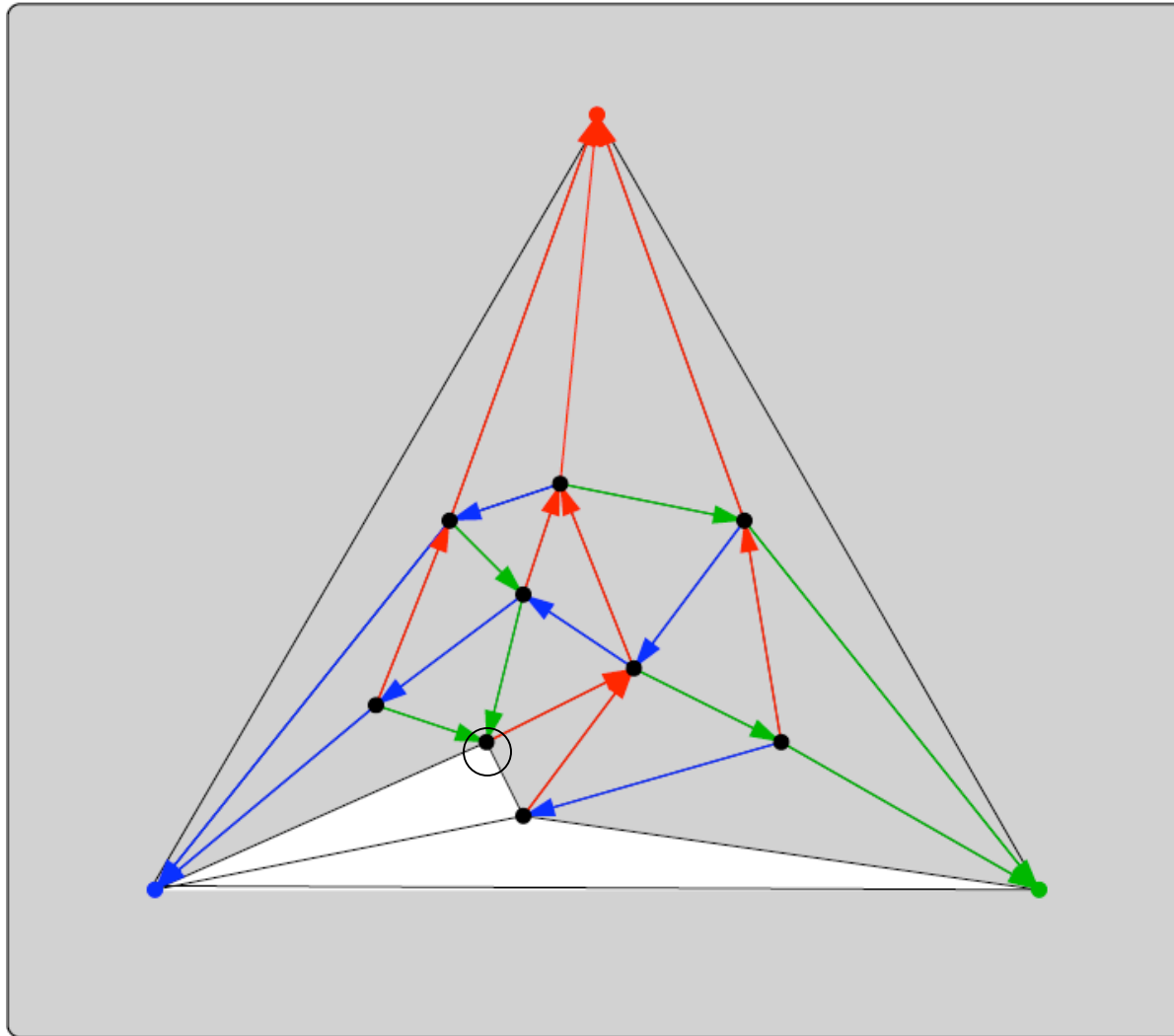
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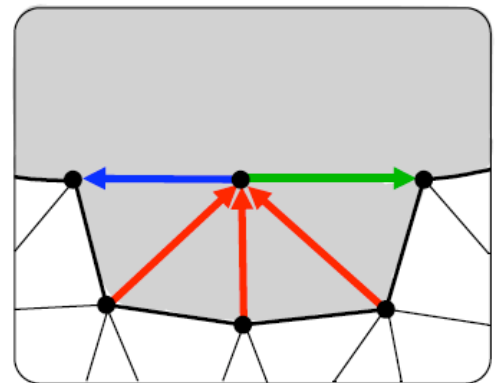
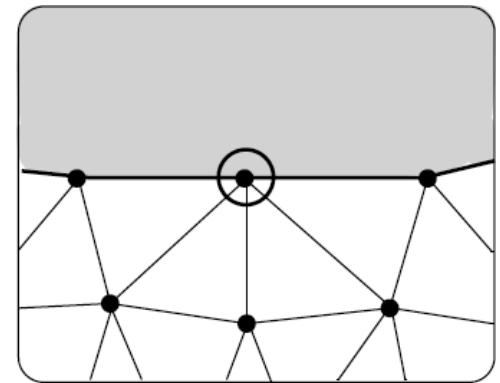
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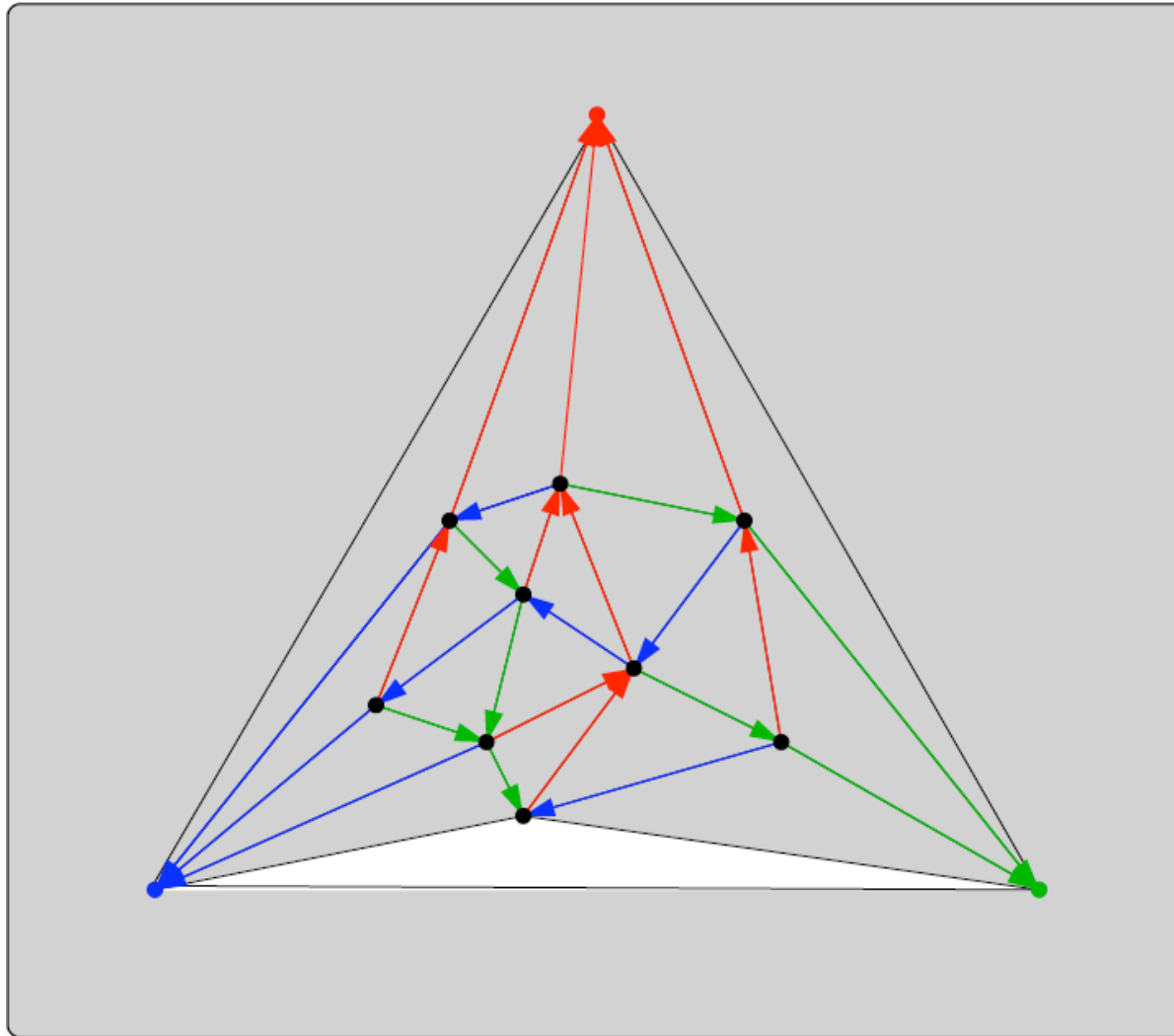
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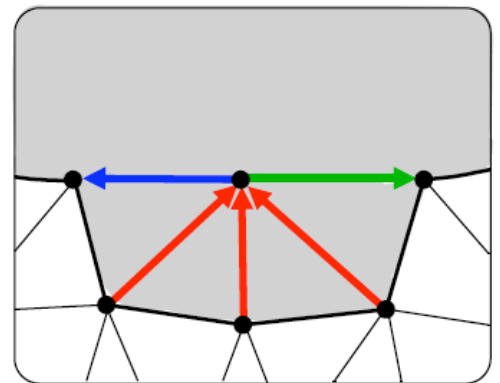
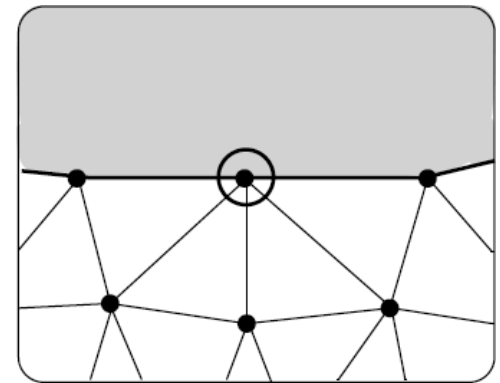
Conquest step:



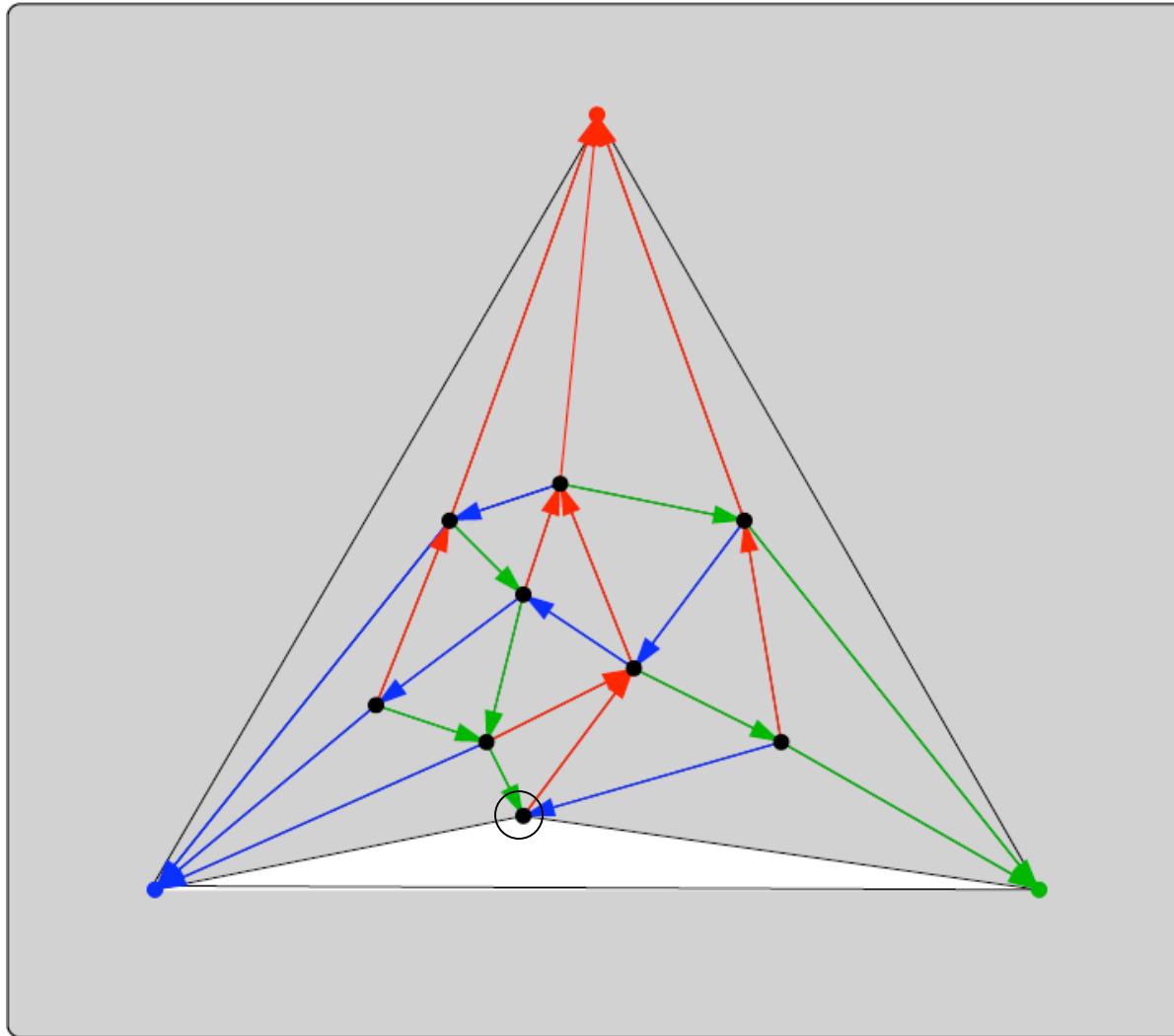
# Computing a Schnyder wood



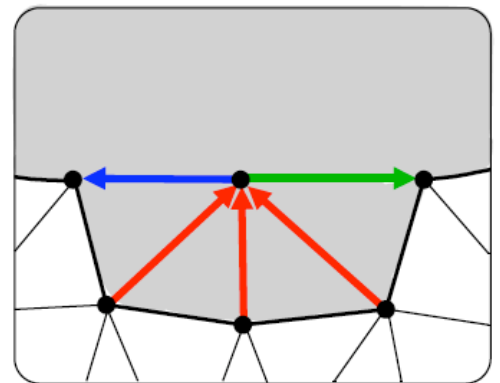
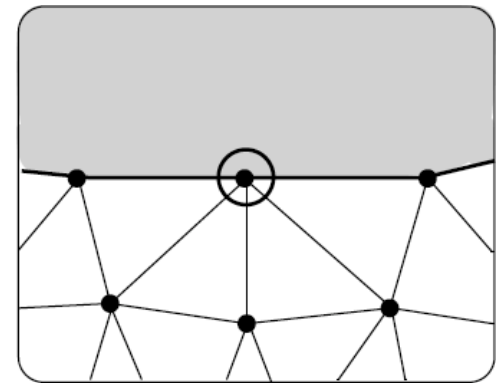
Conquest step:



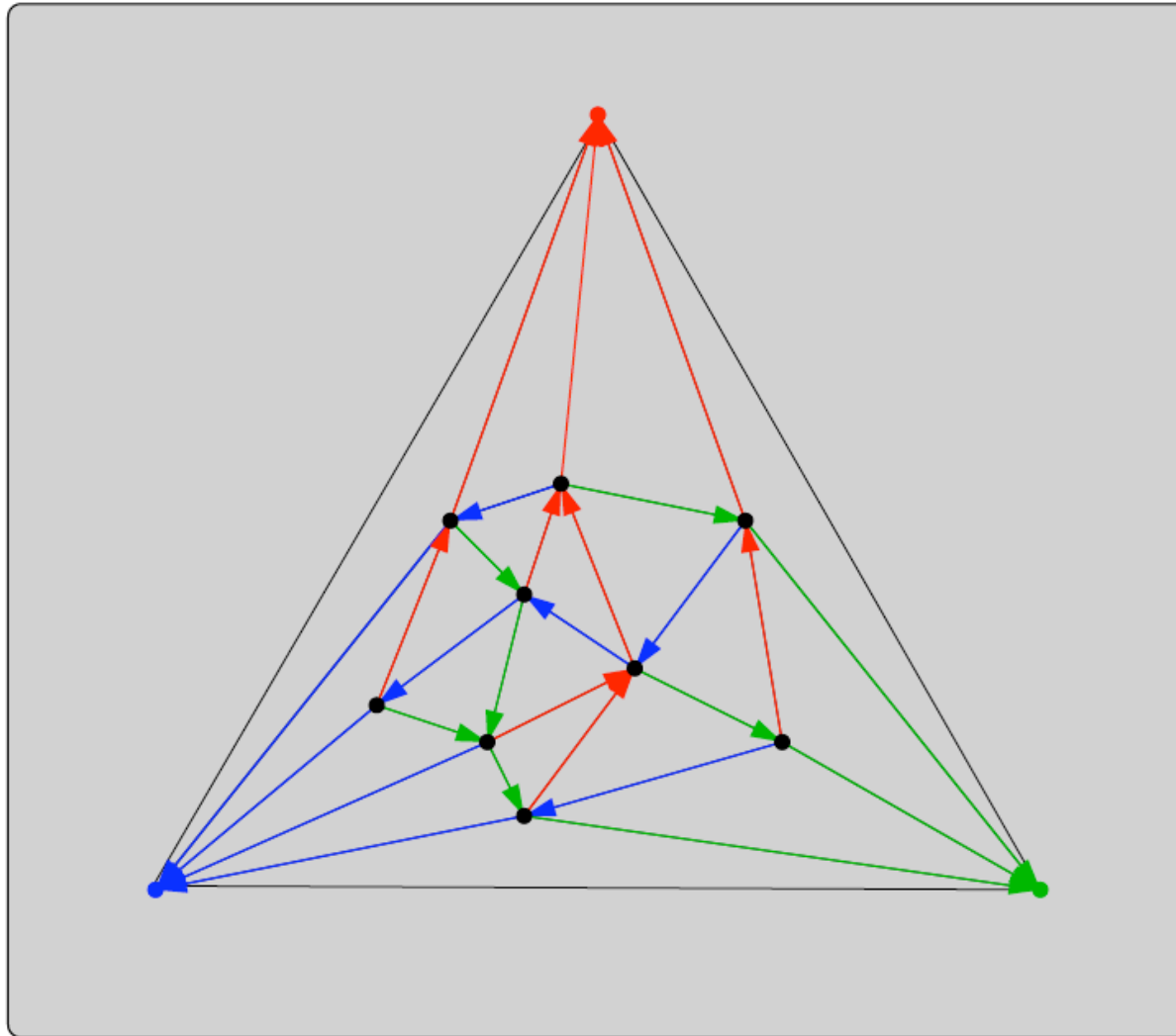
# Computing a Schnyder wood



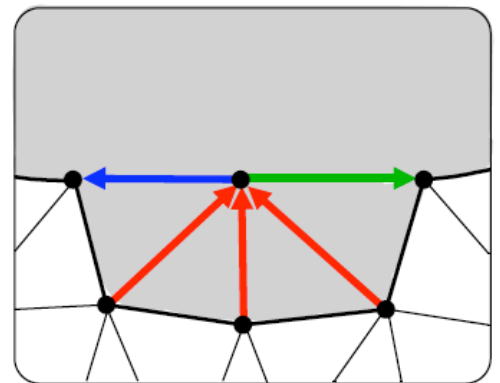
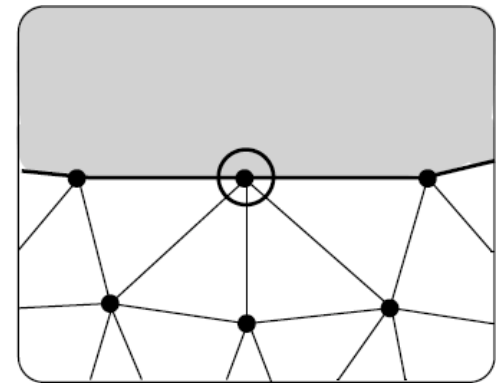
Conquest step:



# Computing a Schnyder wood

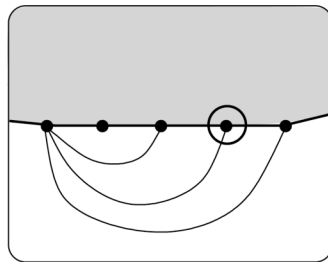


Conquest step:

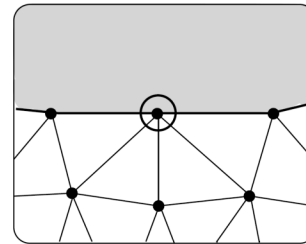


# Result

- At each step, take care that the chosen vertex is **not incident to a chord** (nor to the bottom outer edge)

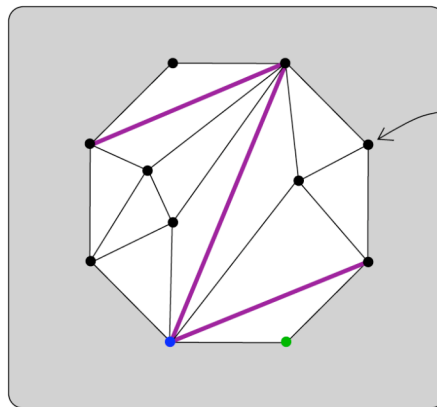


is **forbidden**



is **accepted**

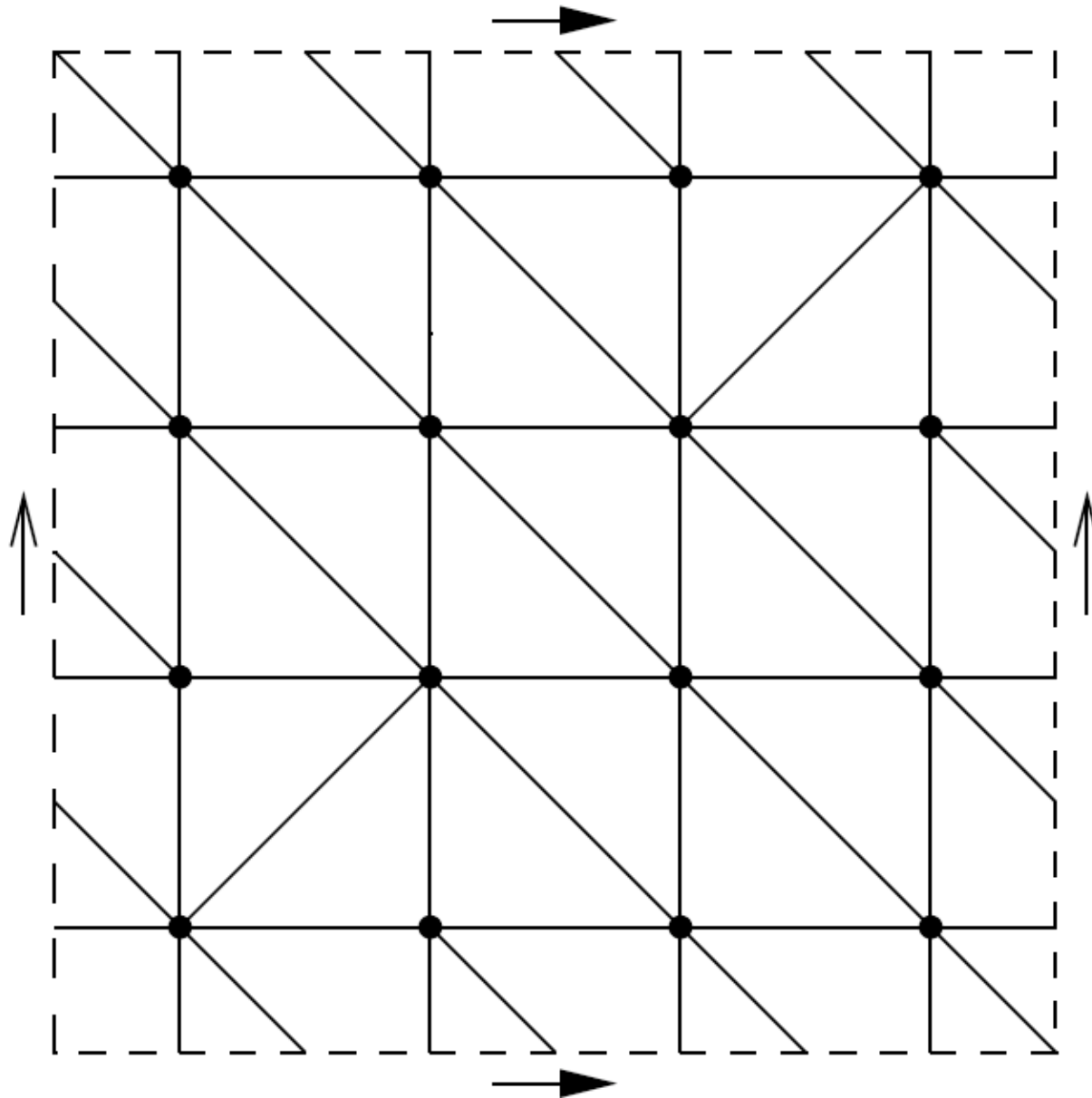
- There is **always** such a vertex



admissible vertex

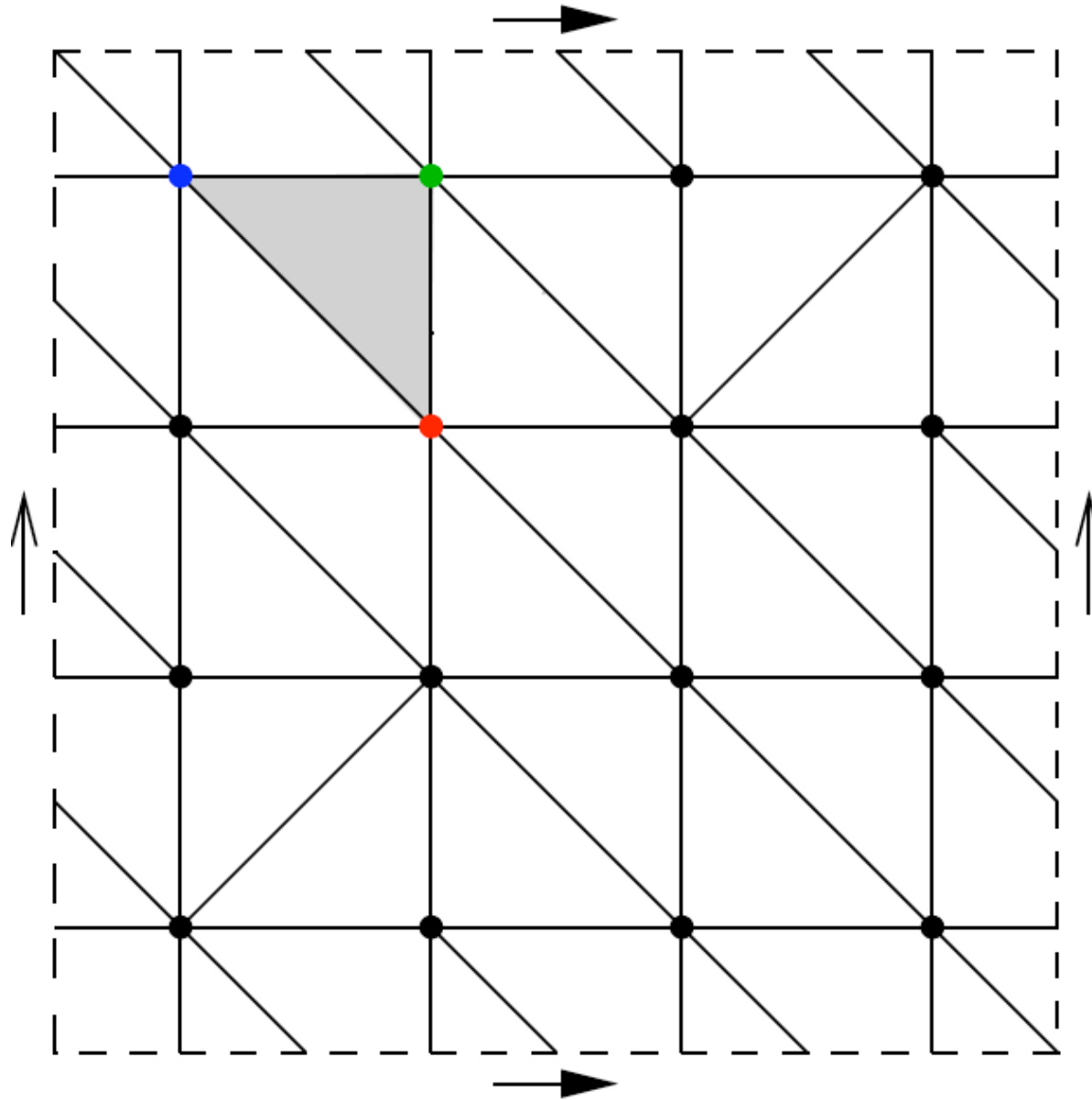
- Hence the algorithm **terminates**, it **outputs a Schnyder wood** [Schnyder'89, Brehm'03]

# Triangulations in higher genus



A triangulation  
of genus 1

# Triangulations in higher genus



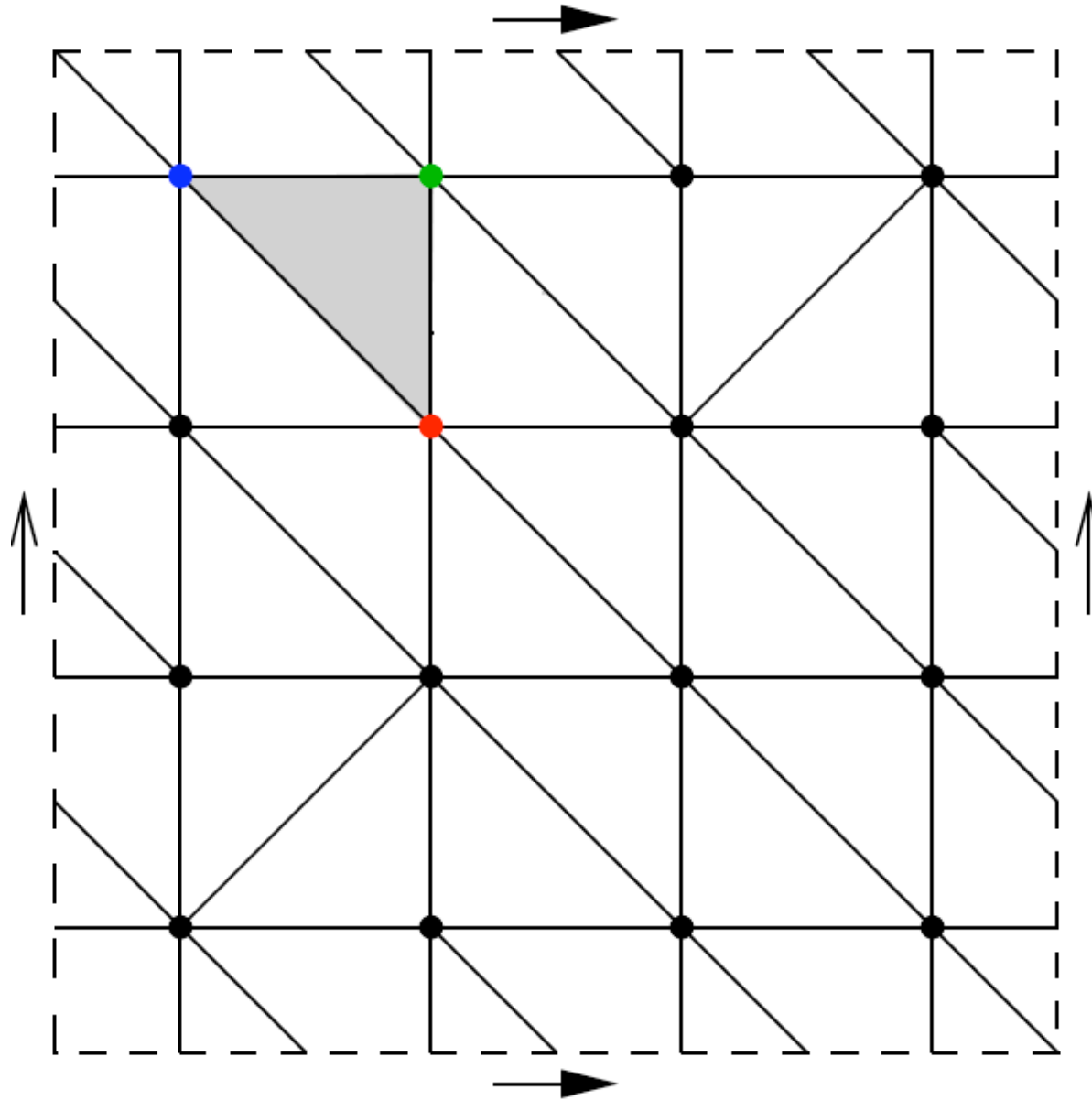
A triangulation  
of genus 1,  
with a root-face.

$n$  inner vertices

$3(n+2g)$  inner edges



# Triangulations in higher genus



A triangulation  
of genus 1,  
with a root-face.

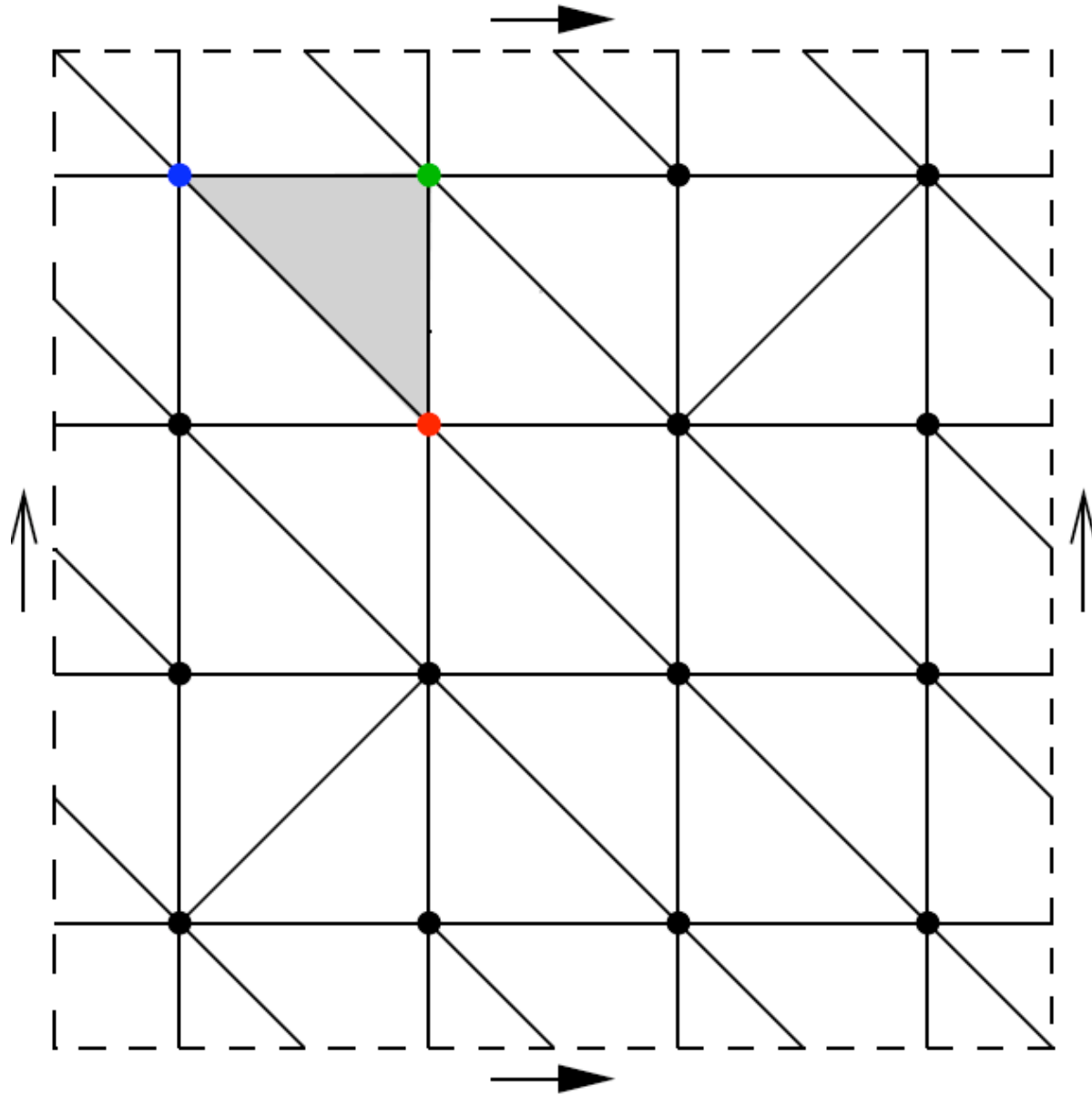
$n$  inner vertices



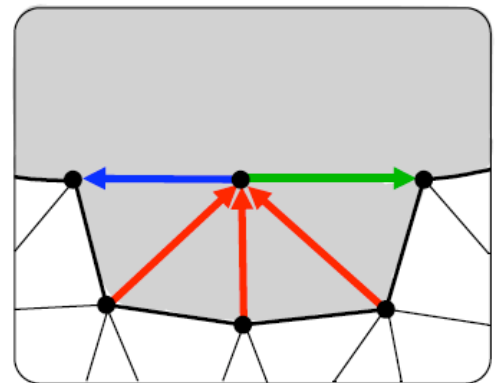
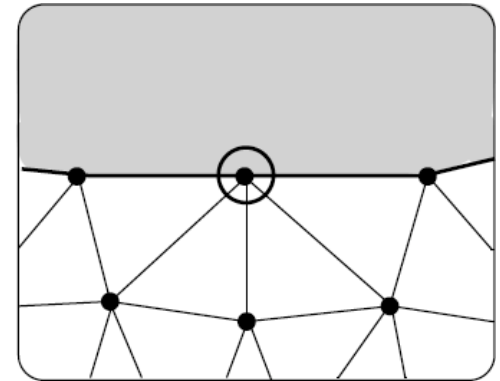
$3(n+2g)$  inner edges

No hope to  
have outdegree 3  
everywhere

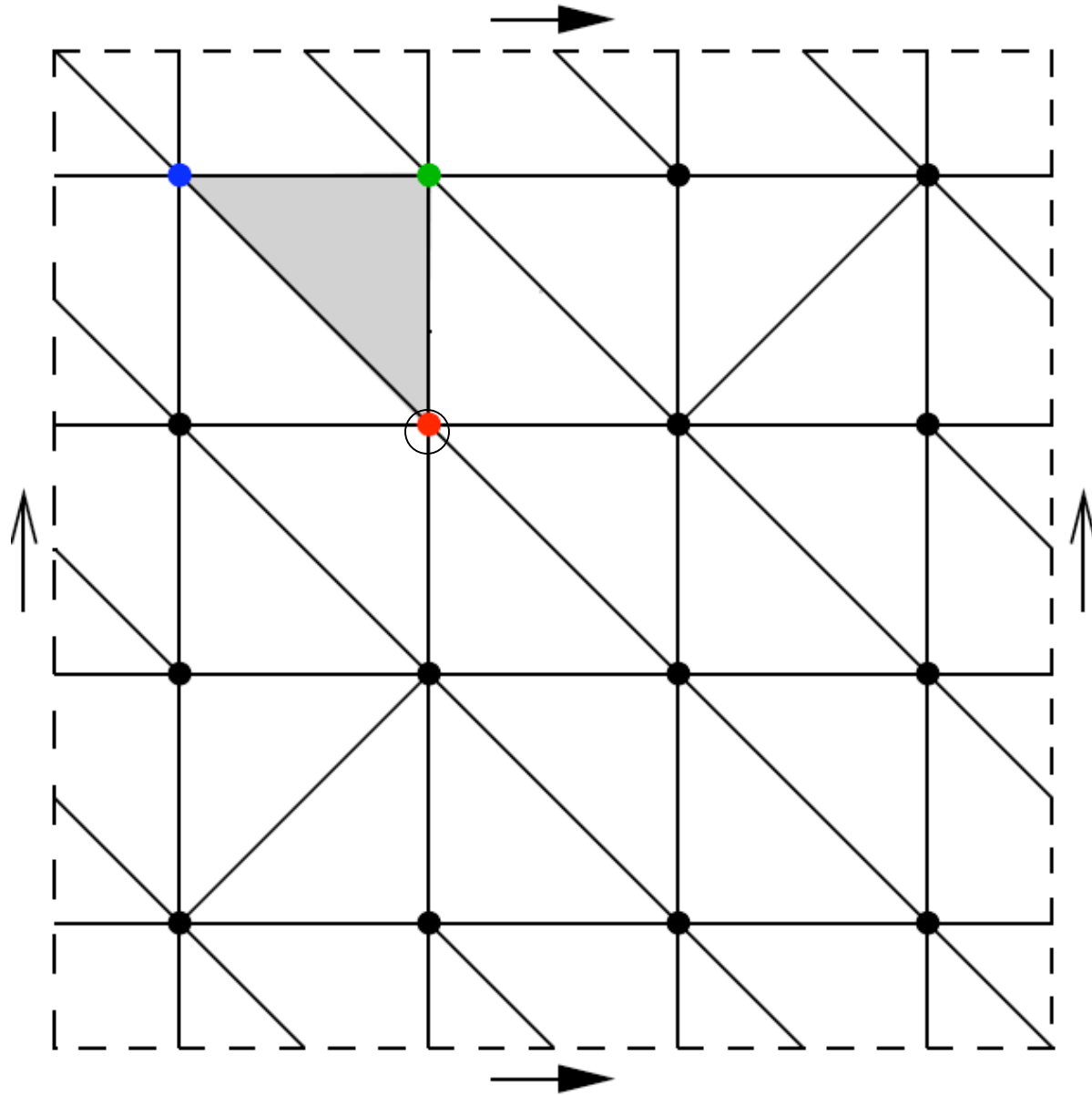
# Conquest in higher genus



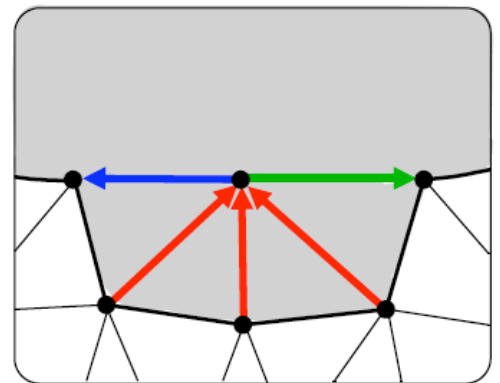
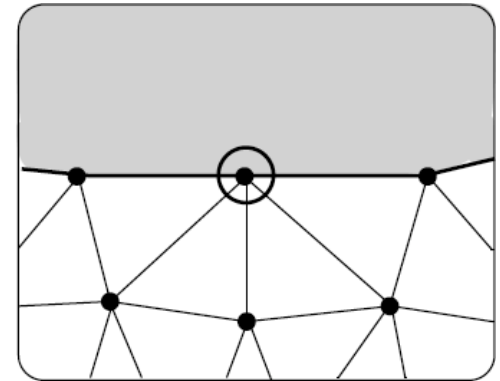
Conquest step:



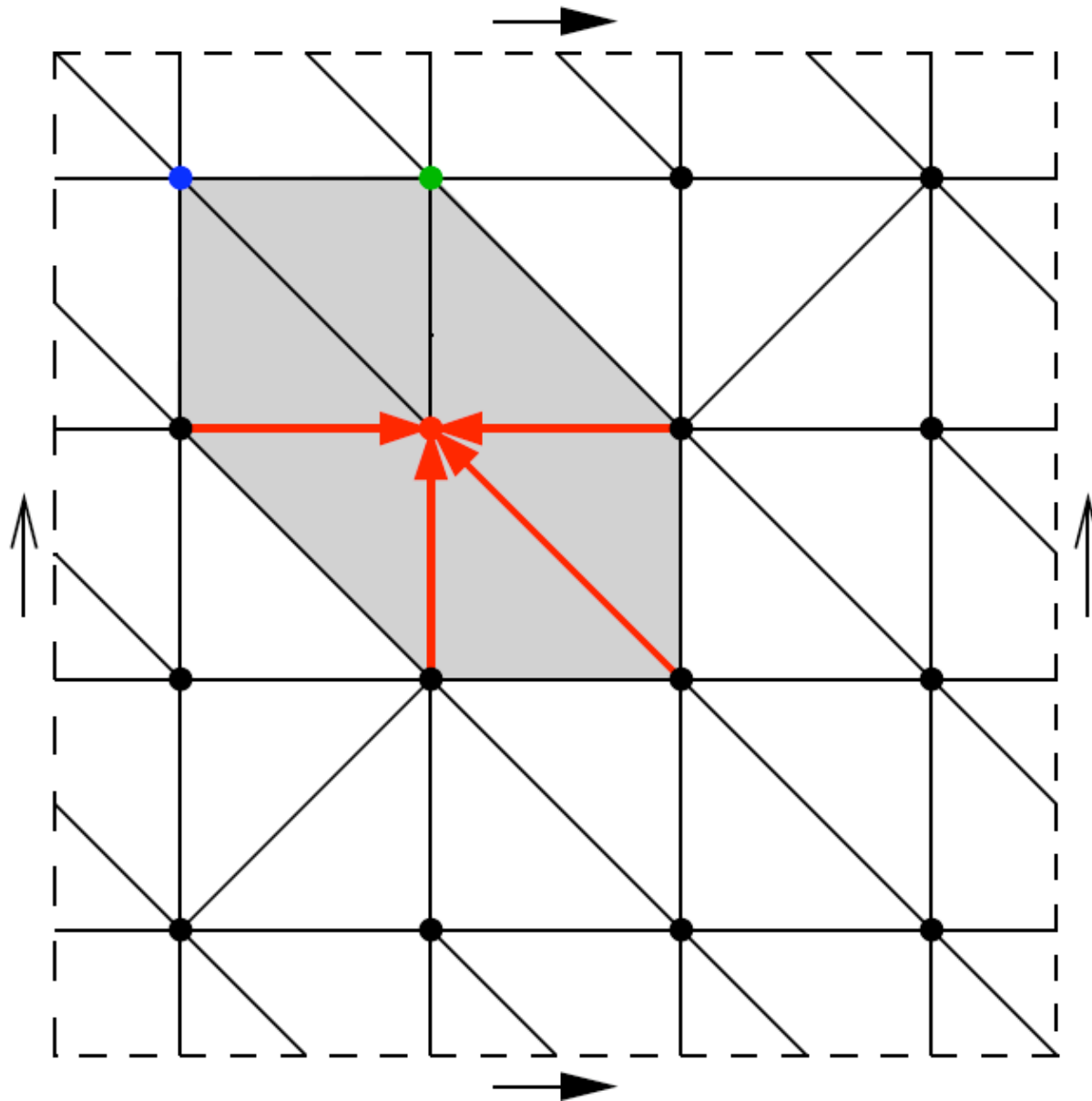
# Conquest in higher genus



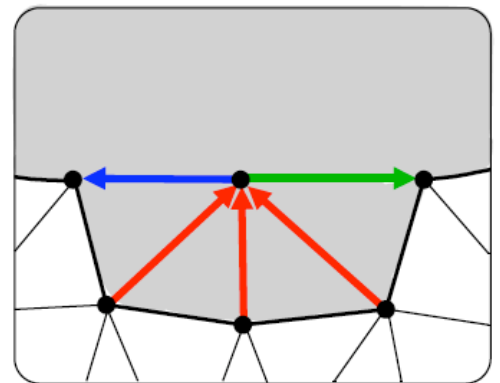
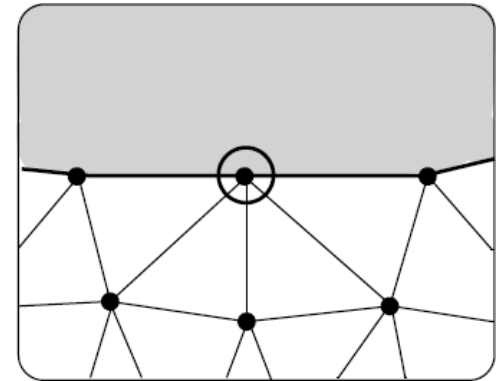
Conquest step:



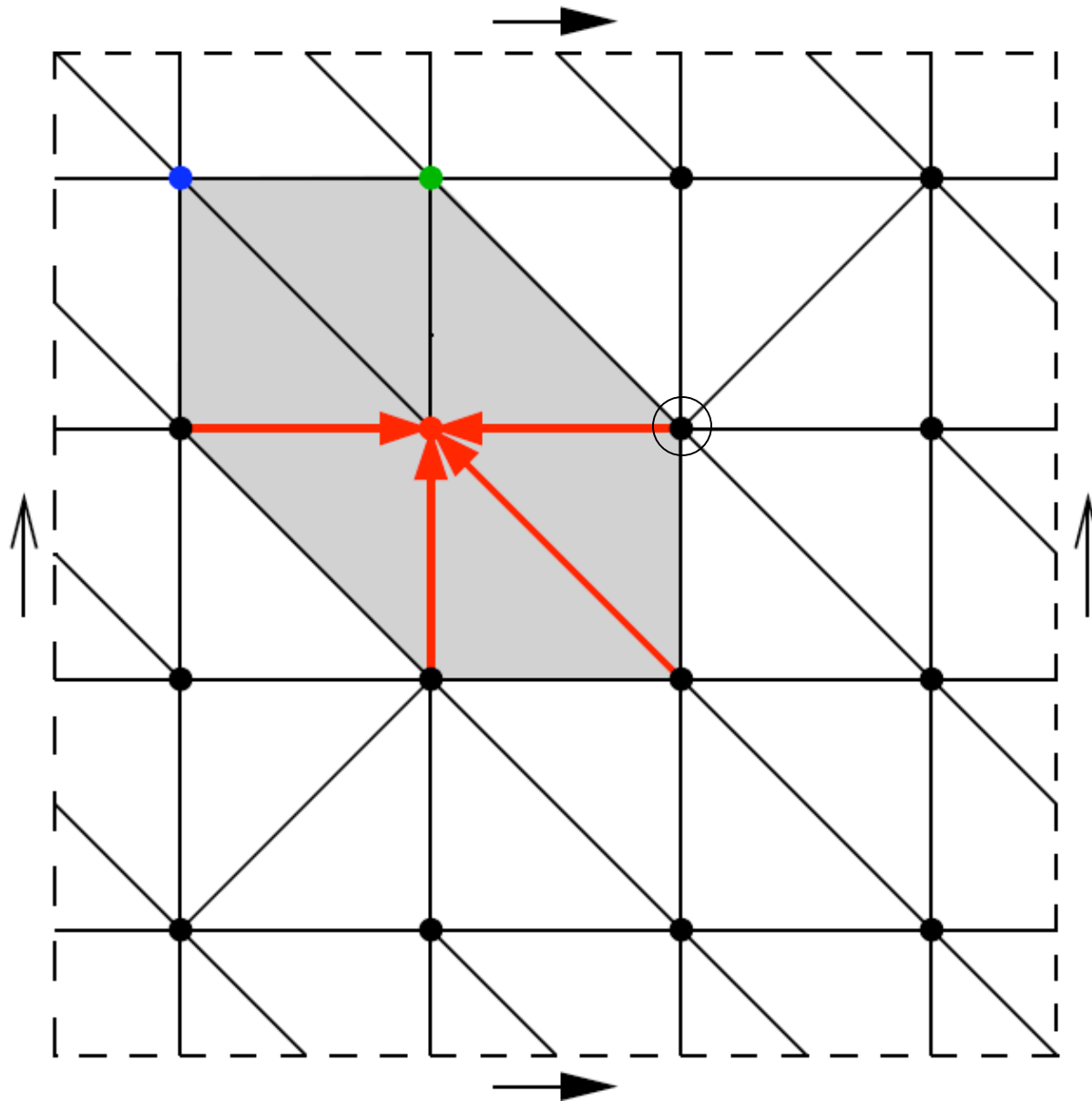
# Conquest in higher genus



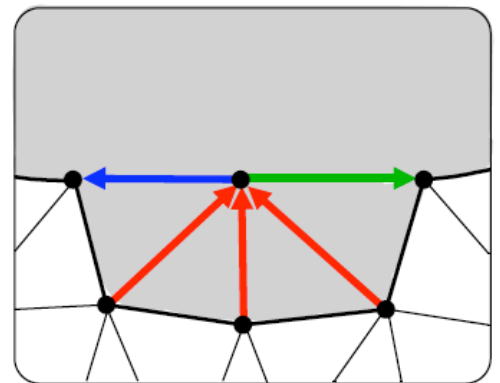
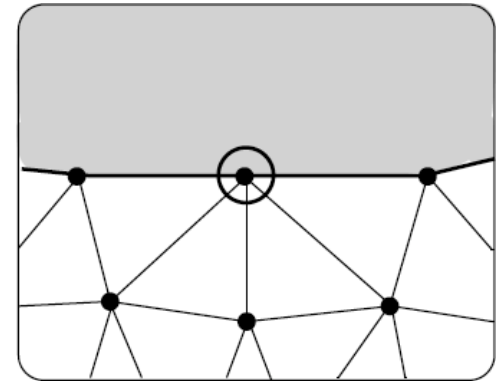
Conquest step:



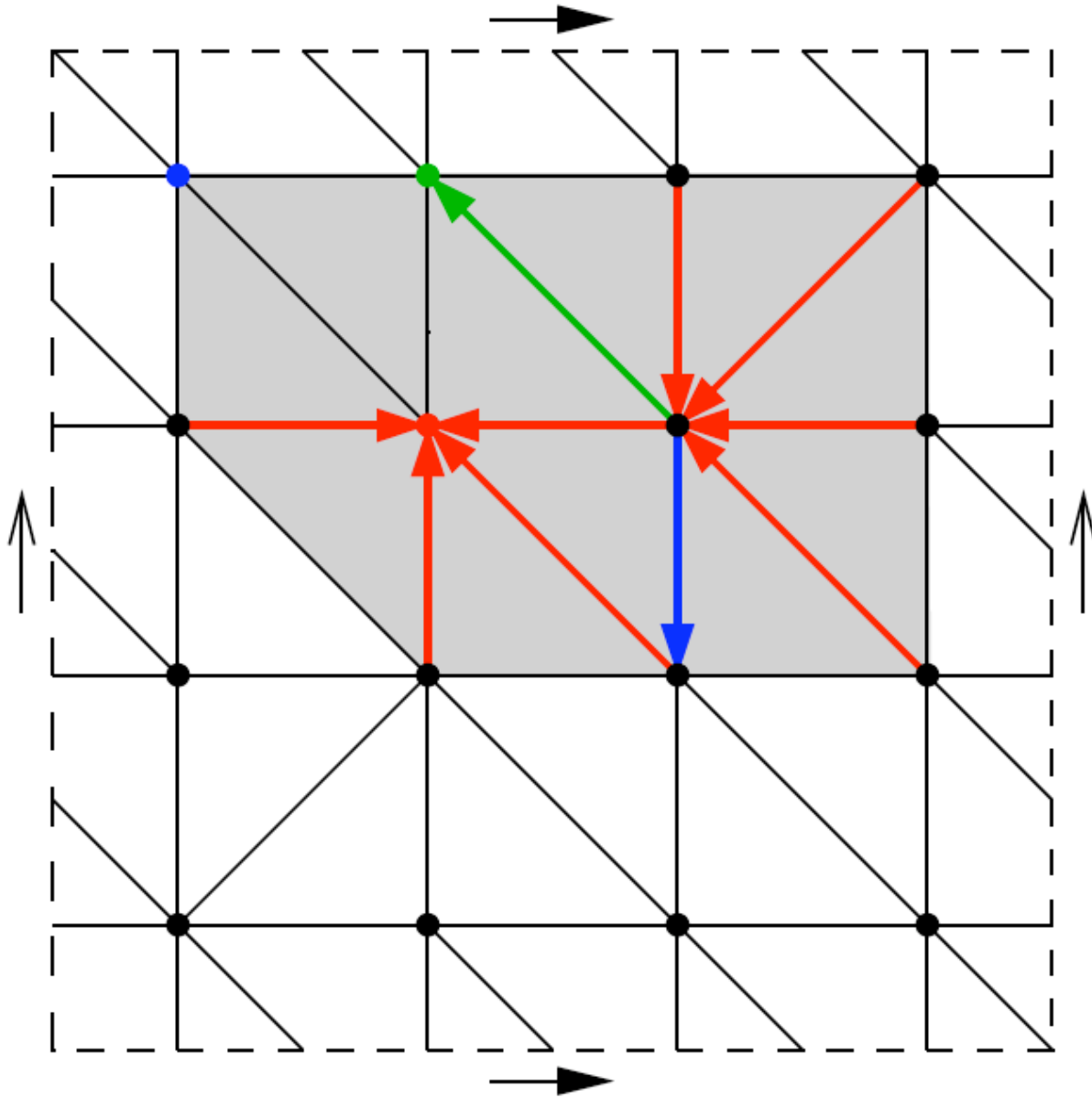
# Conquest in higher genus



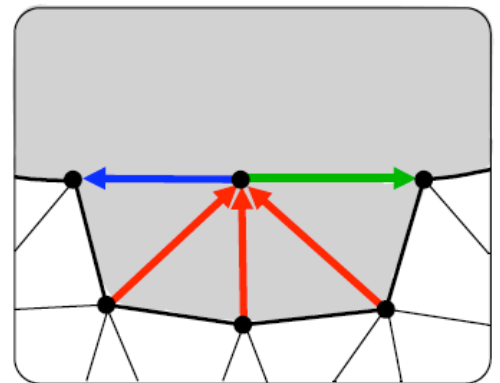
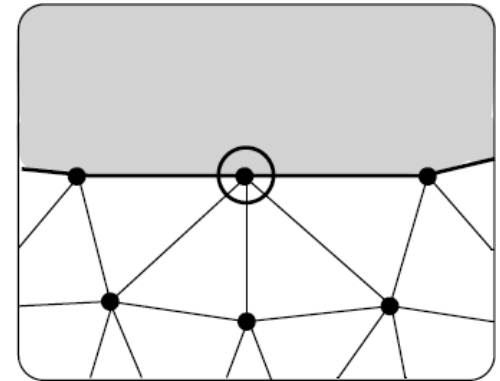
Conquest step:



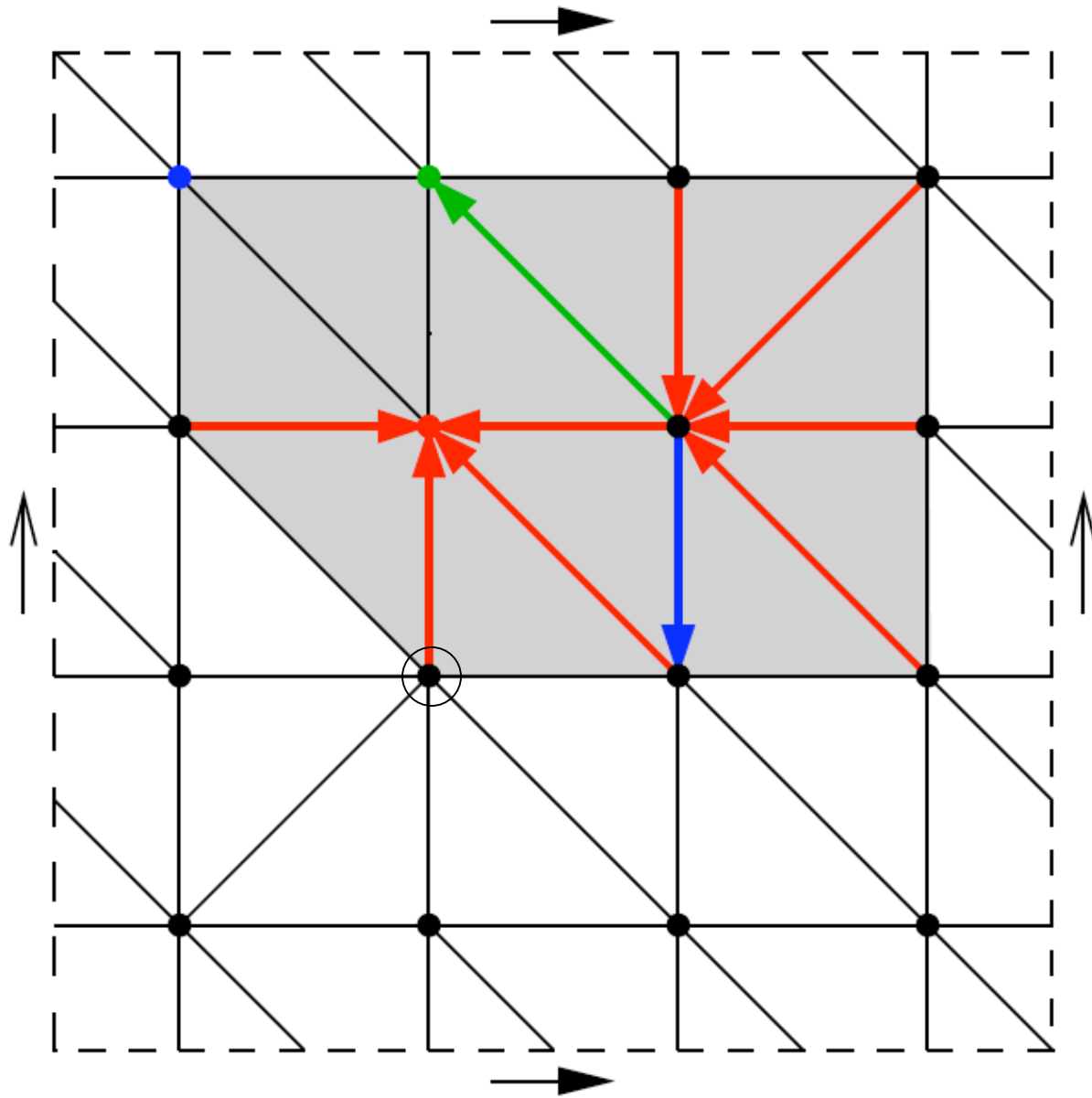
# Conquest in higher genus



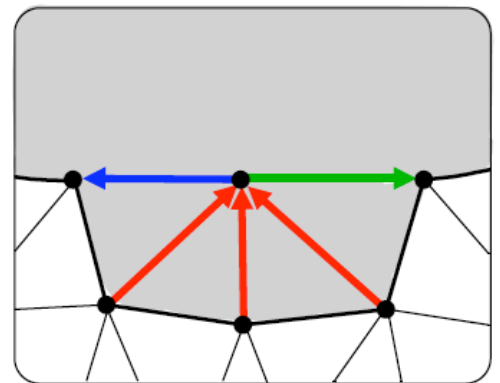
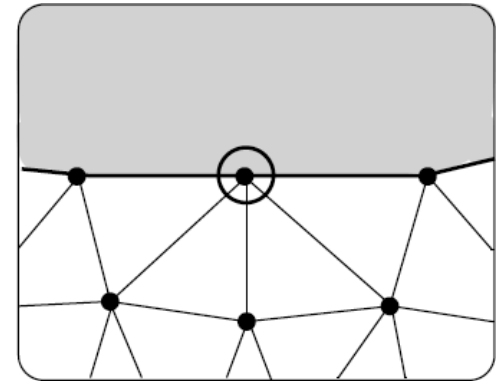
Conquest step:



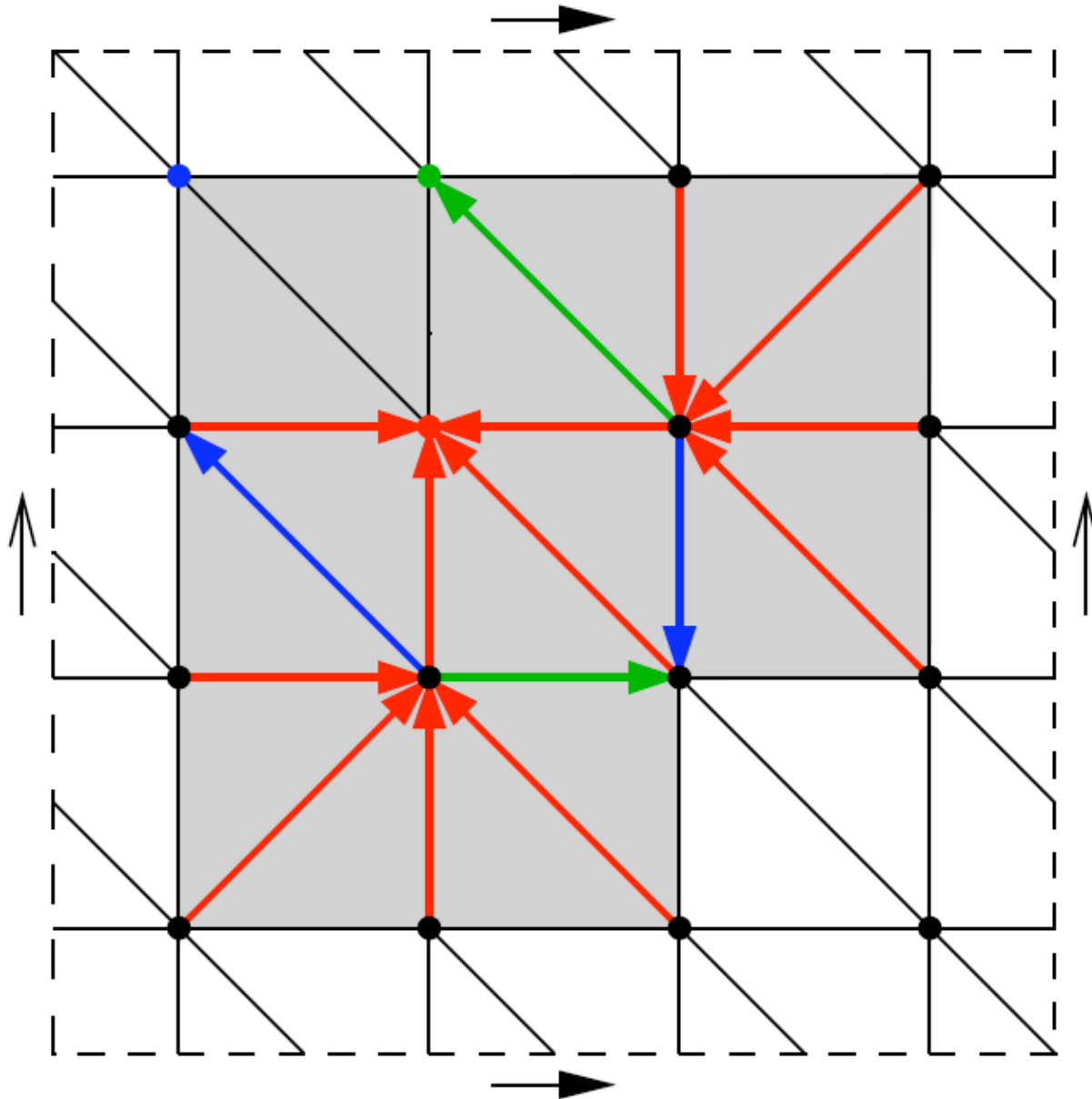
# Conquest in higher genus



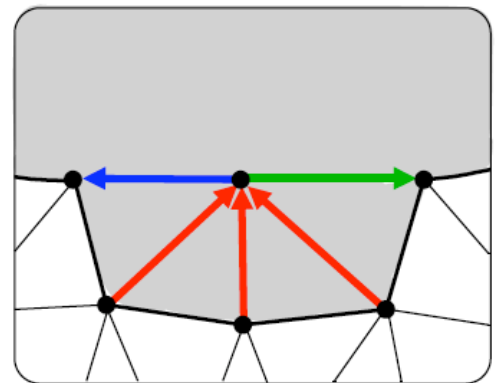
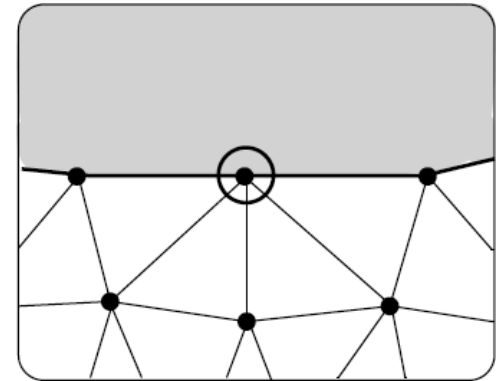
Conquest step:



# Conquest in higher genus

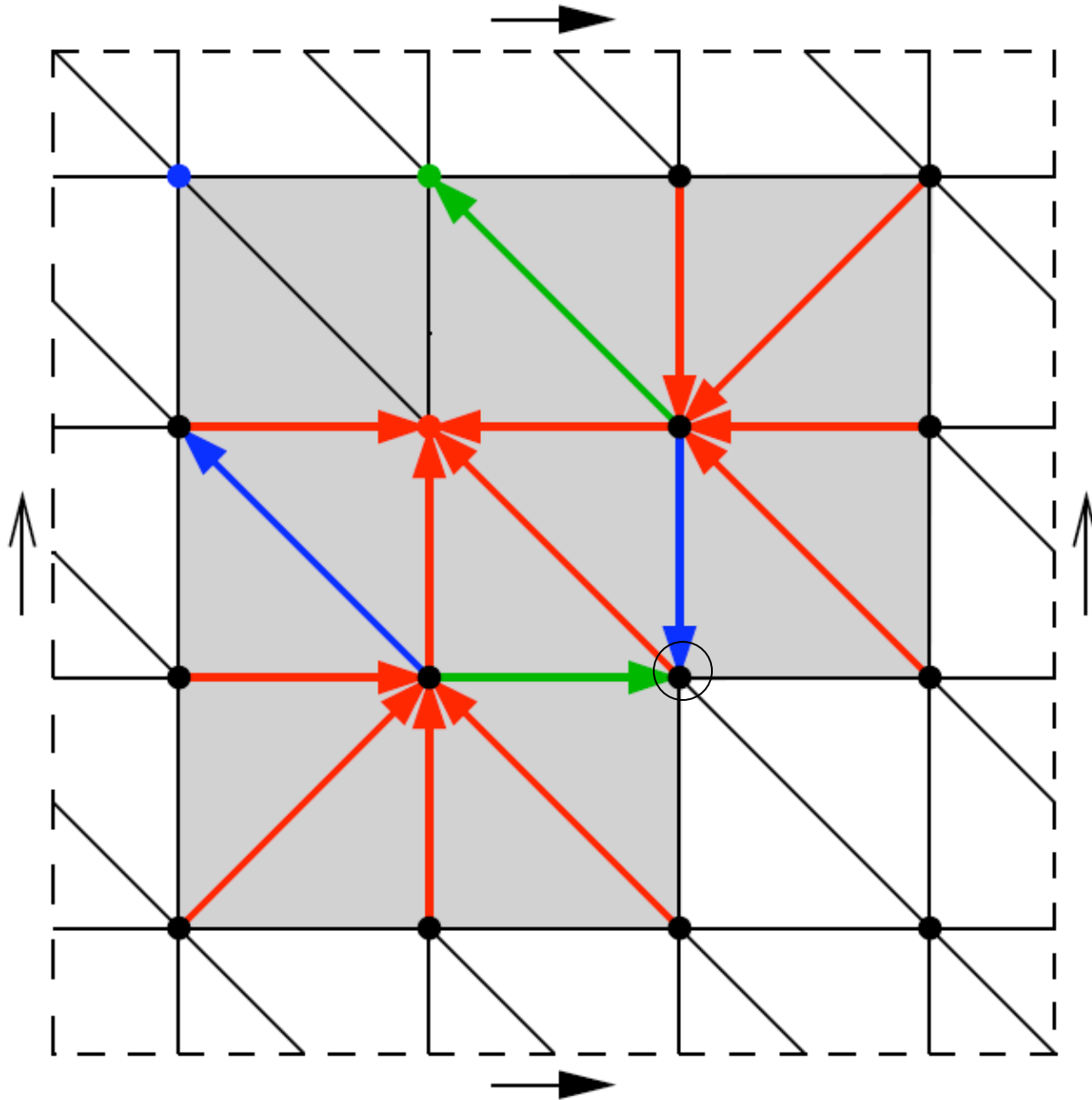


Conquest step:

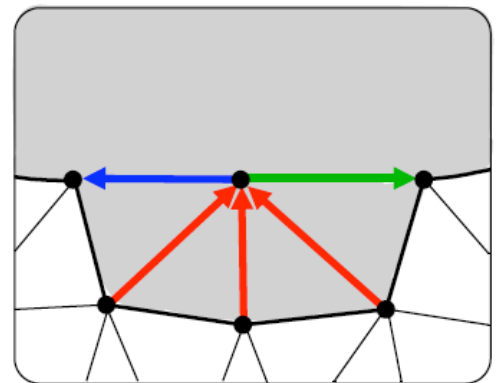
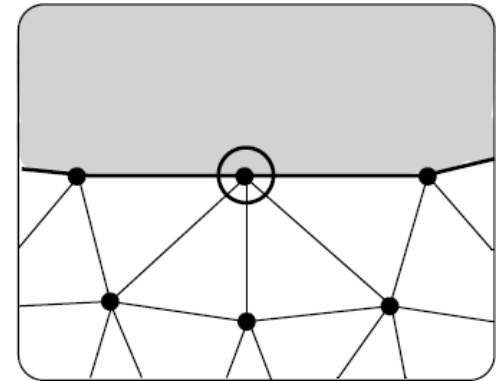




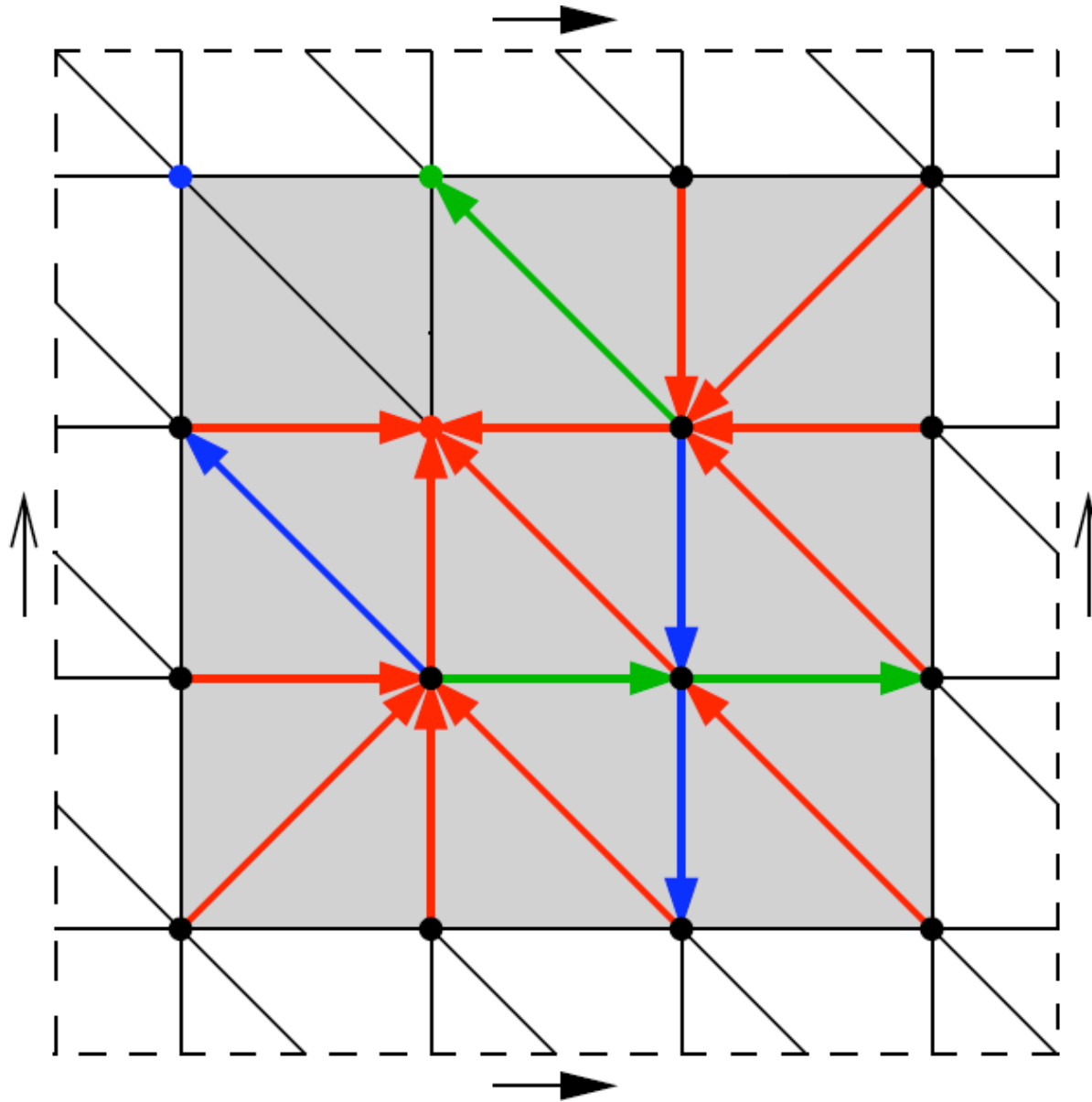
# Conquest in higher genus



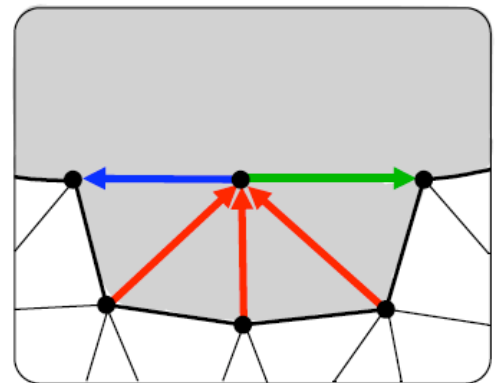
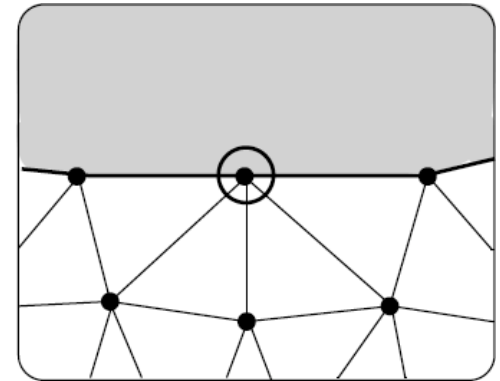
## Conquest step:



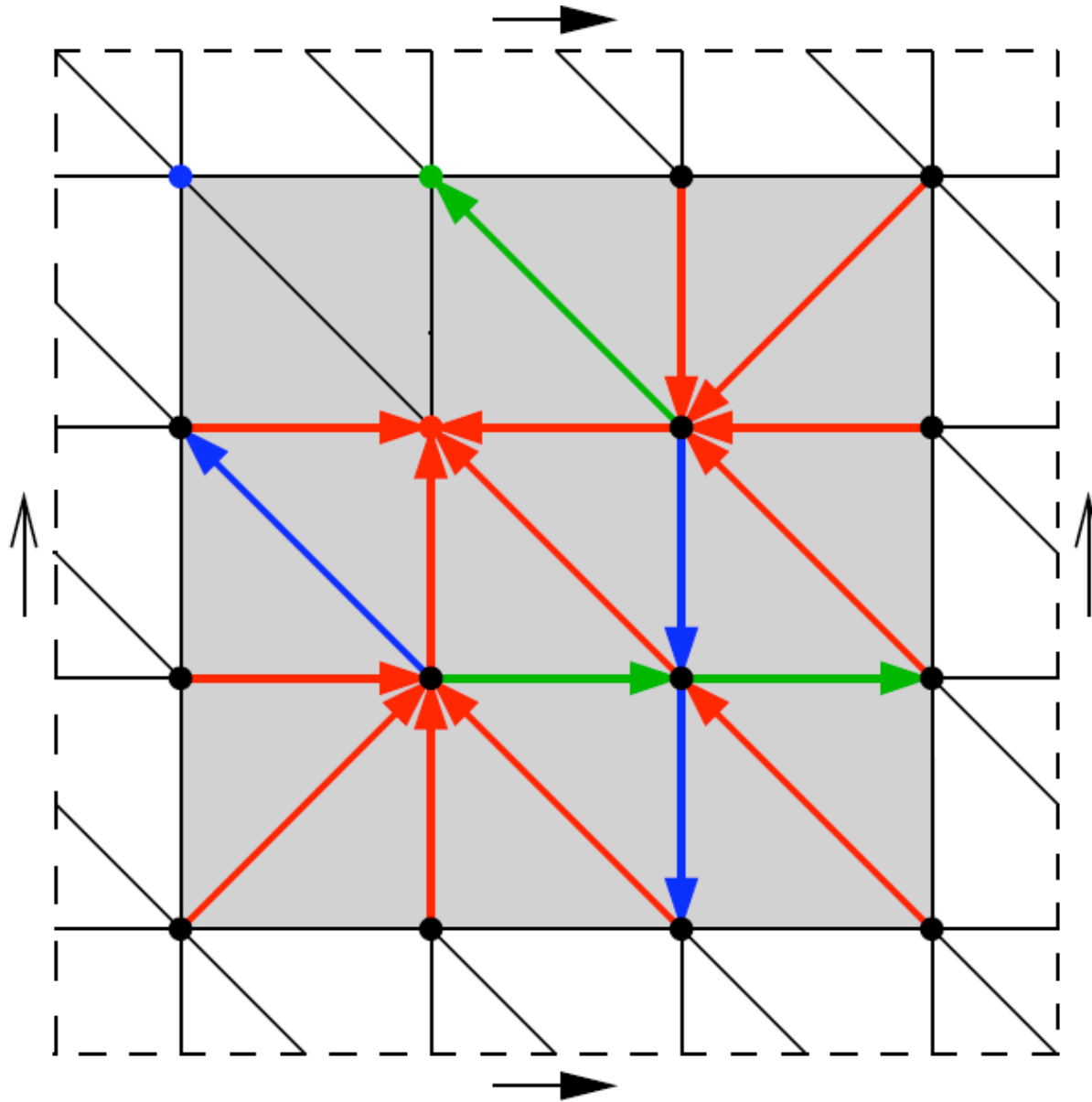
# Conquest in higher genus



Conquest step:

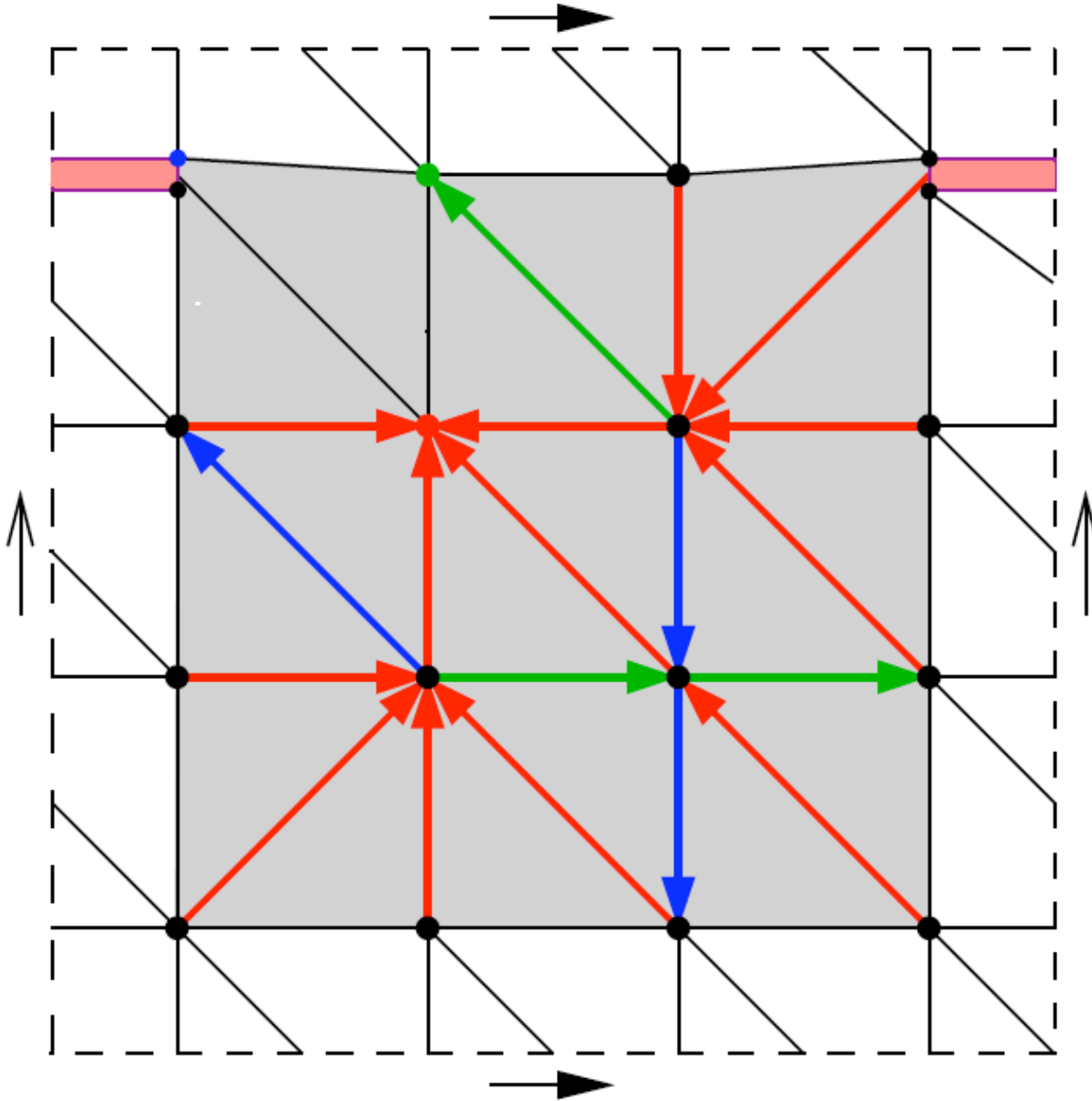


# Conquest in higher genus



Can not extend  
conquered area  $C$

# Conquest in higher genus

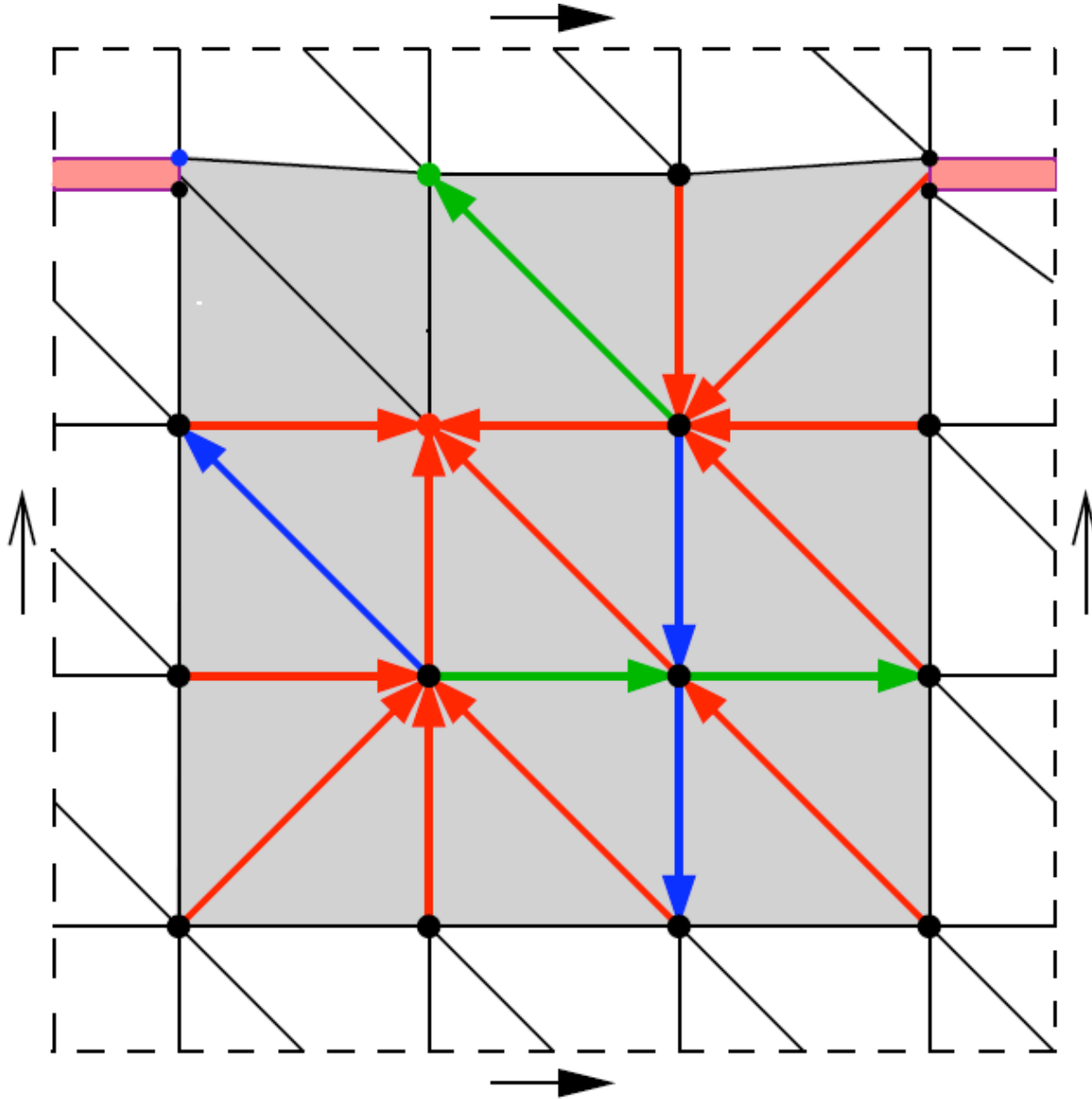


Can not extend  
conquered area  $C$

Special step:

- choose chord  $e$
- make it fat
- add it to  $C$

# Conquest in higher genus



Can not extend  
conquered area  $C$

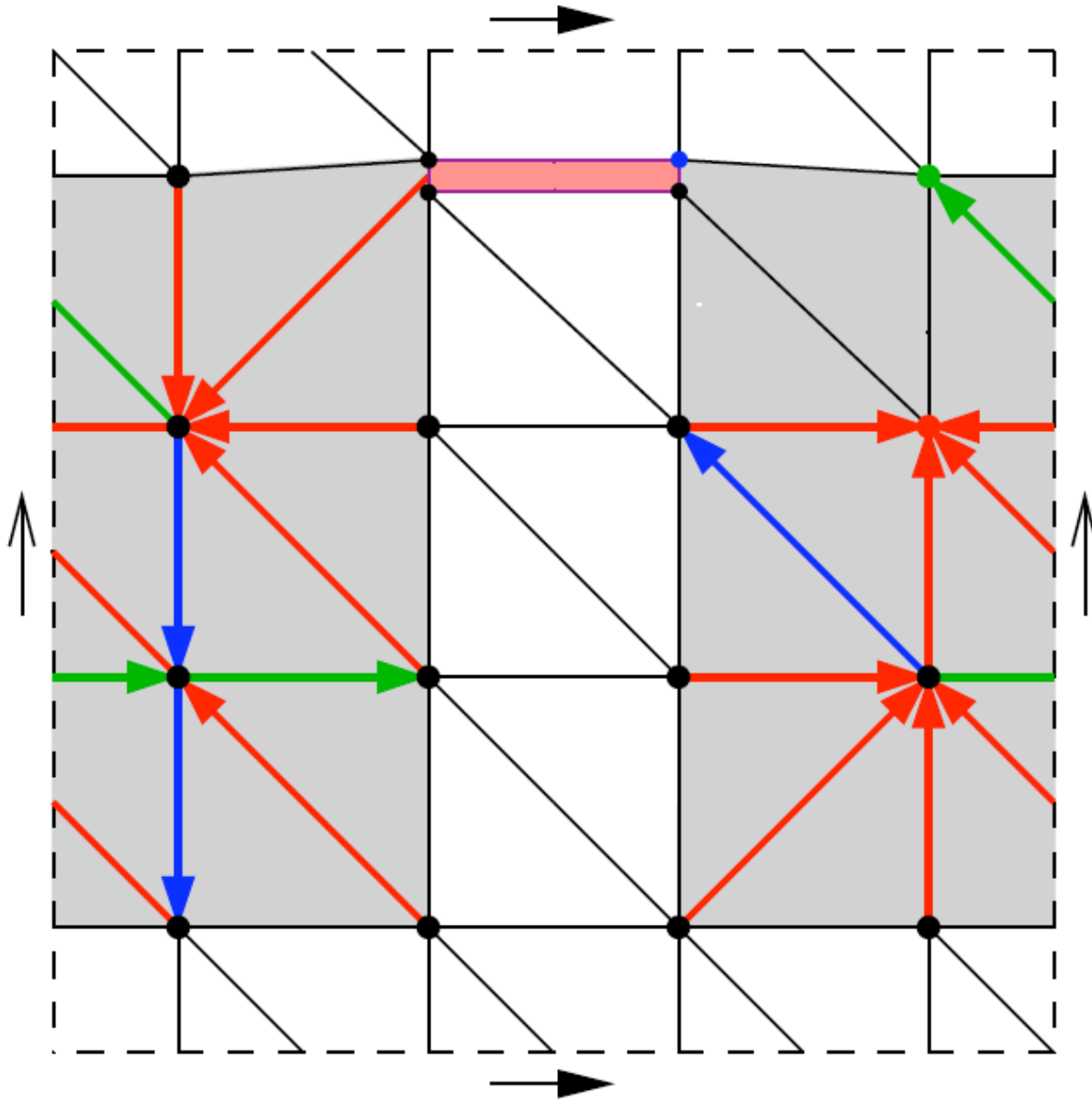
Special step:

- choose chord  $e$
- make it fat
- add it to  $C$

$C$  : disk  $\rightarrow$  cylinder

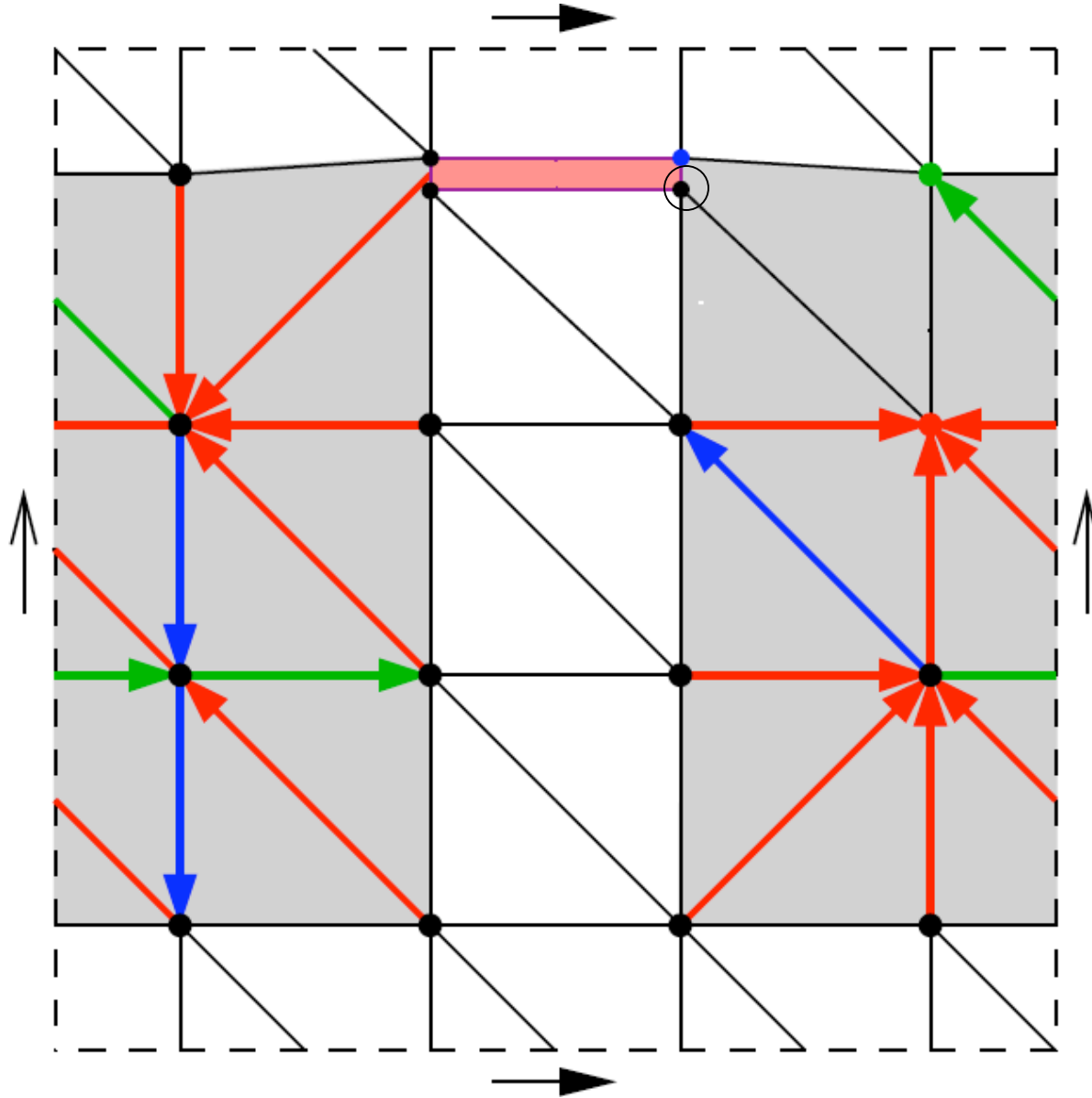
$S_g \setminus C$  : torus  $\rightarrow$  cylinder

# Conquest in higher genus

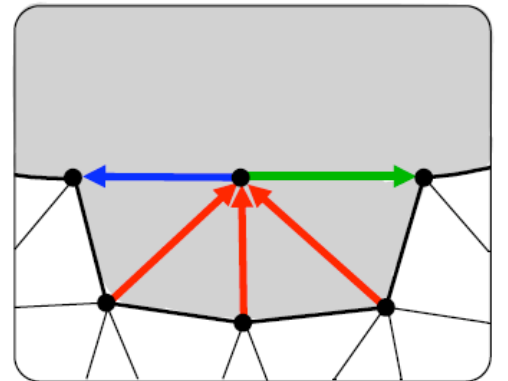
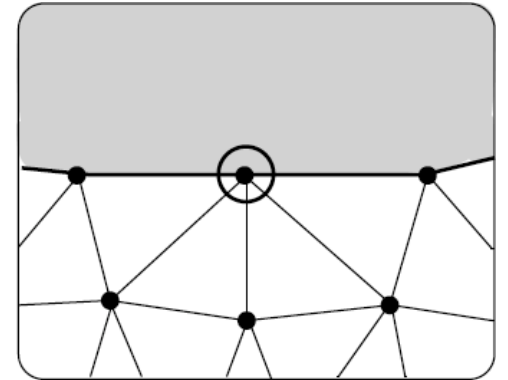


# Continue !

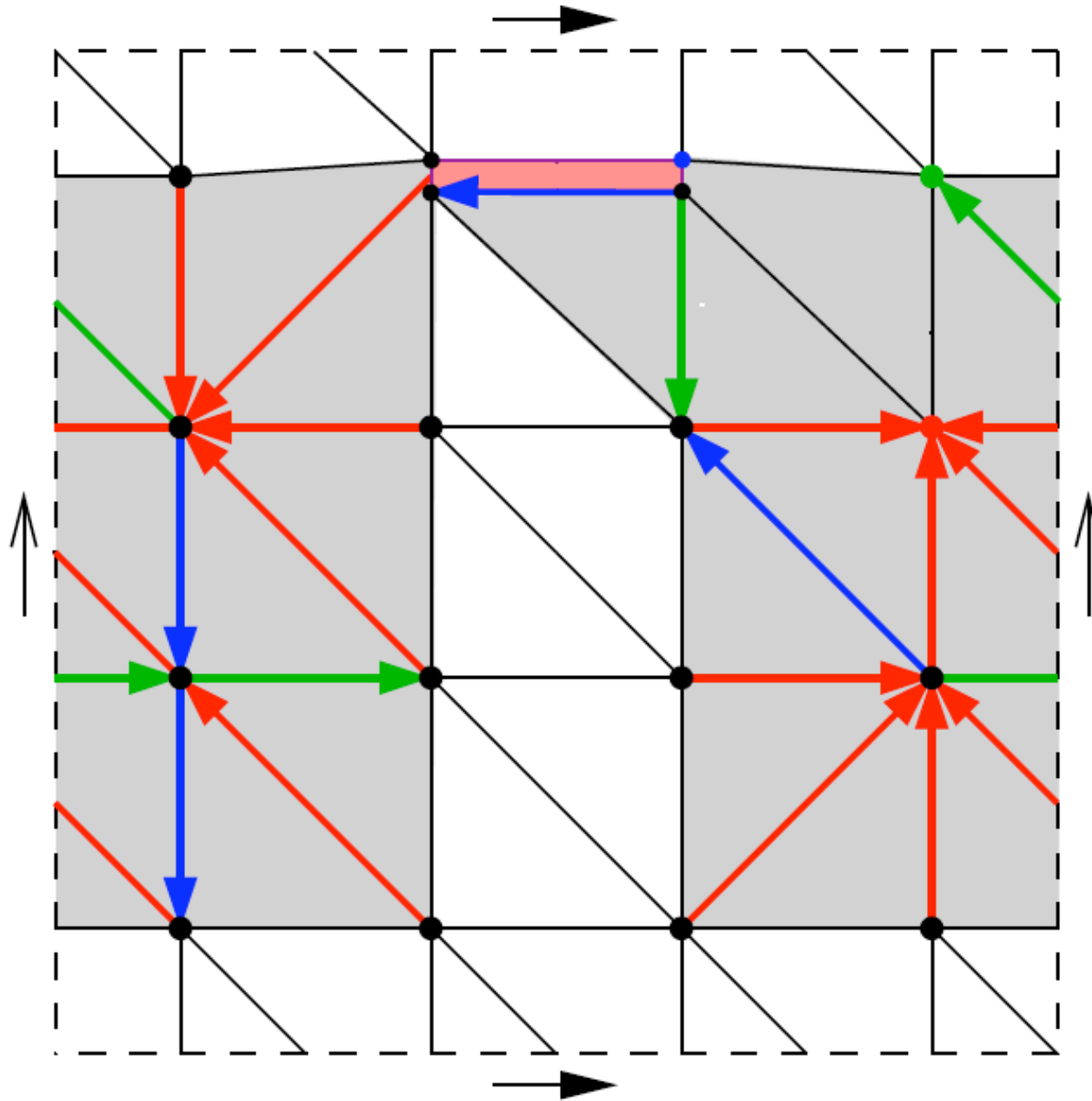
# Conquest in higher genus



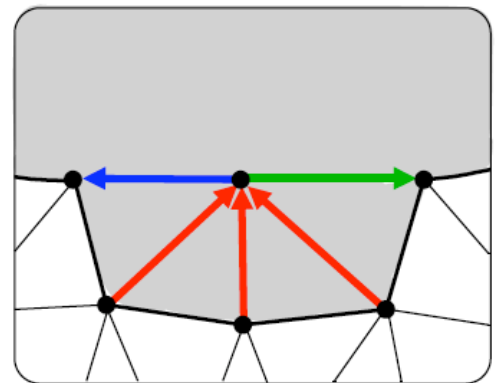
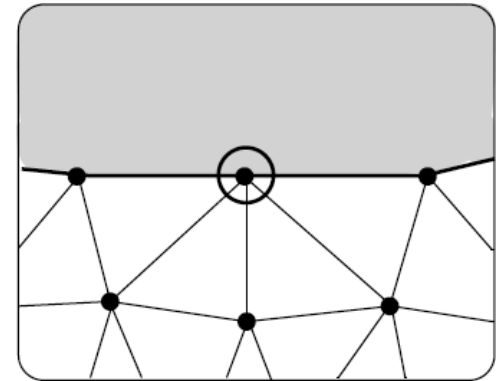
## Conquest step:



# Conquest in higher genus

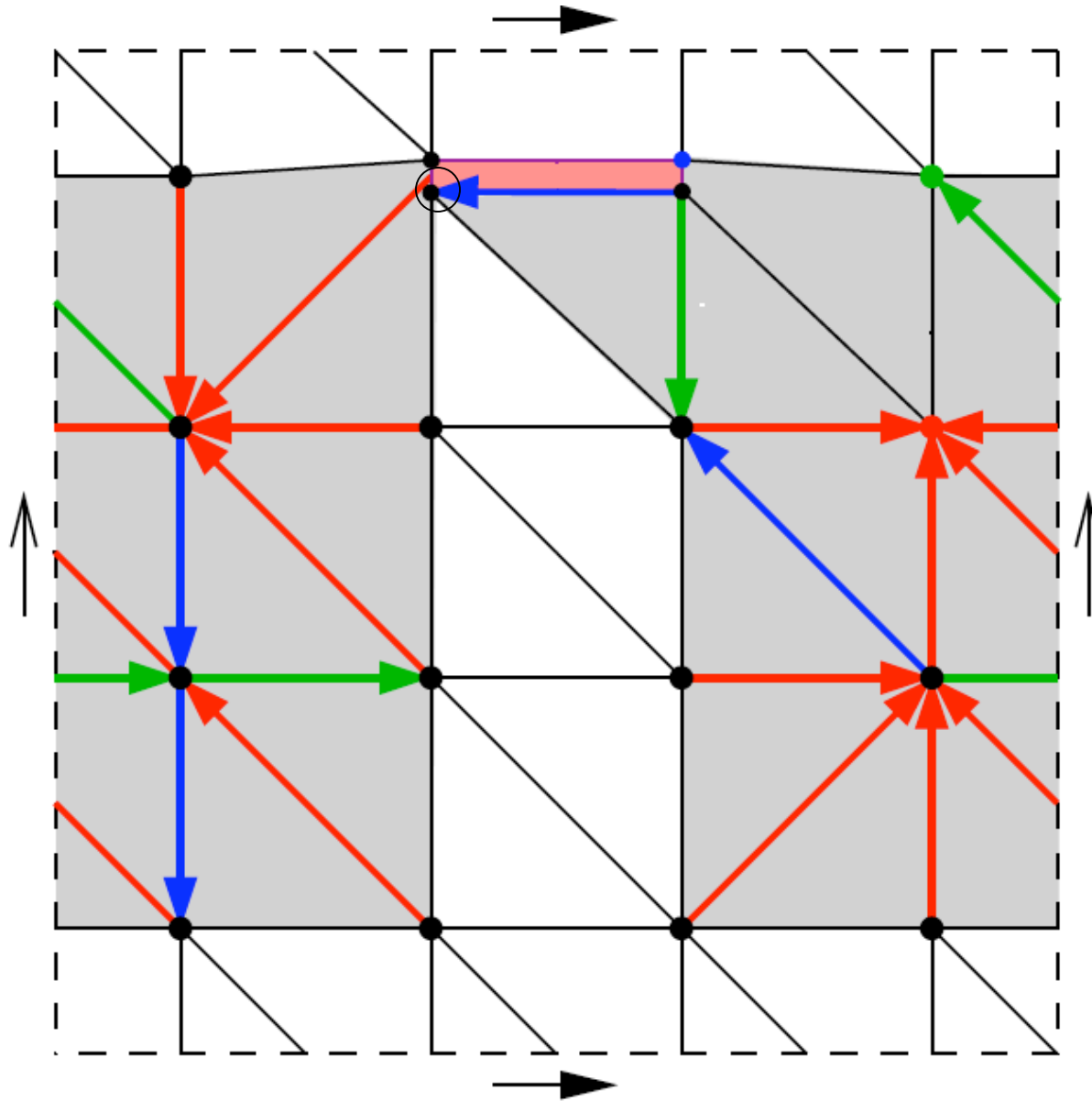


Conquest step:

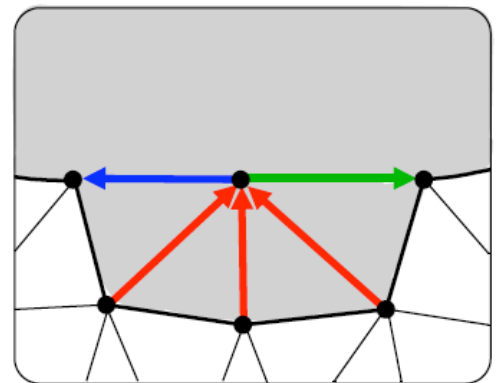
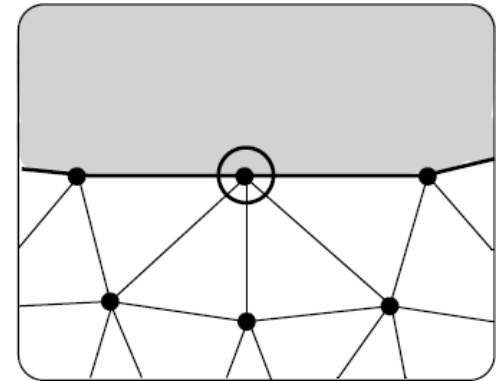




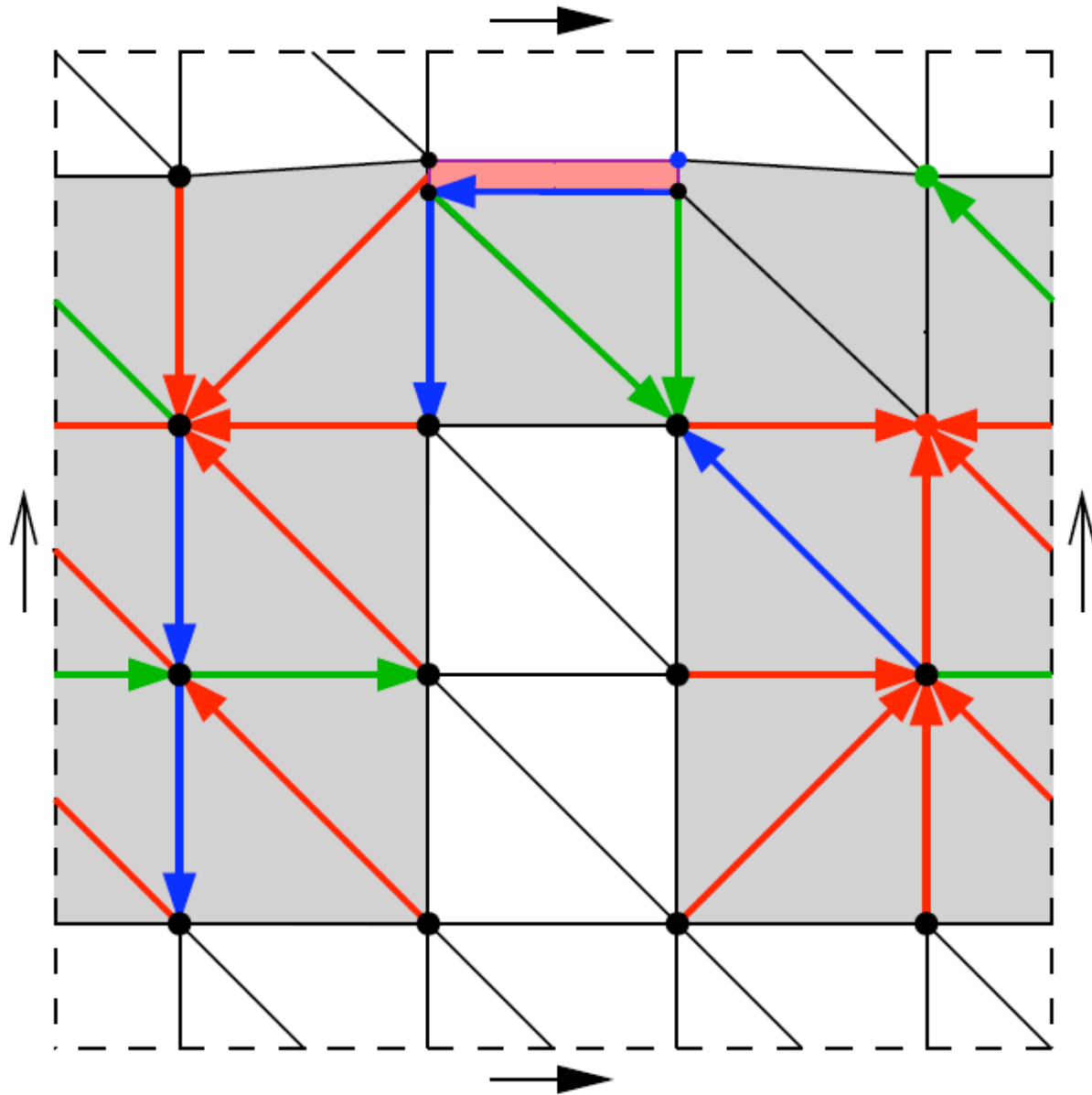
# Conquest in higher genus



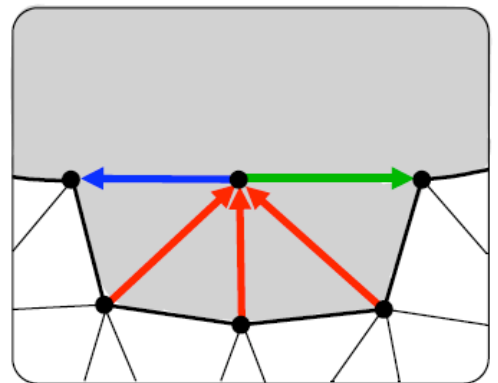
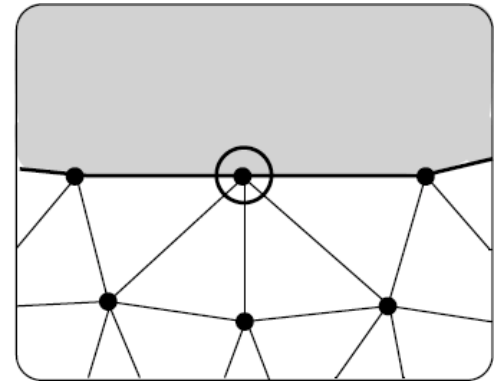
## Conquest step:



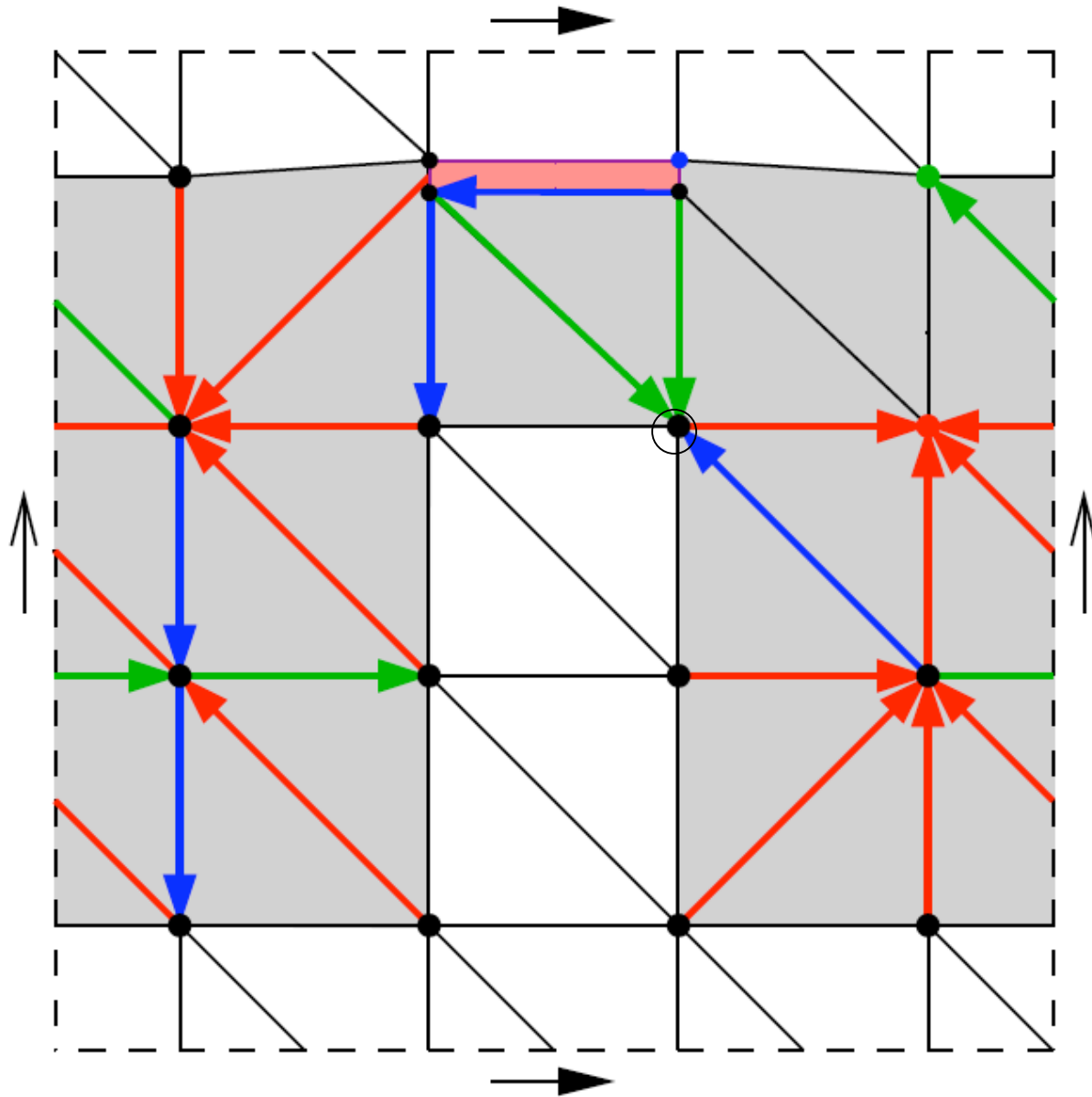
# Conquest in higher genus



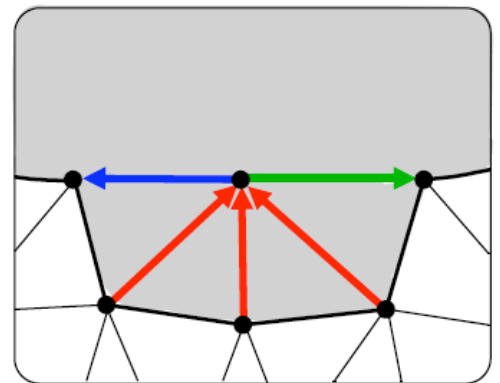
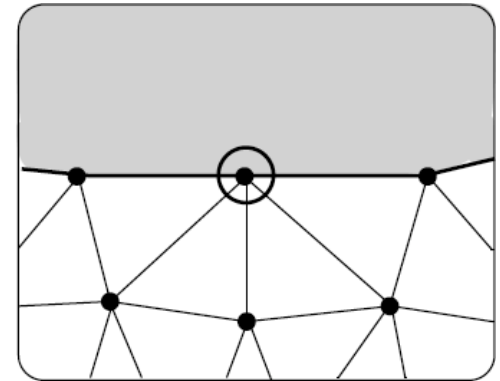
Conquest step:



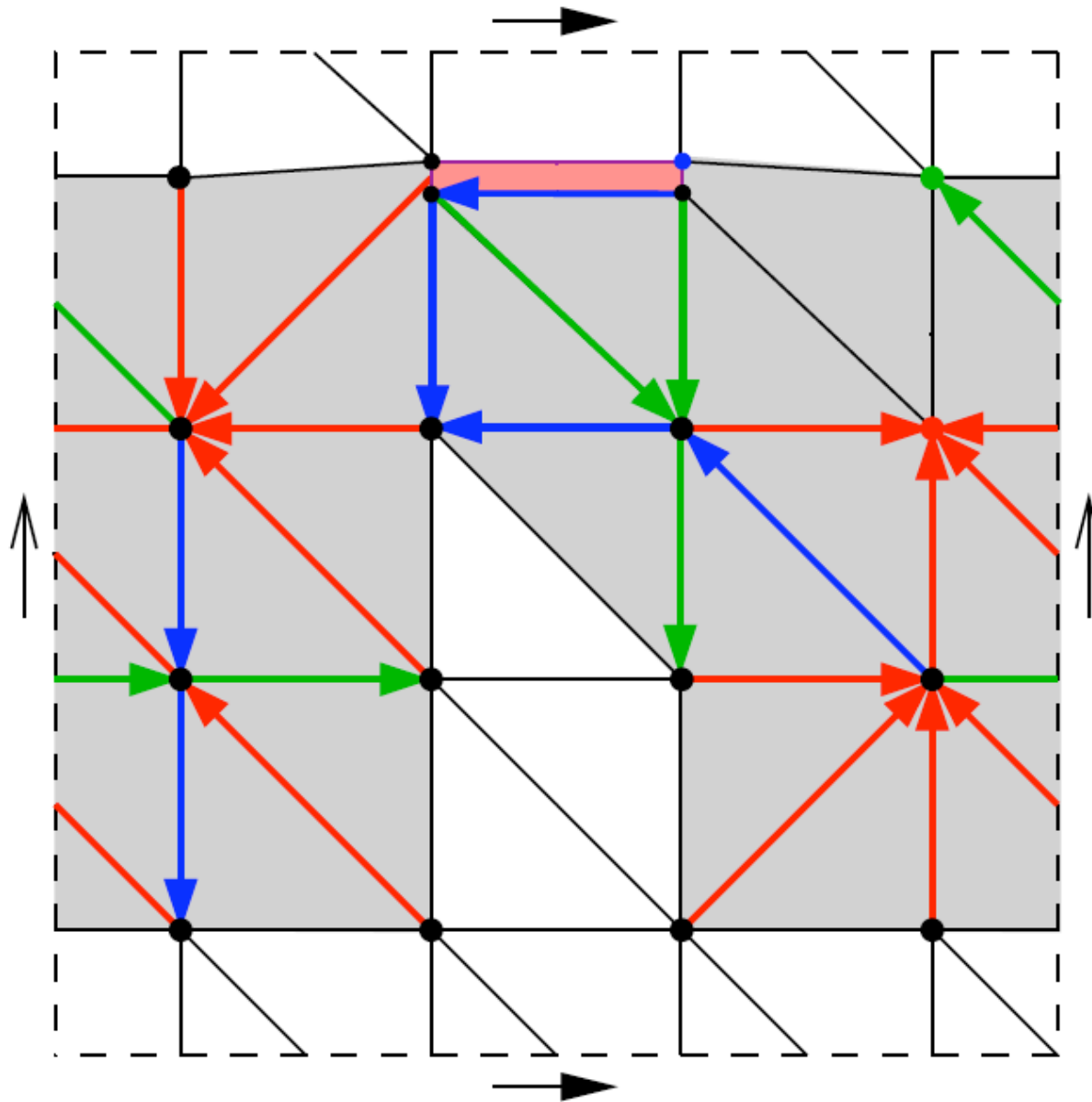
# Conquest in higher genus



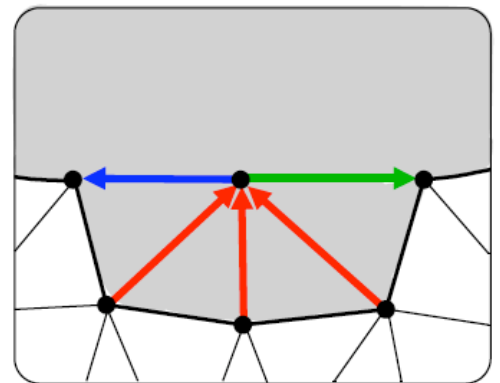
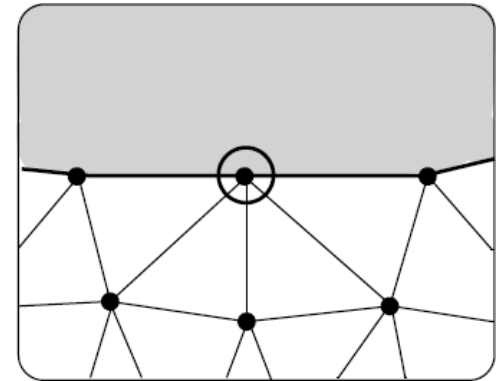
Conquest step:



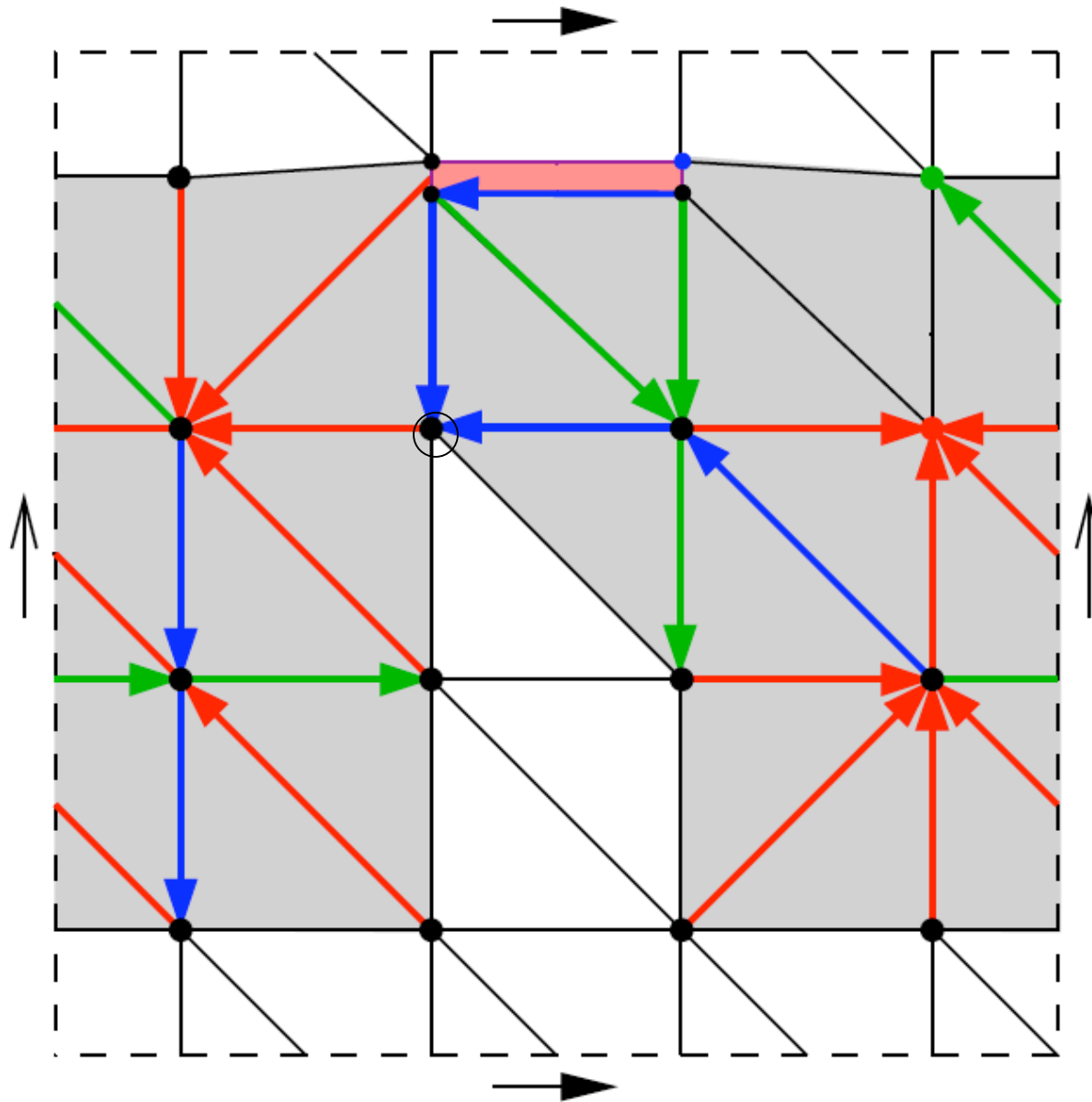
# Conquest in higher genus



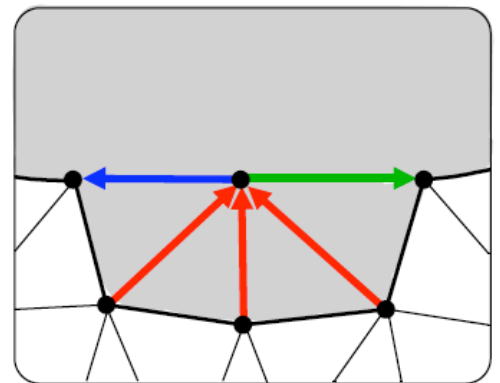
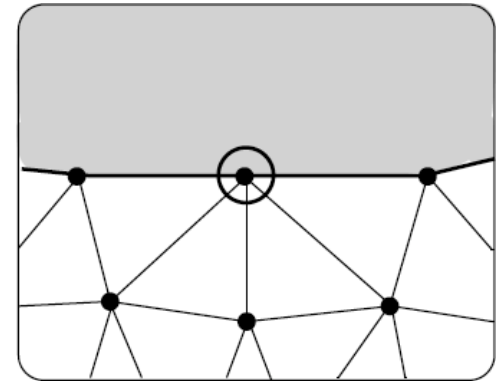
Conquest step:



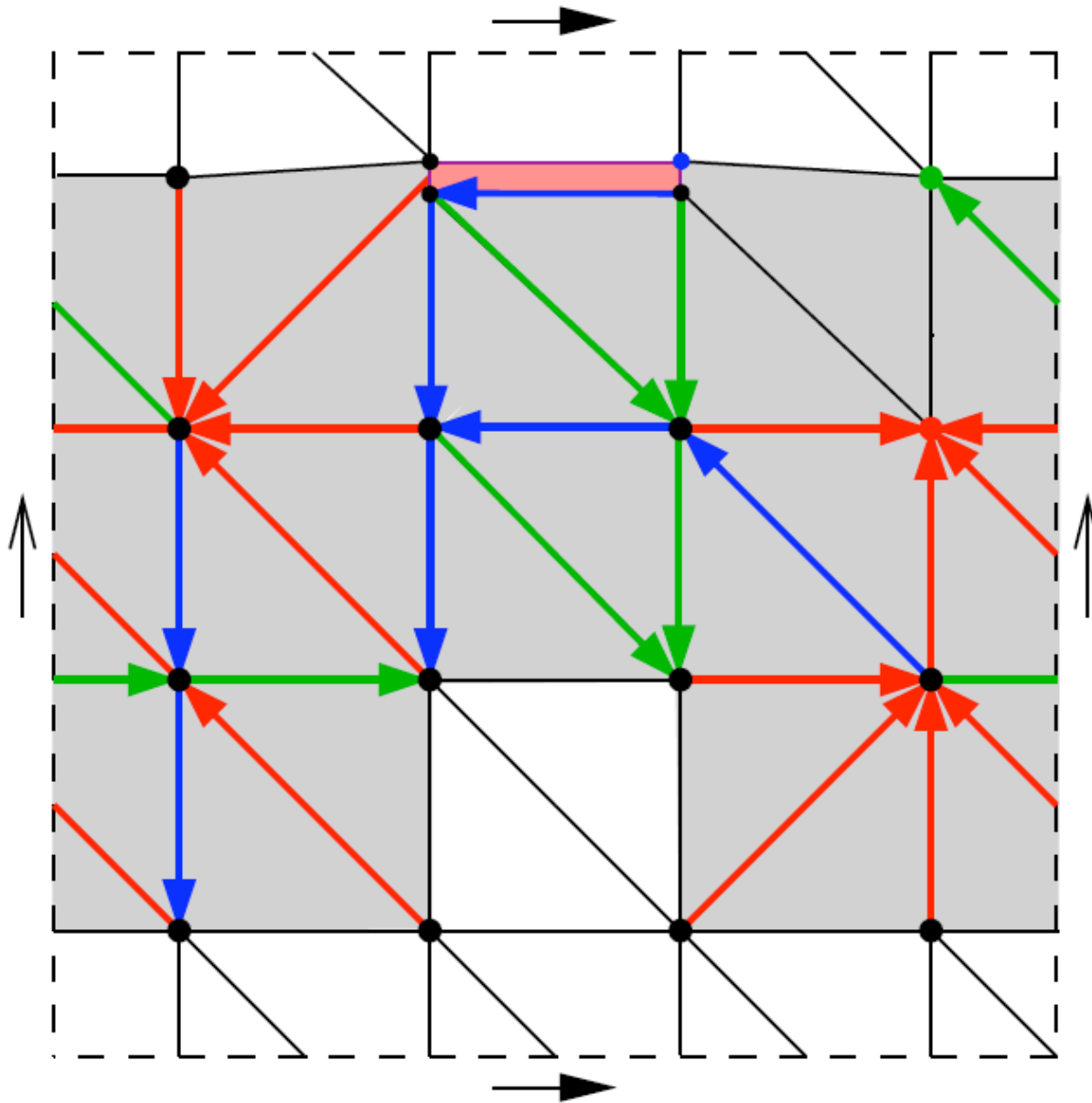
# Conquest in higher genus



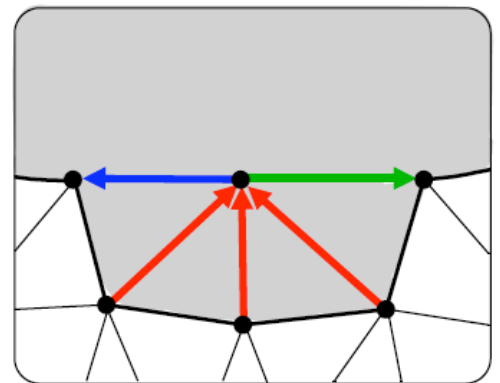
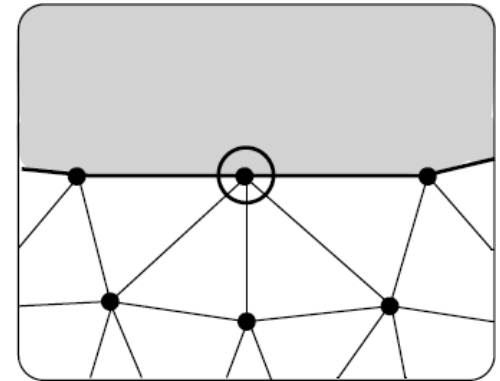
Conquest step:



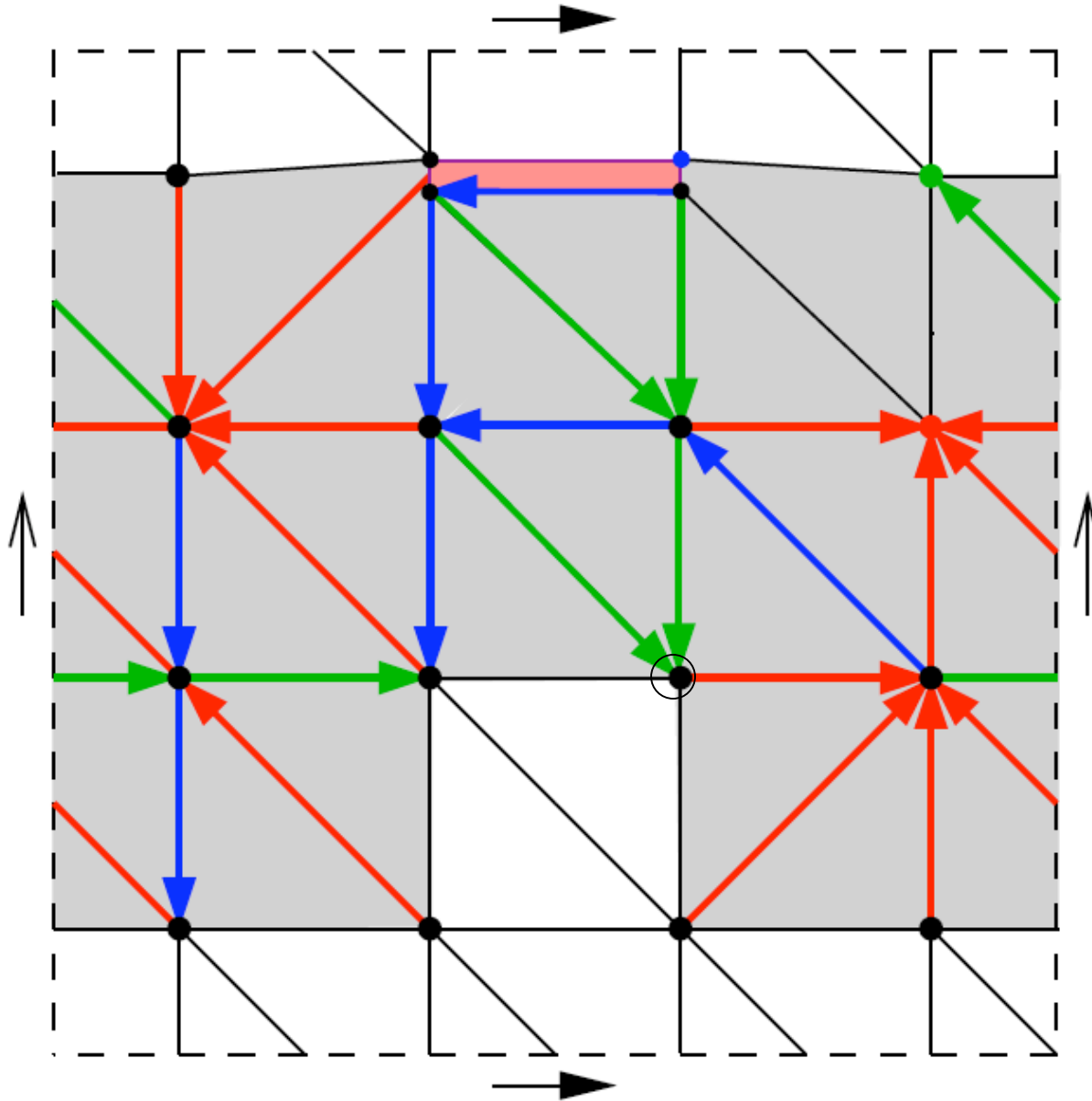
# Conquest in higher genus



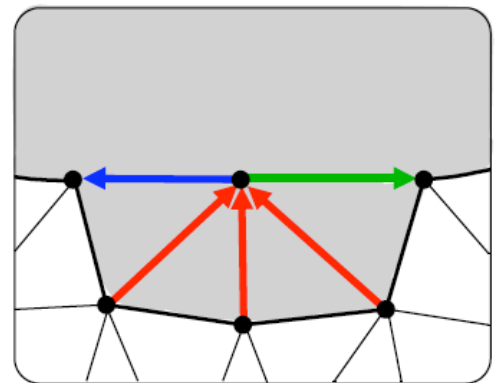
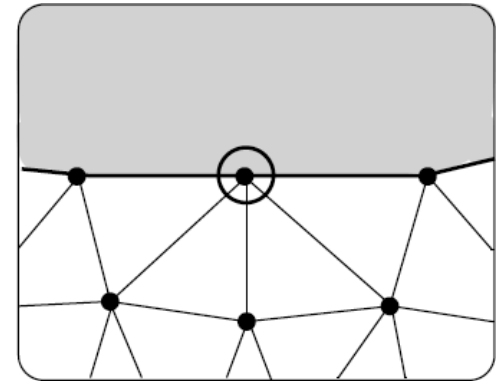
Conquest step:



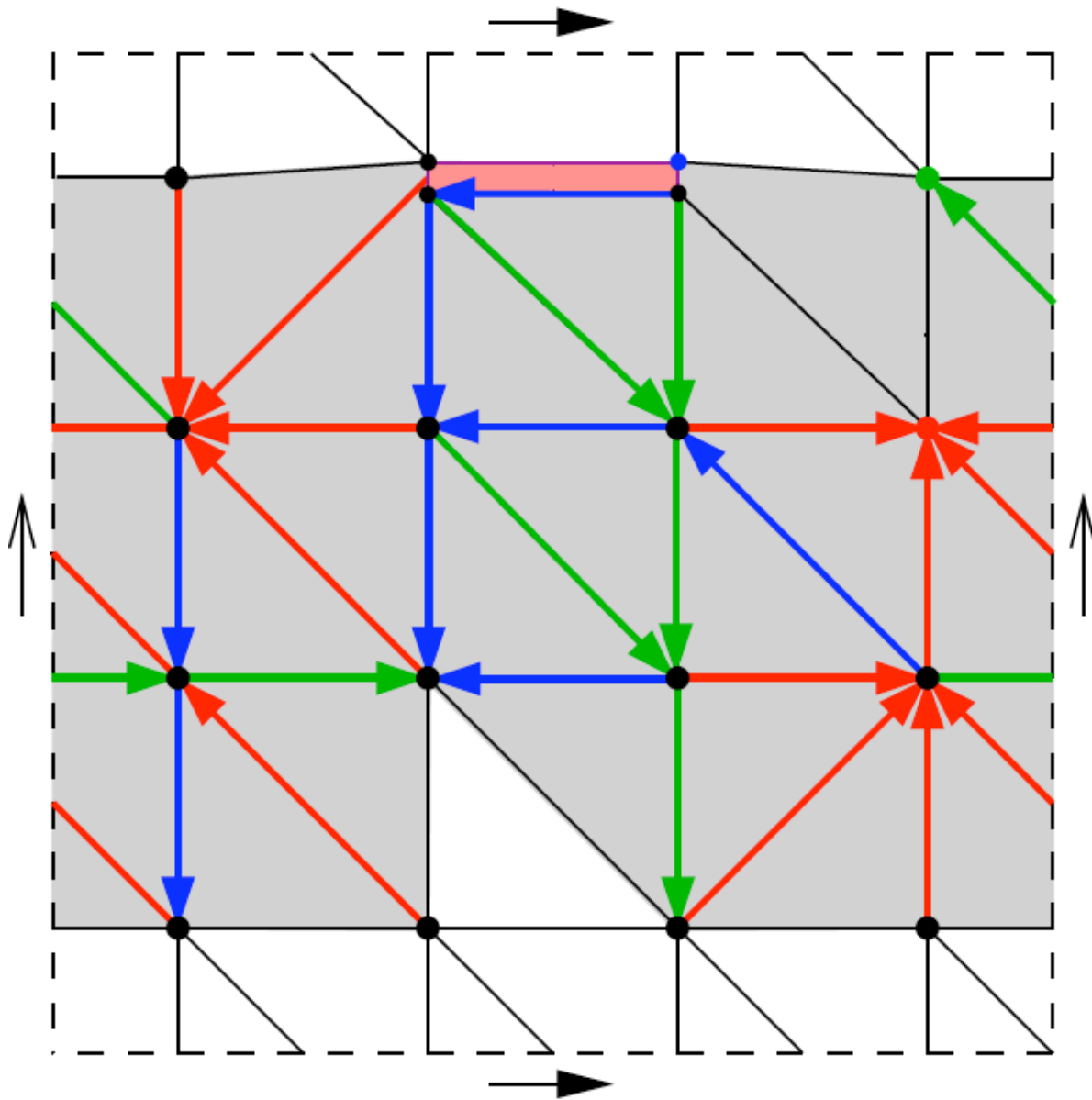
# Conquest in higher genus



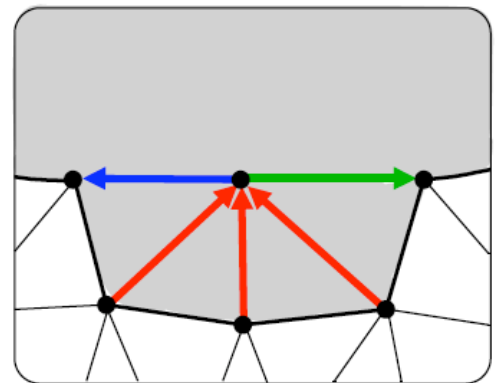
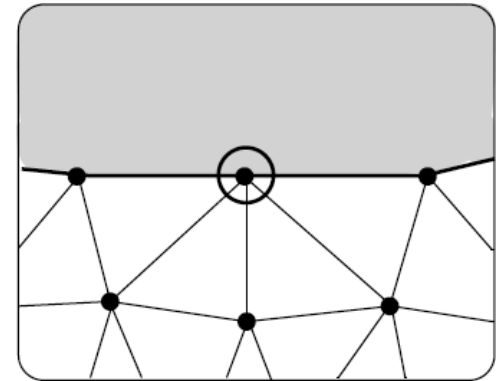
## Conquest step:



# Conquest in higher genus

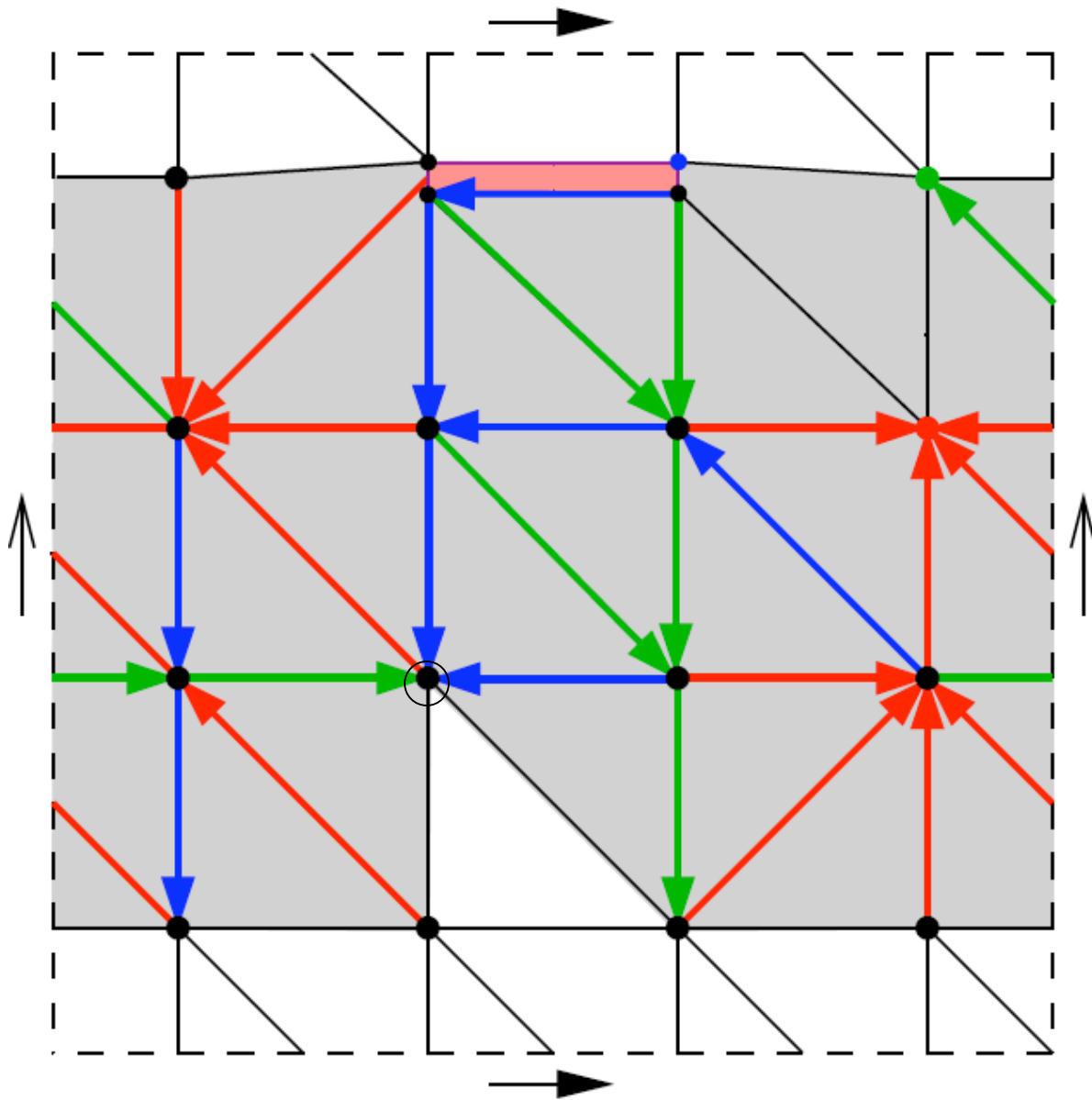


Conquest step:

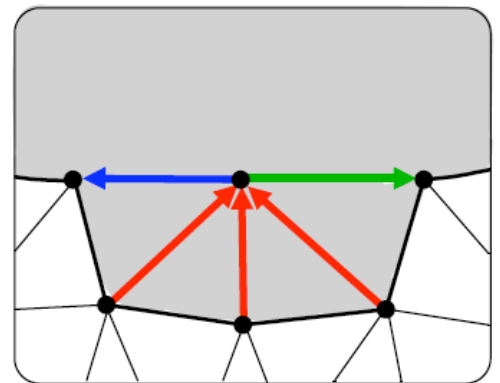
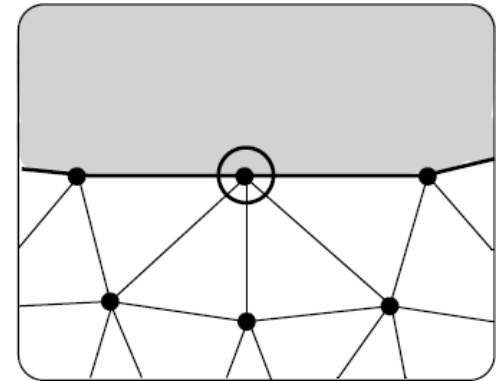




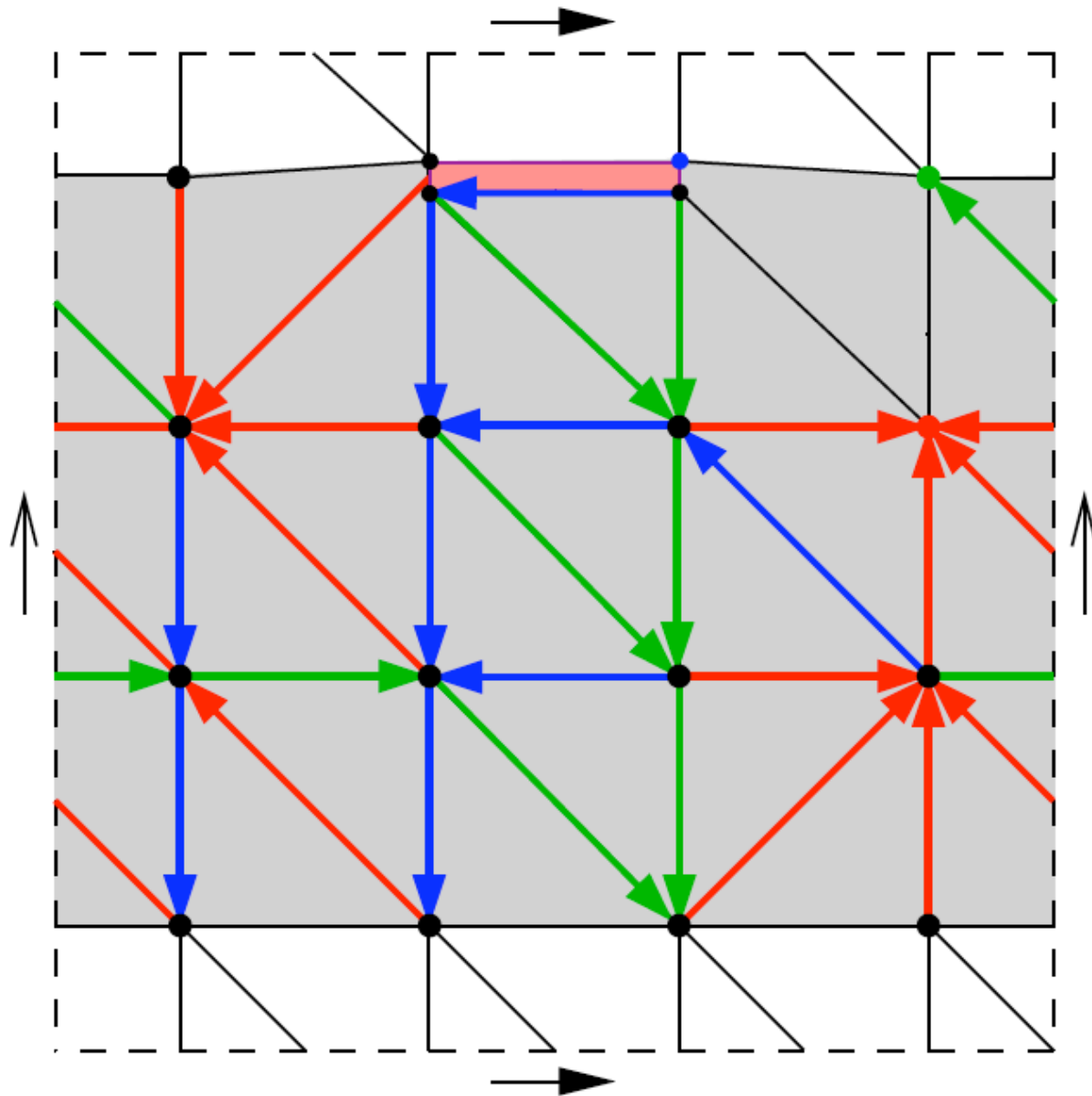
# Conquest in higher genus



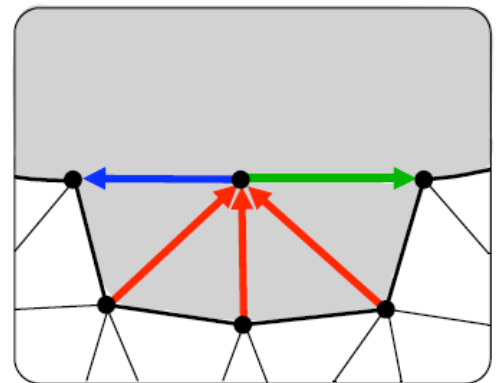
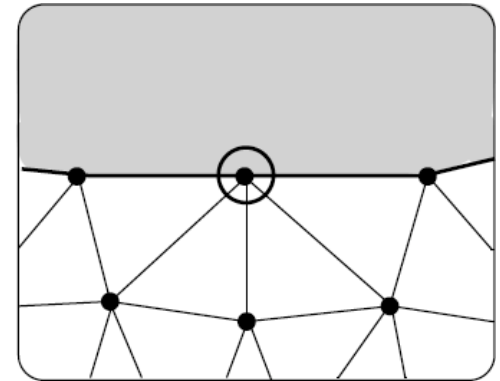
Conquest step:



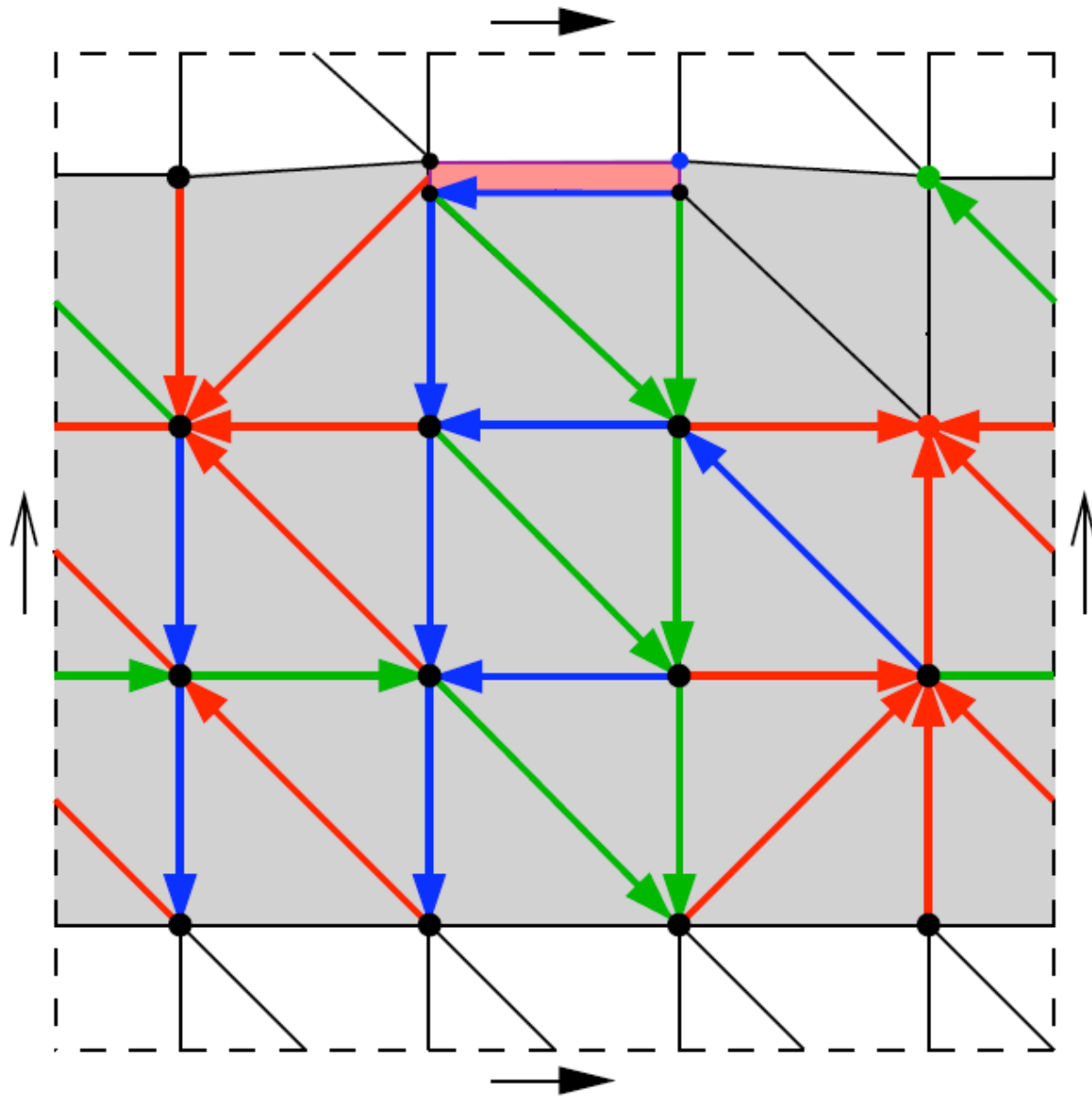
# Conquest in higher genus



## Conquest step:

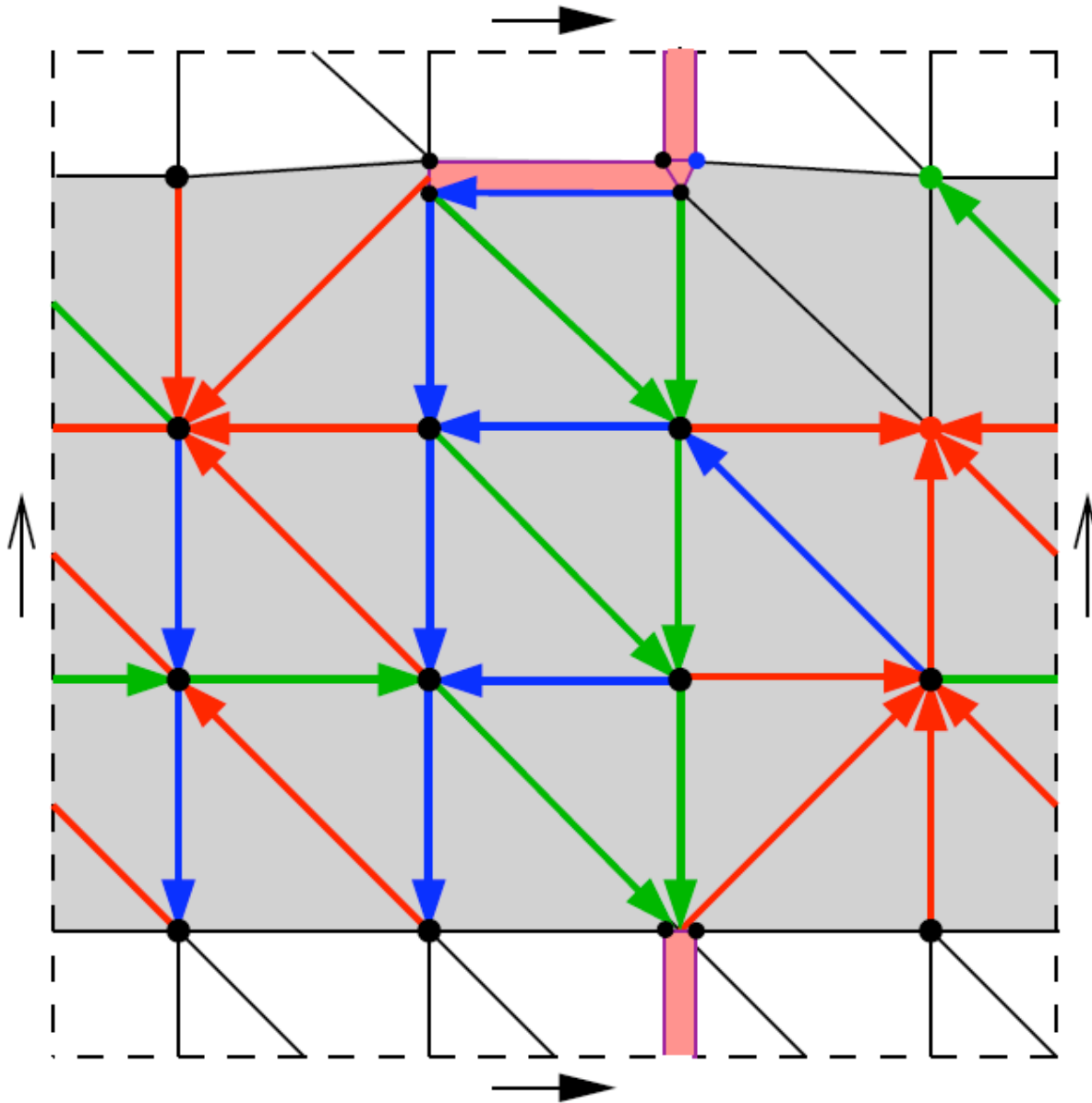


# Conquest in higher genus



Can not extend  
conquered area  $C$

# Conquest in higher genus



Can not extend  
conquered area  $C$

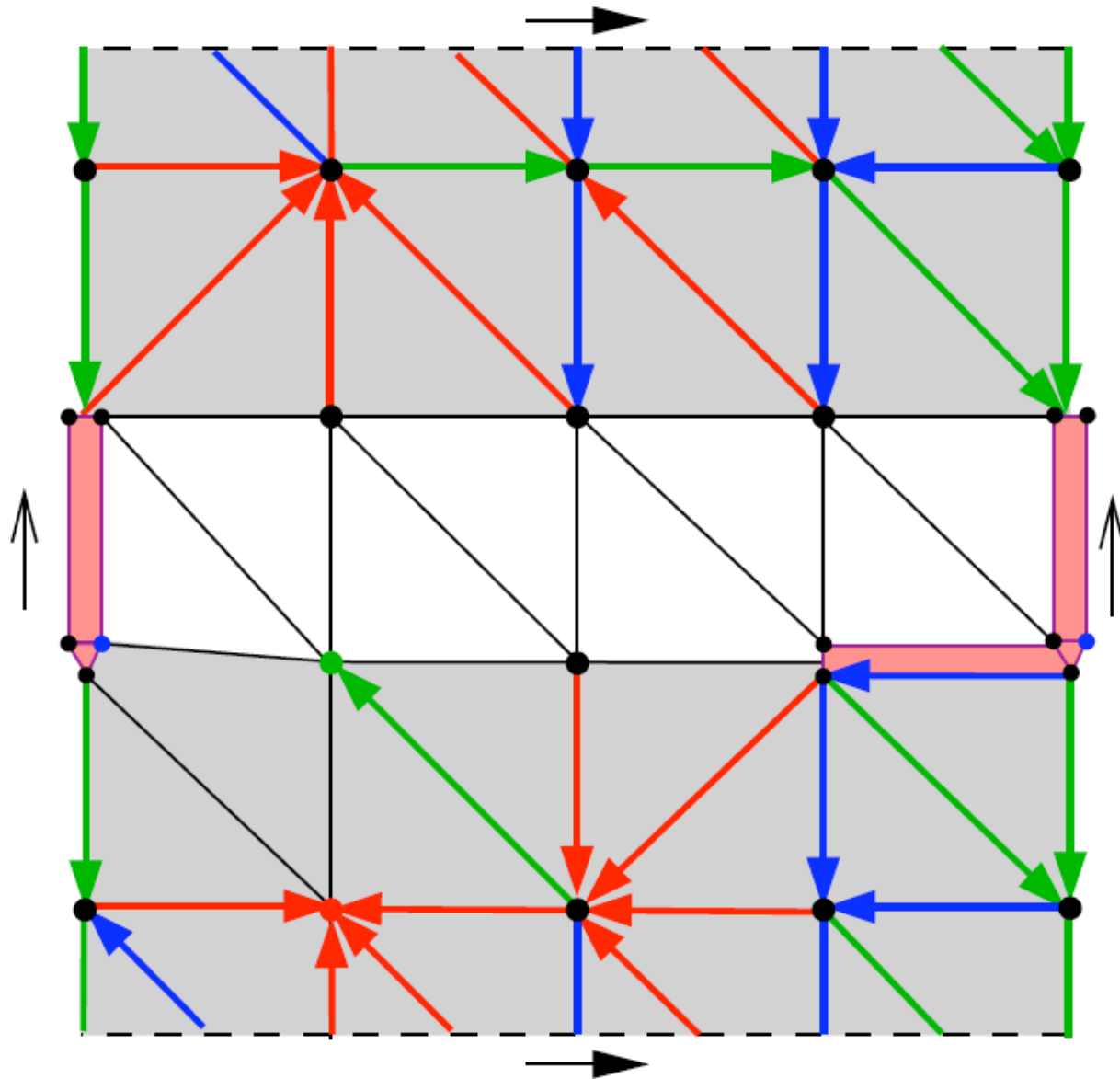
Special step:

- choose chord  $e$
- make it fat
- add it to  $C$

$C$  : cylinder  $\rightarrow$  torus

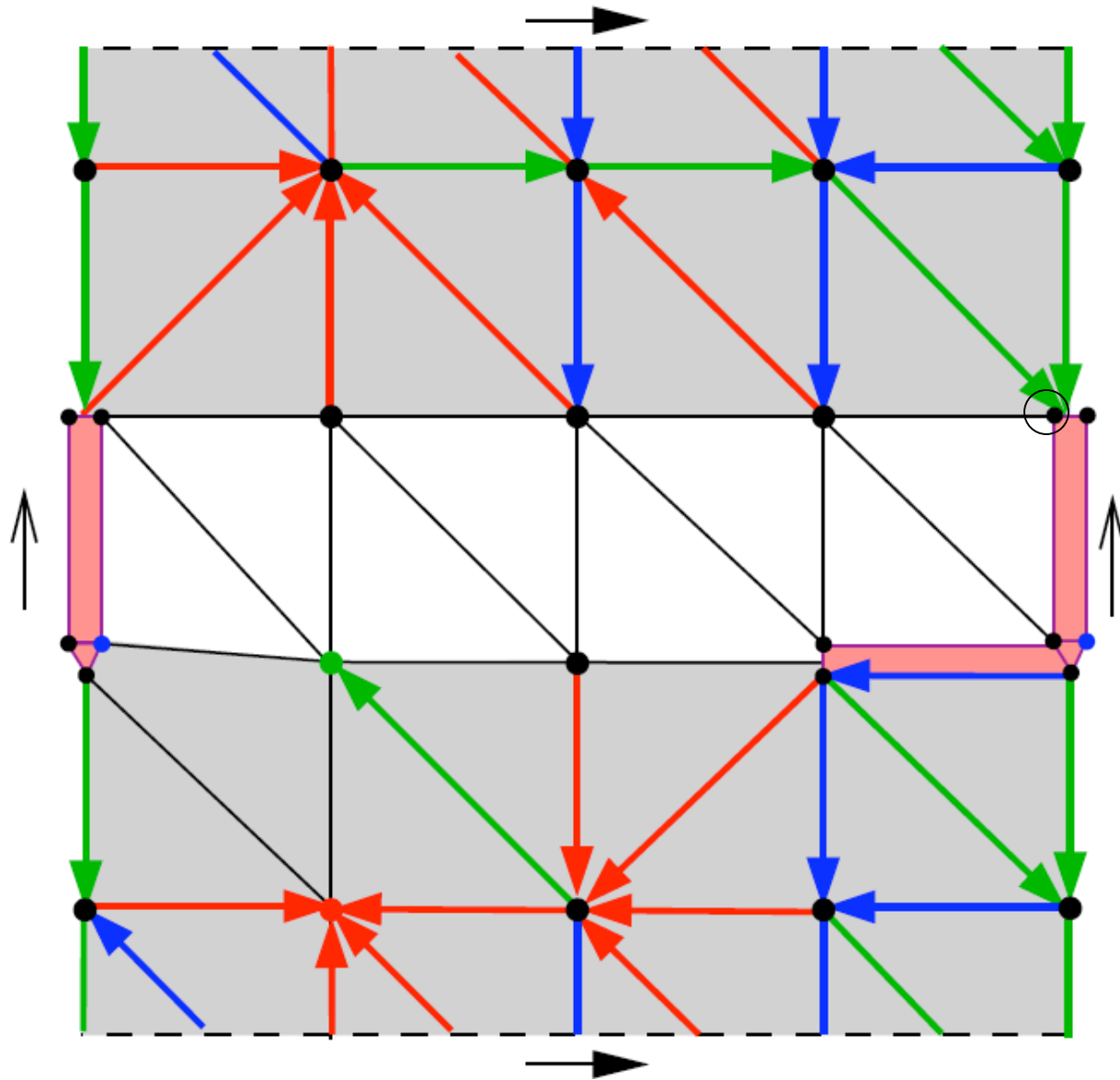
$S_g \setminus C$  : cylinder  $\rightarrow$  disk

# Conquest in higher genus

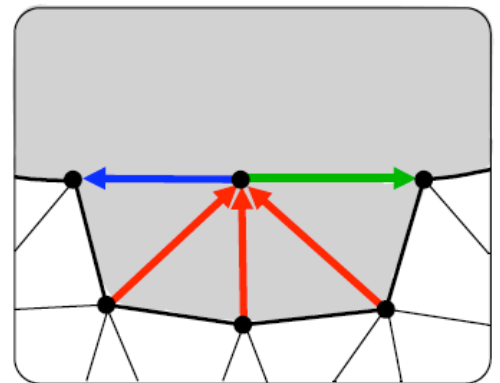
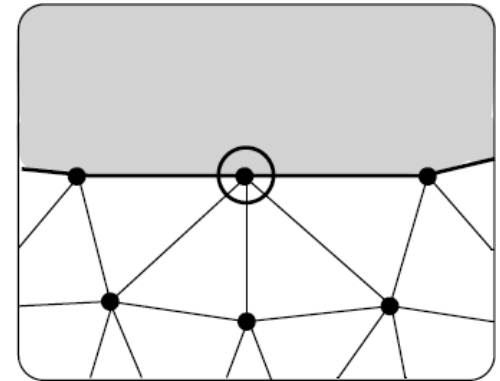


Continue  
and finish !

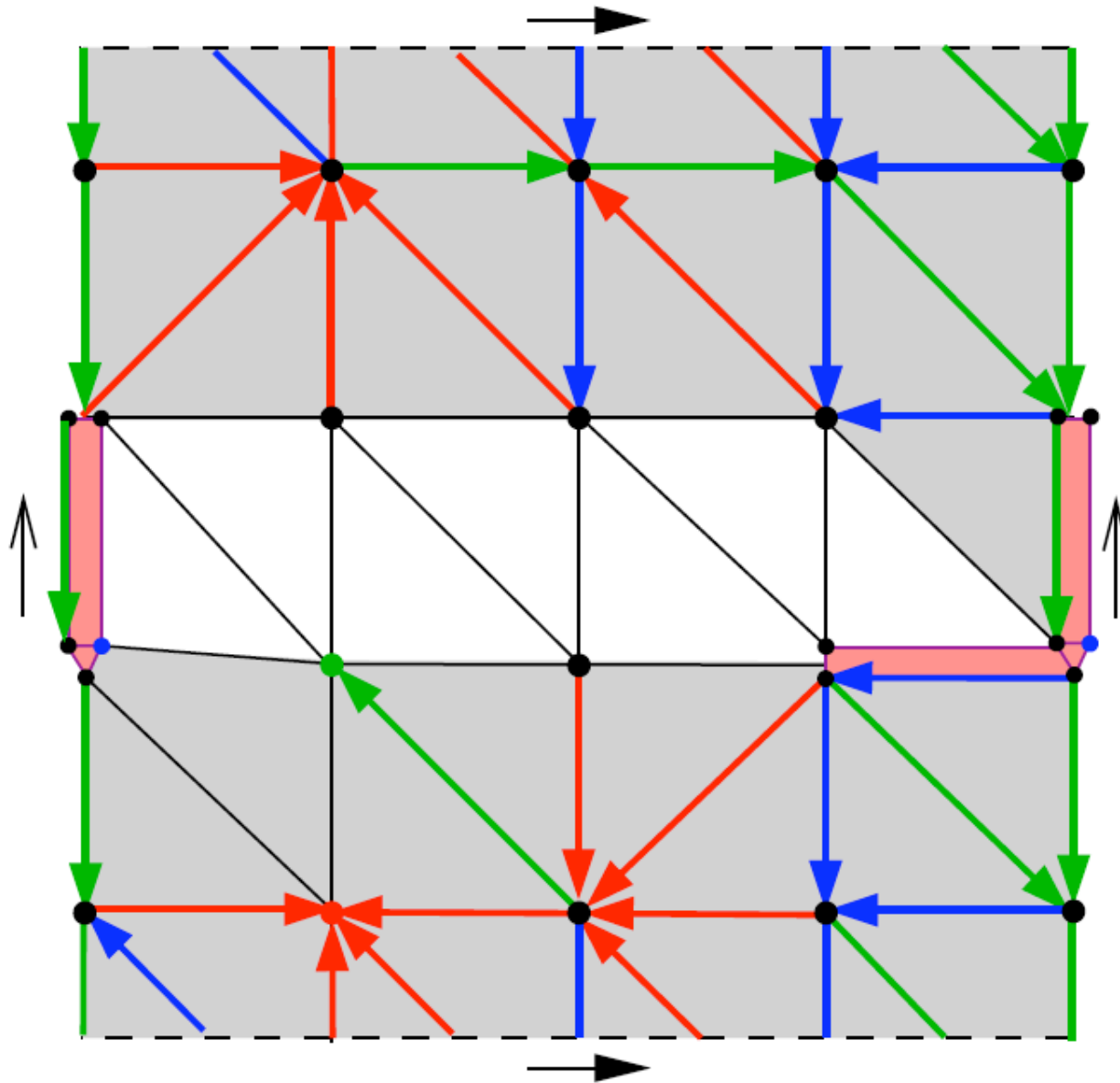
# Conquest in higher genus



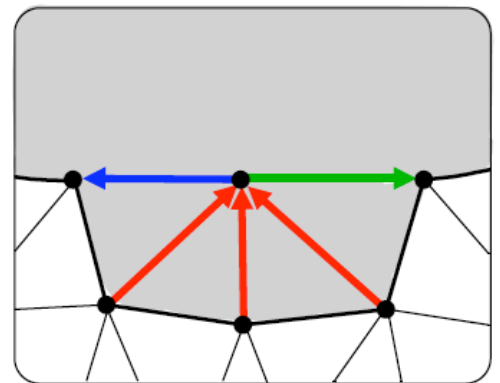
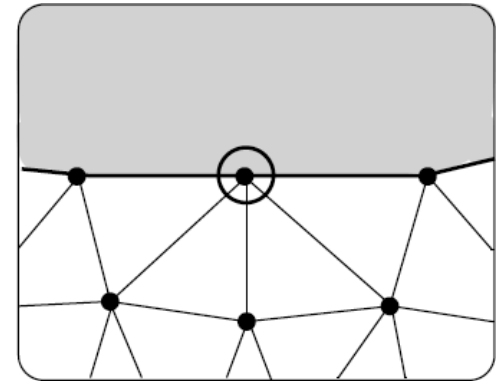
Conquest step:



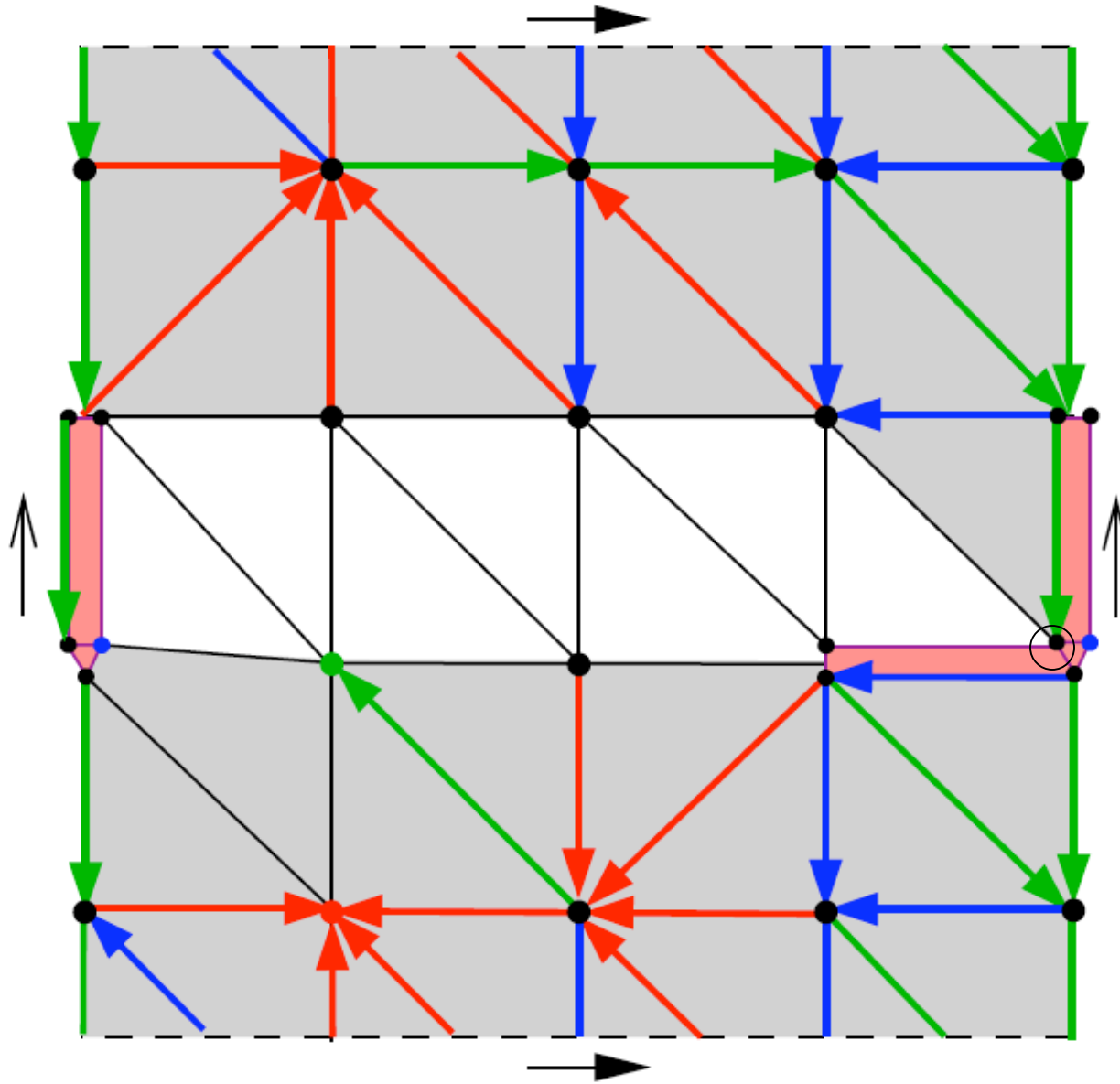
# Conquest in higher genus



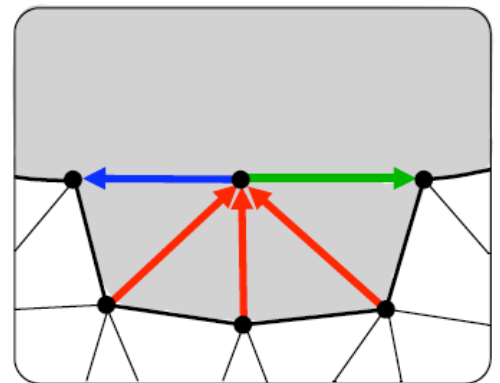
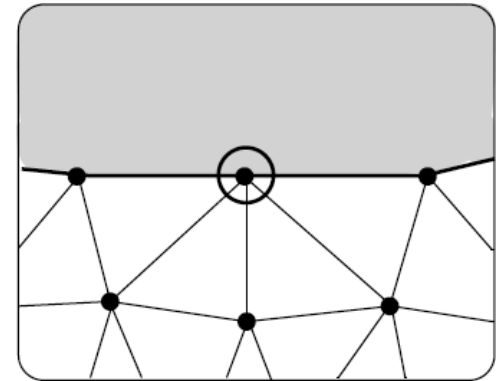
Conquest step:



# Conquest in higher genus

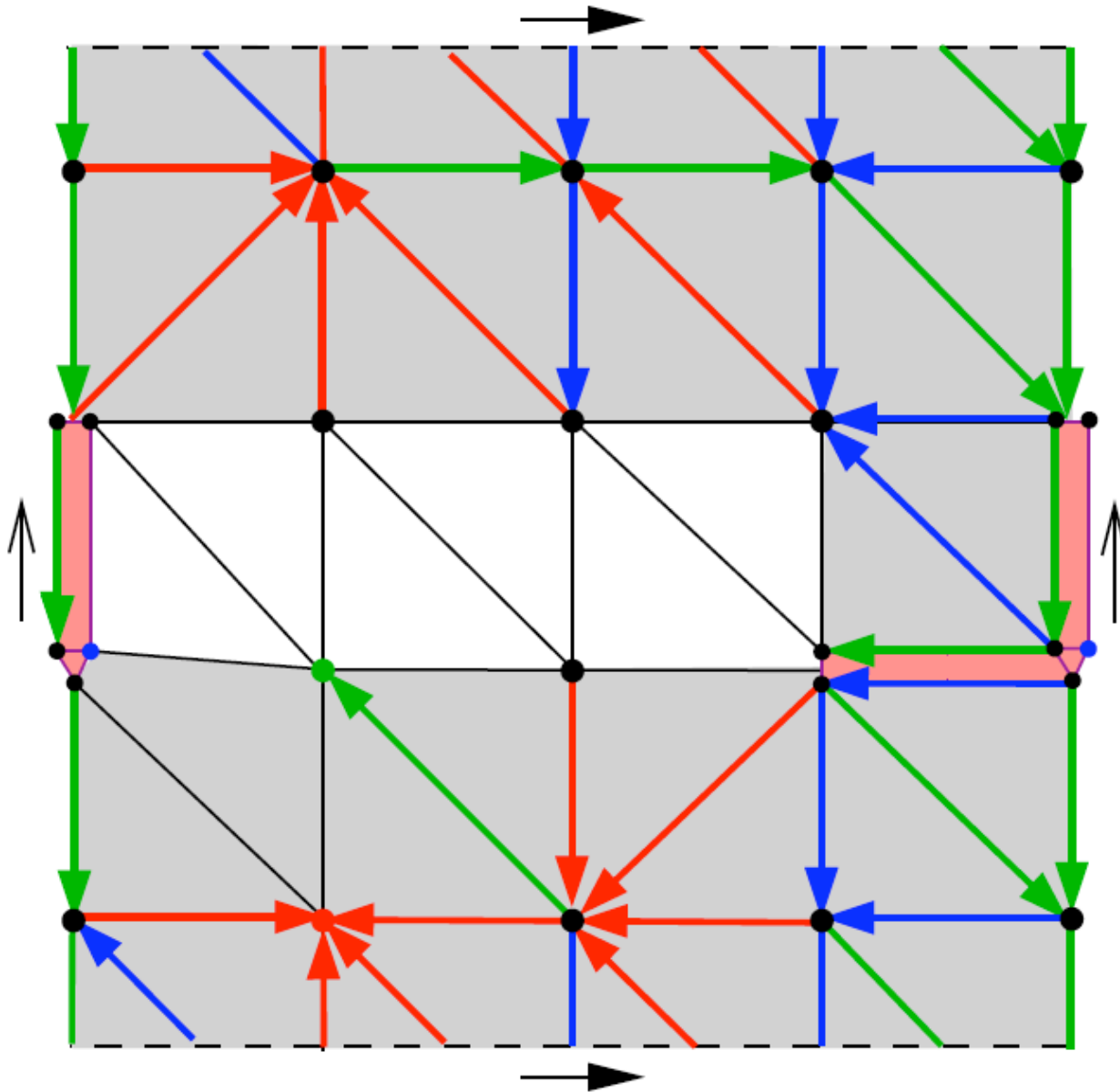


## Conquest step:

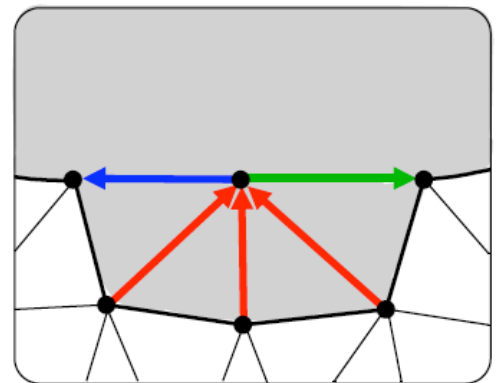
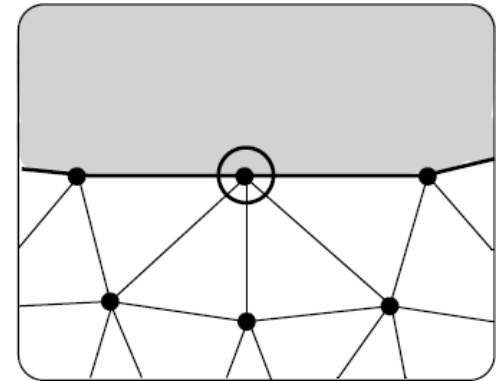




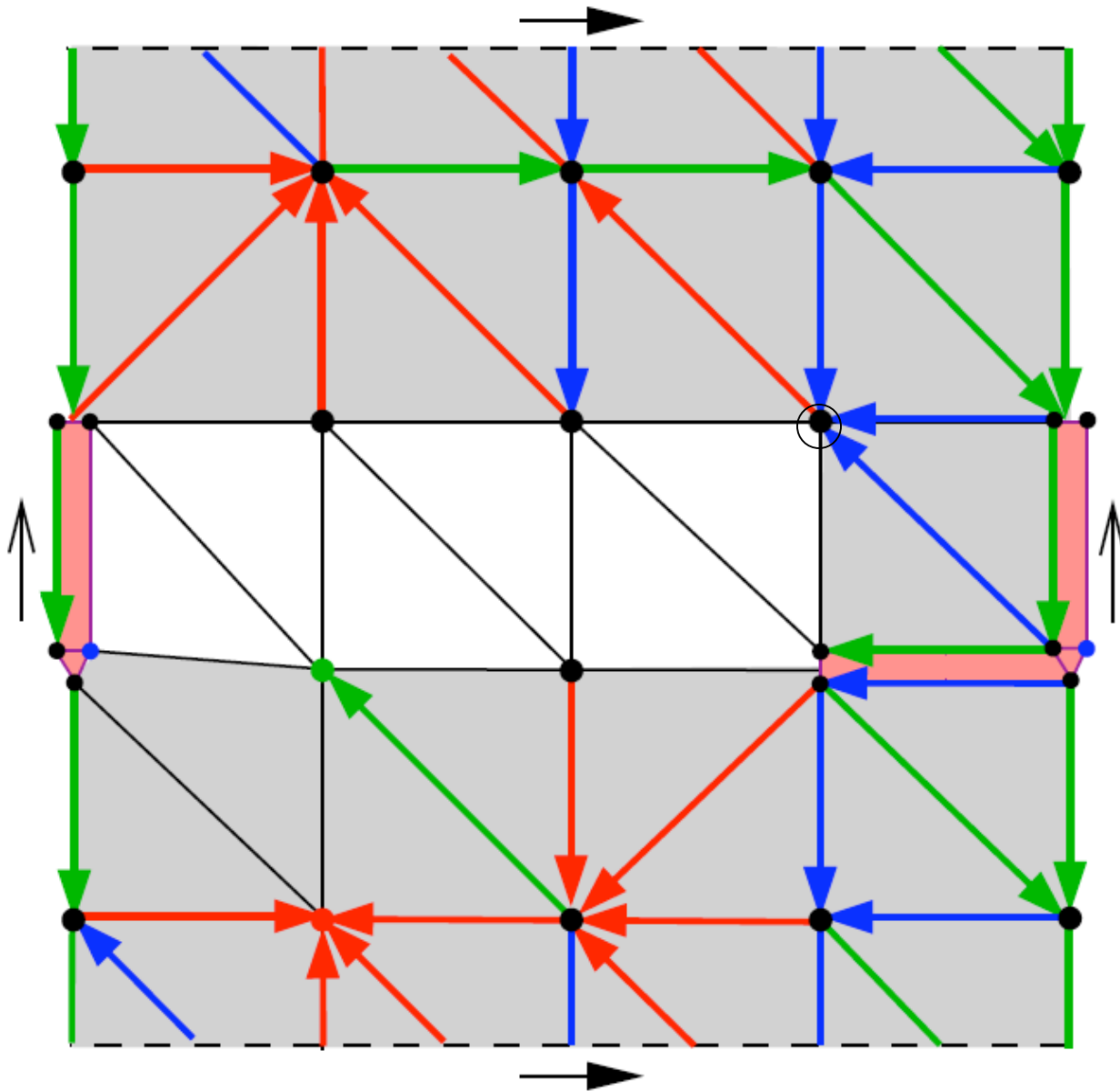
# Conquest in higher genus



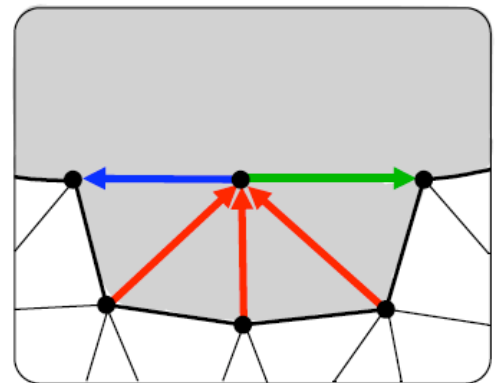
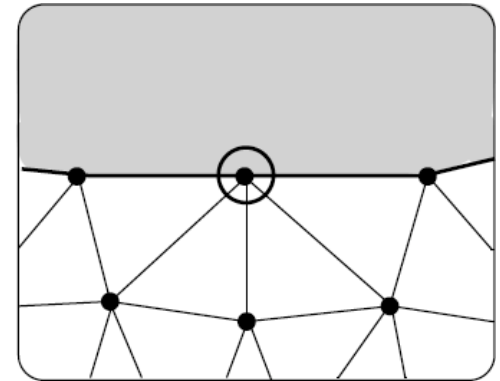
## Conquest step:



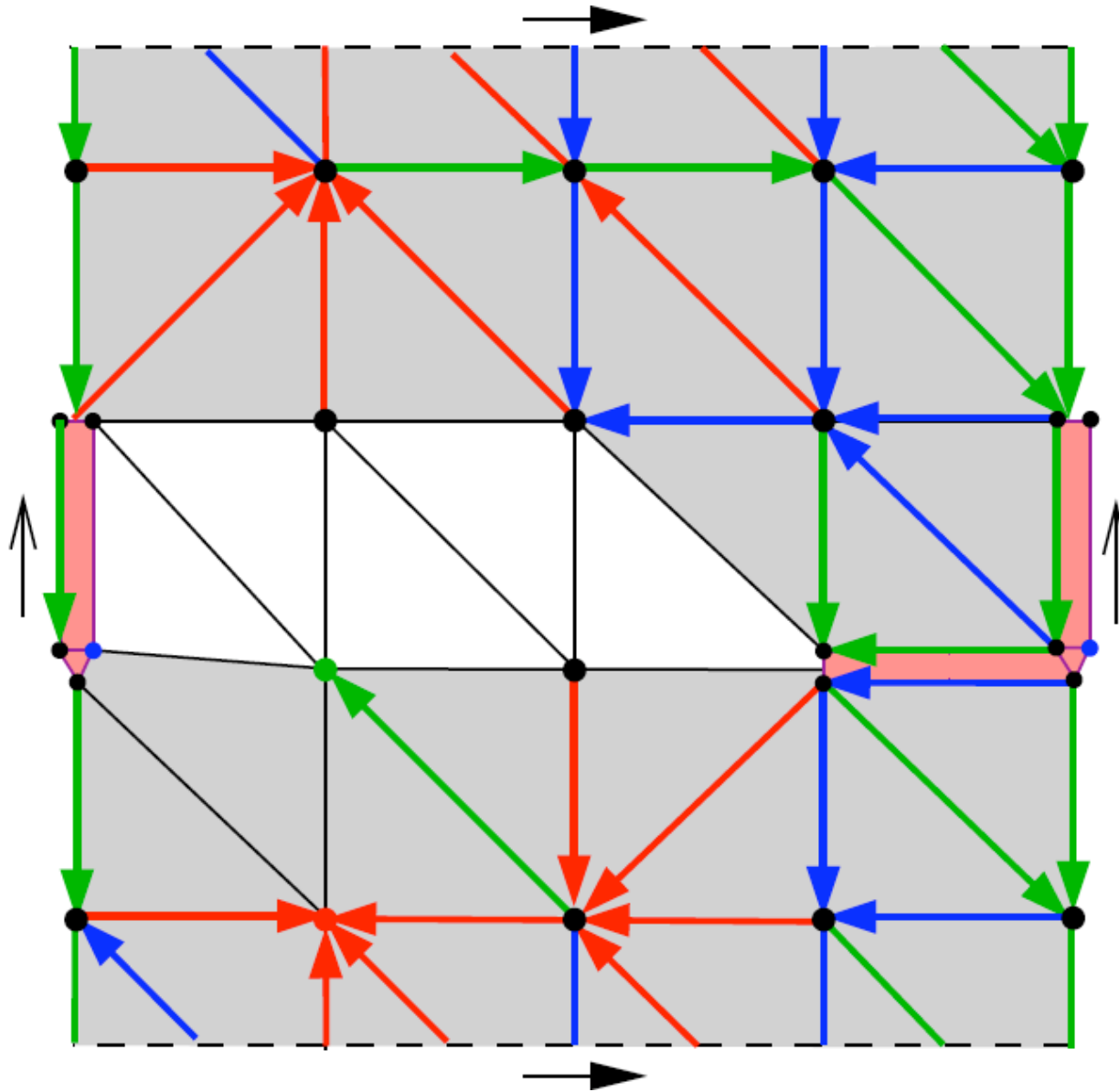
# Conquest in higher genus



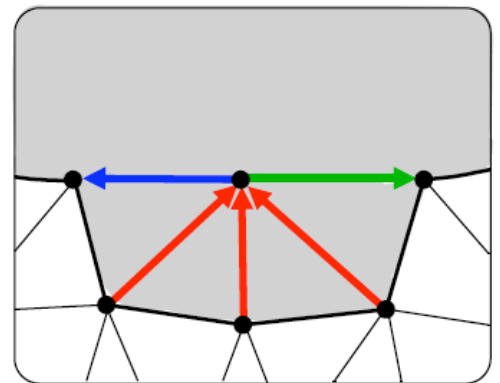
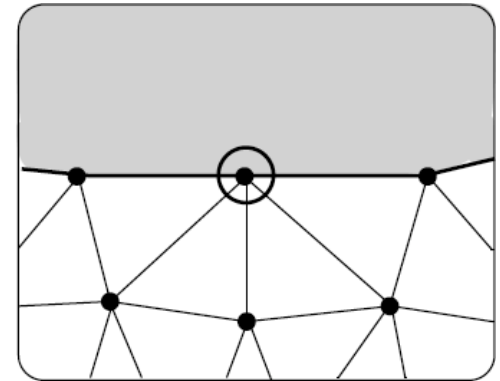
Conquest step:



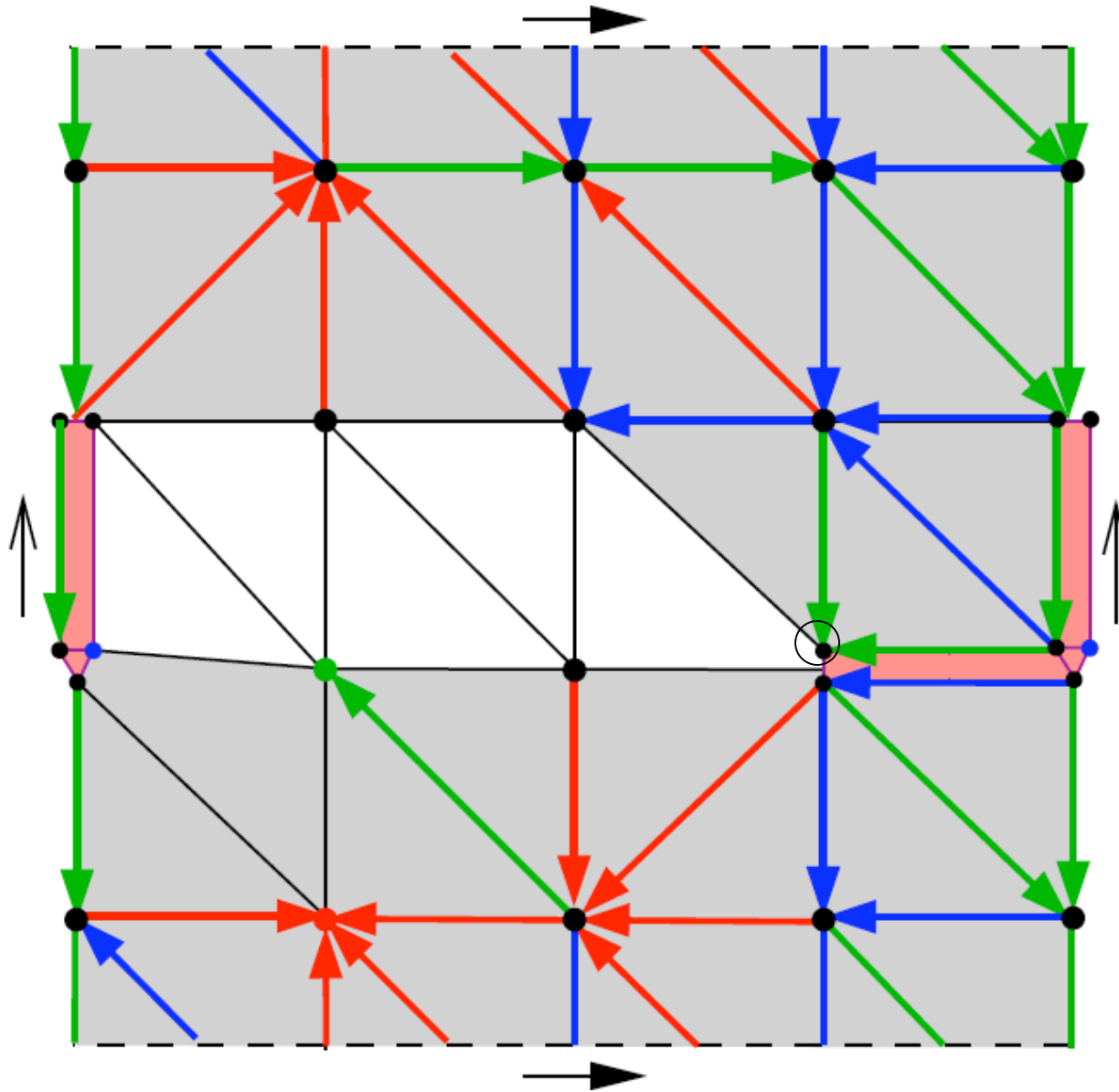
# Conquest in higher genus



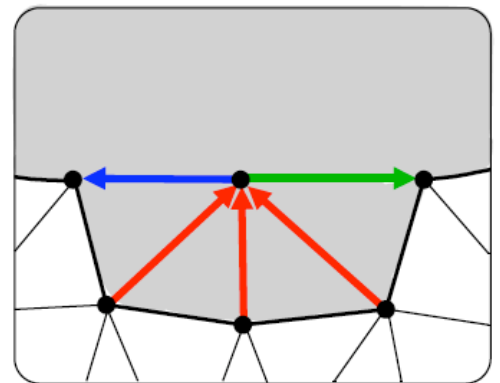
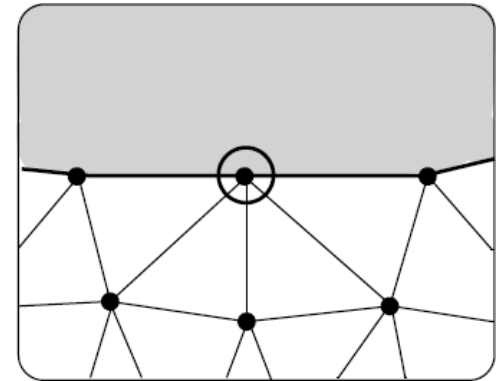
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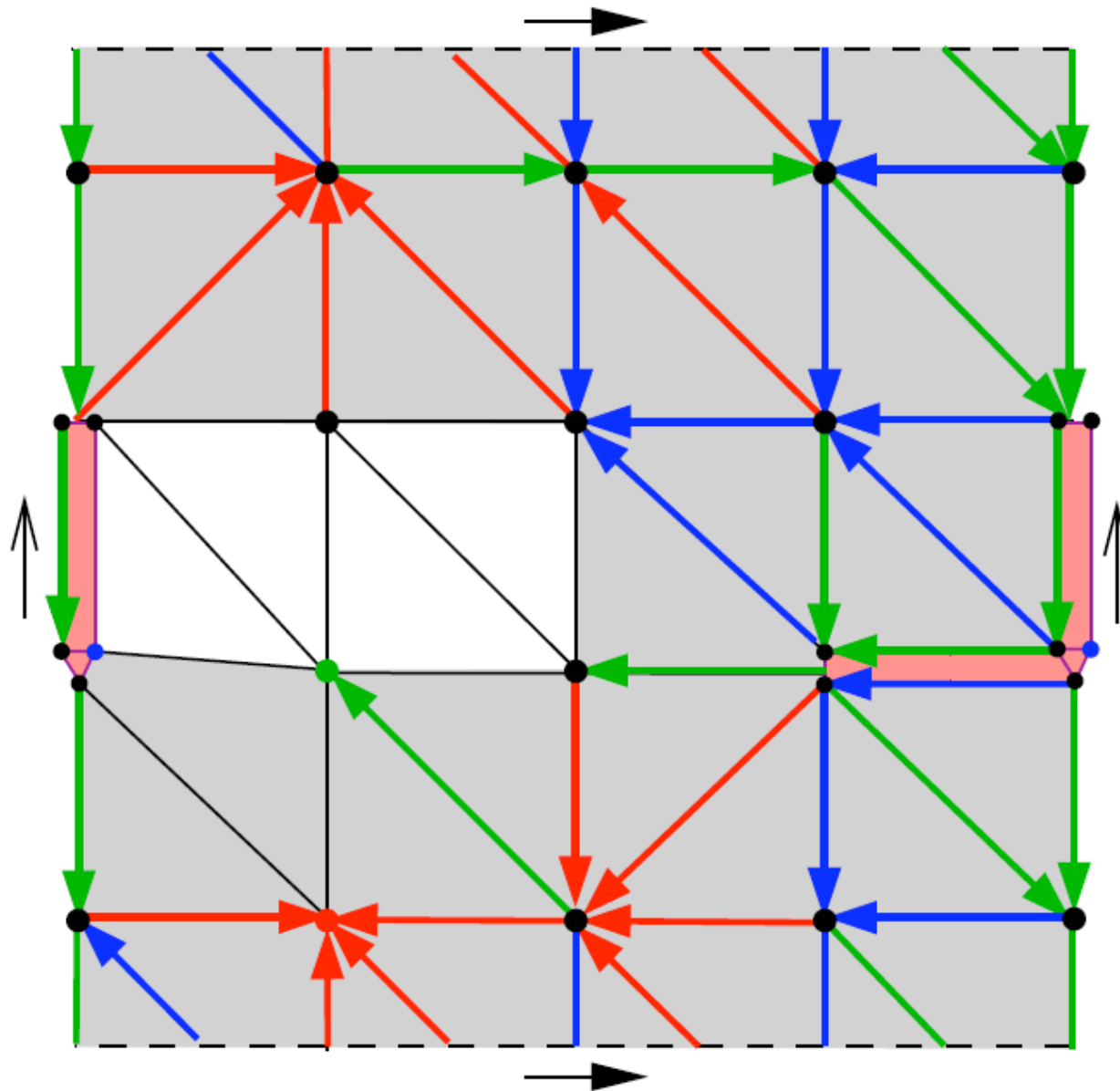
# Conquest in higher genus



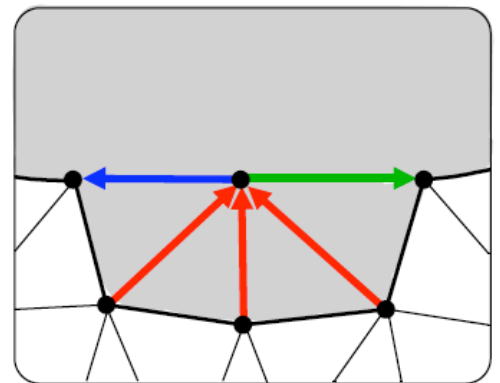
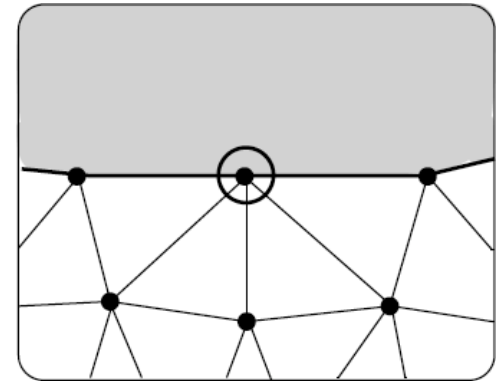
Conquest step:



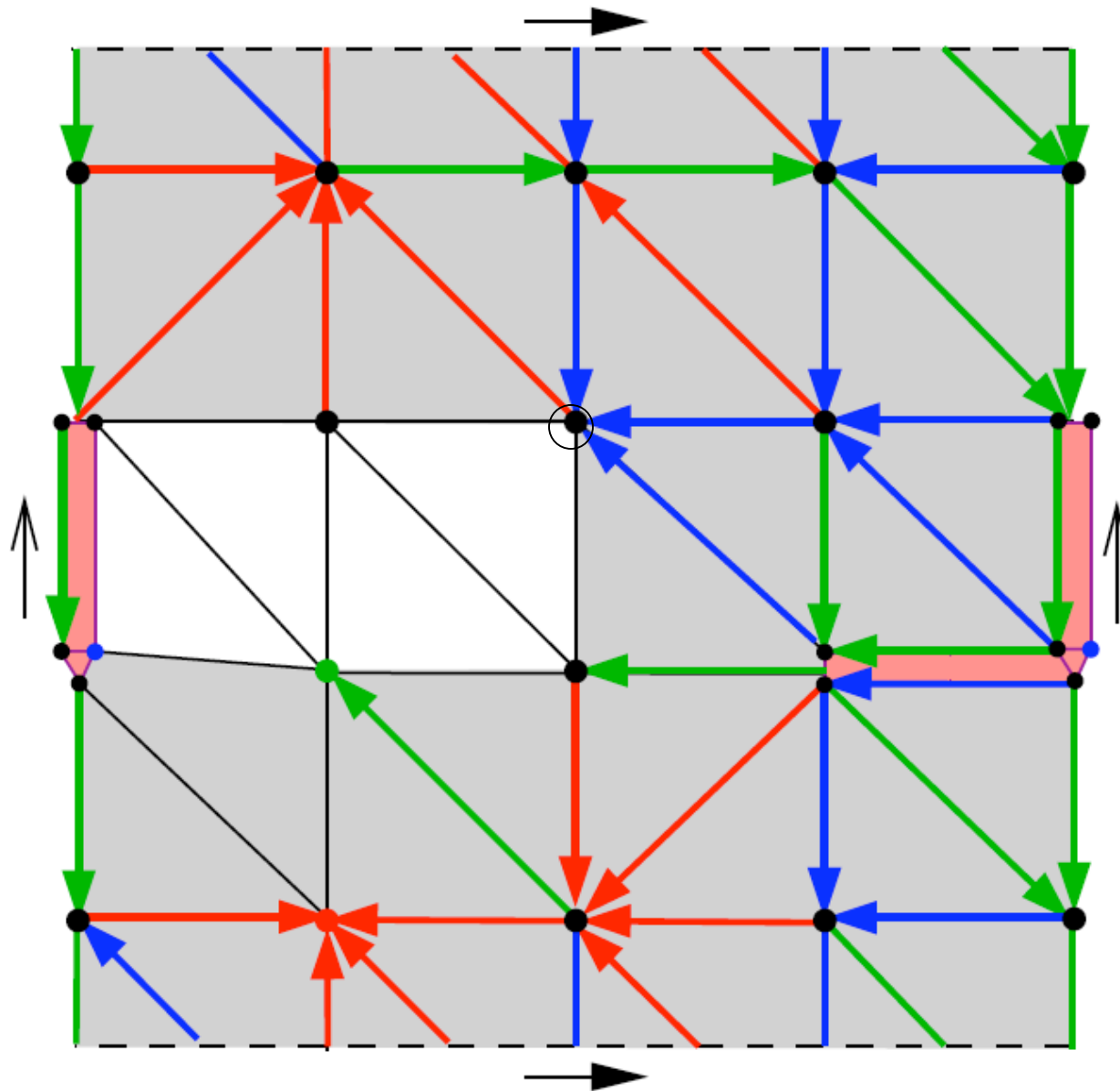
# Conquest in higher genus



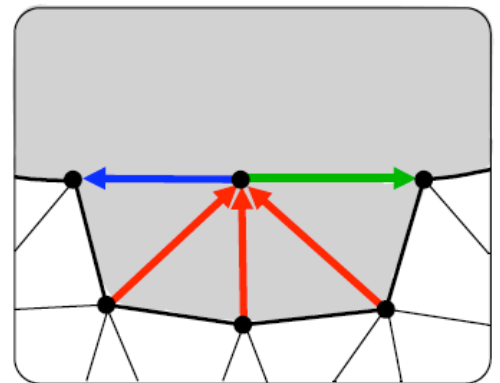
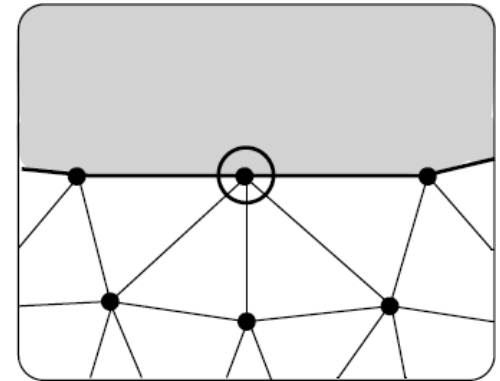
Conquest step:



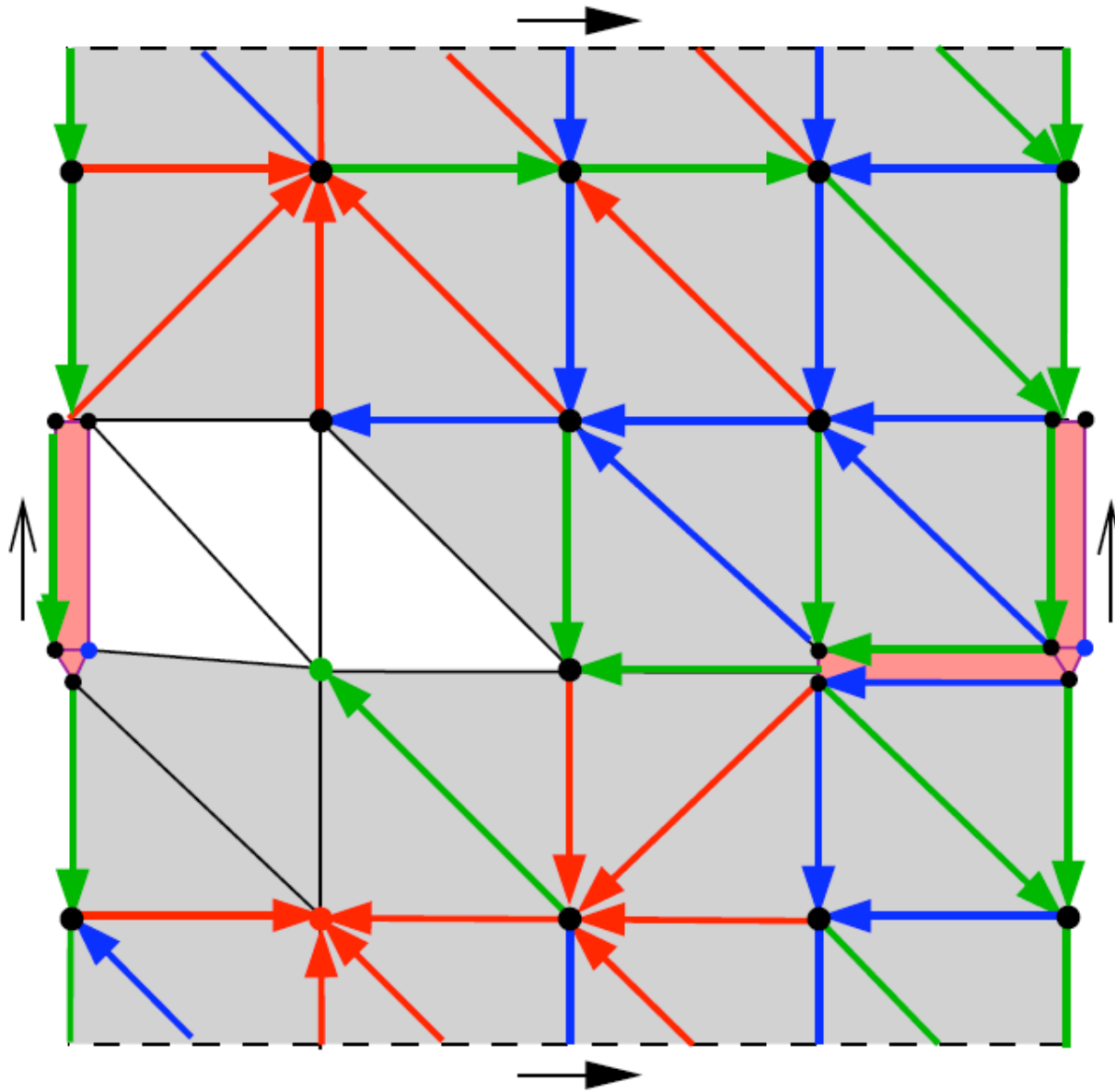
# Conquest in higher genus



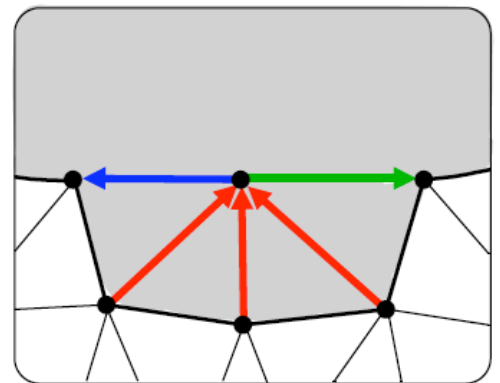
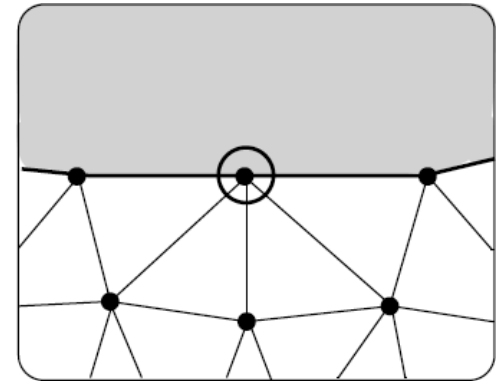
Conquest step:



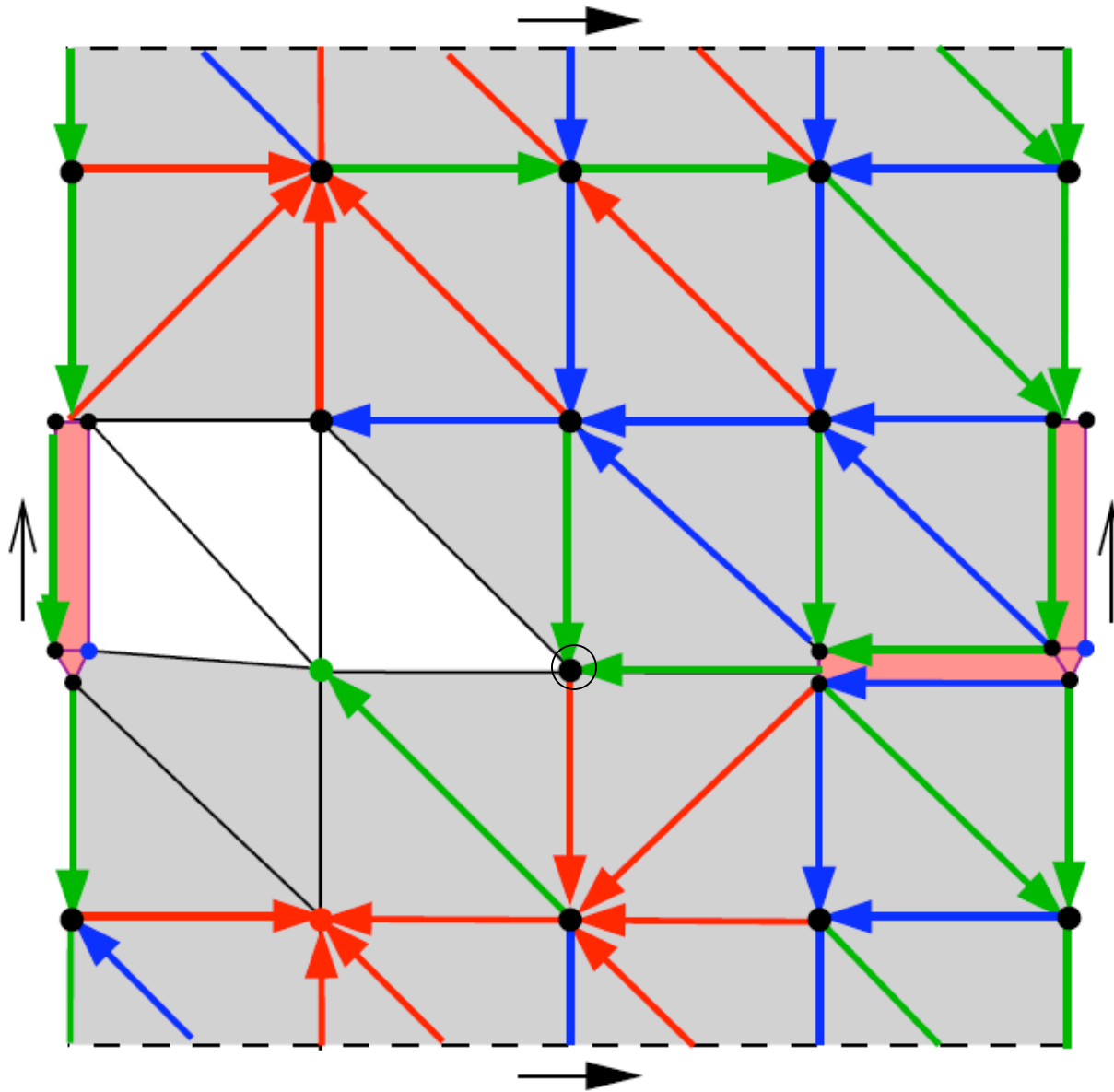
# Conquest in higher genus



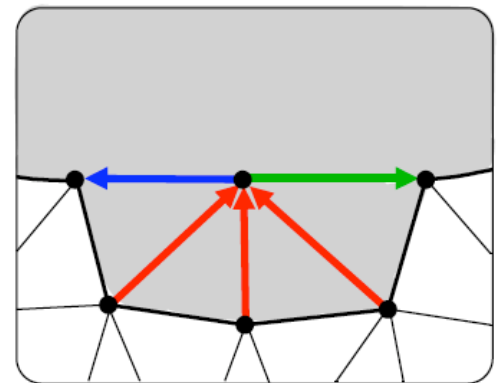
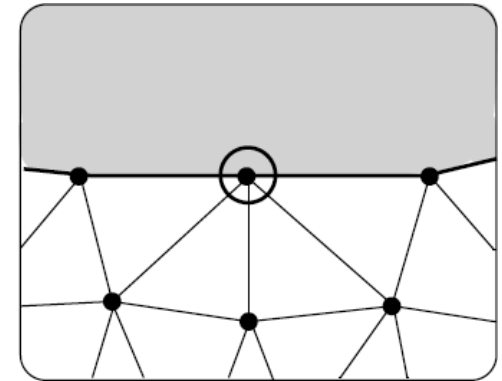
Conquest step:



# Conquest in higher genus

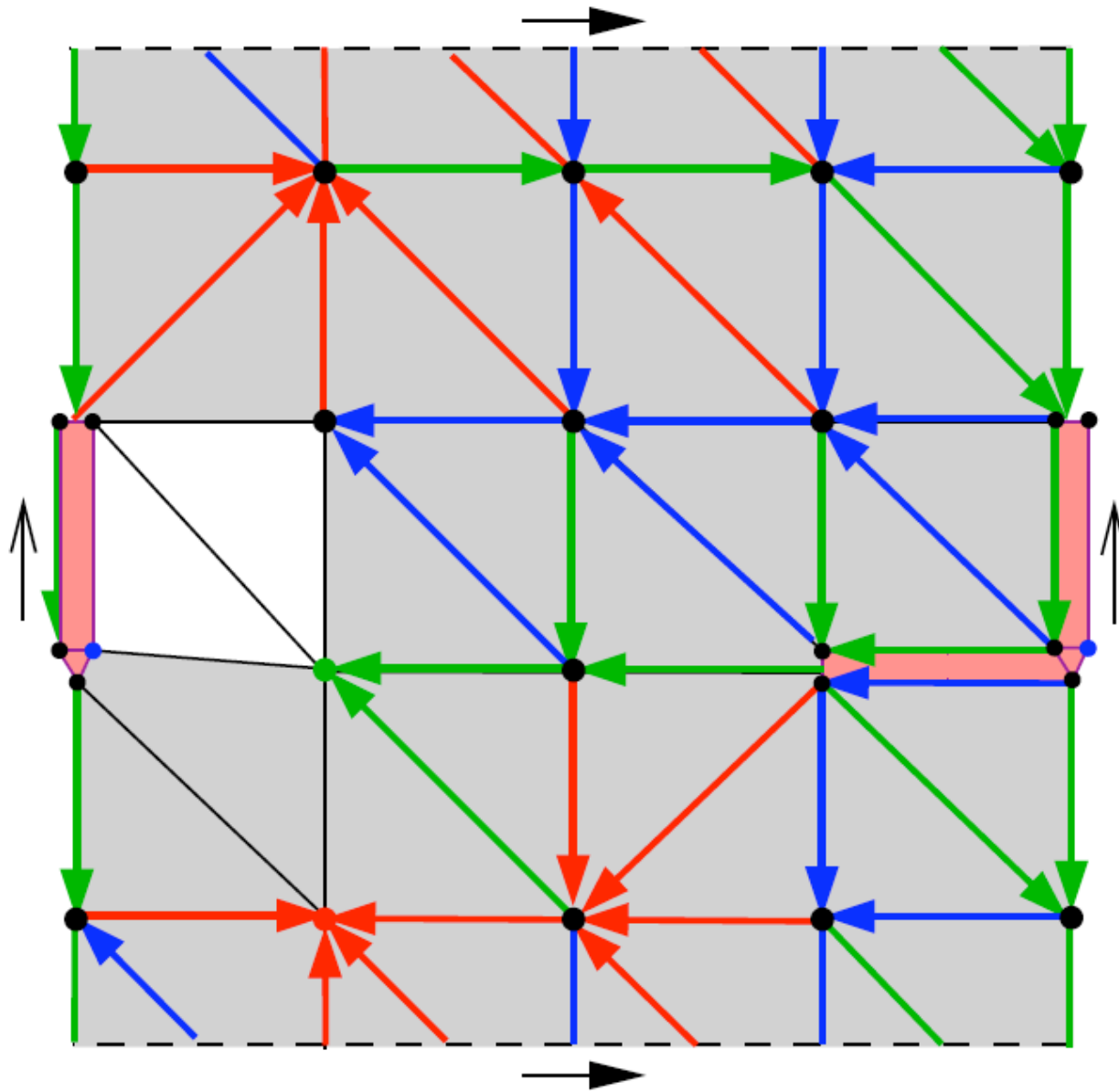


## Conquest step:

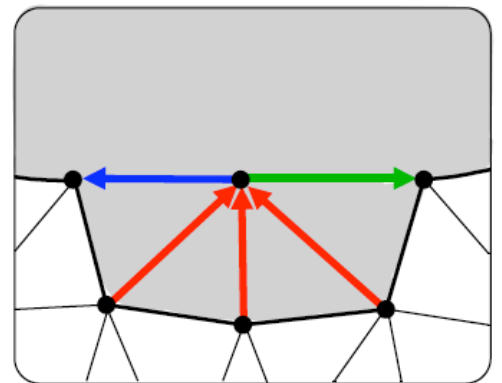
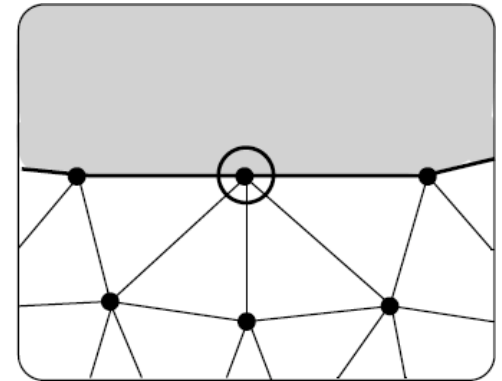




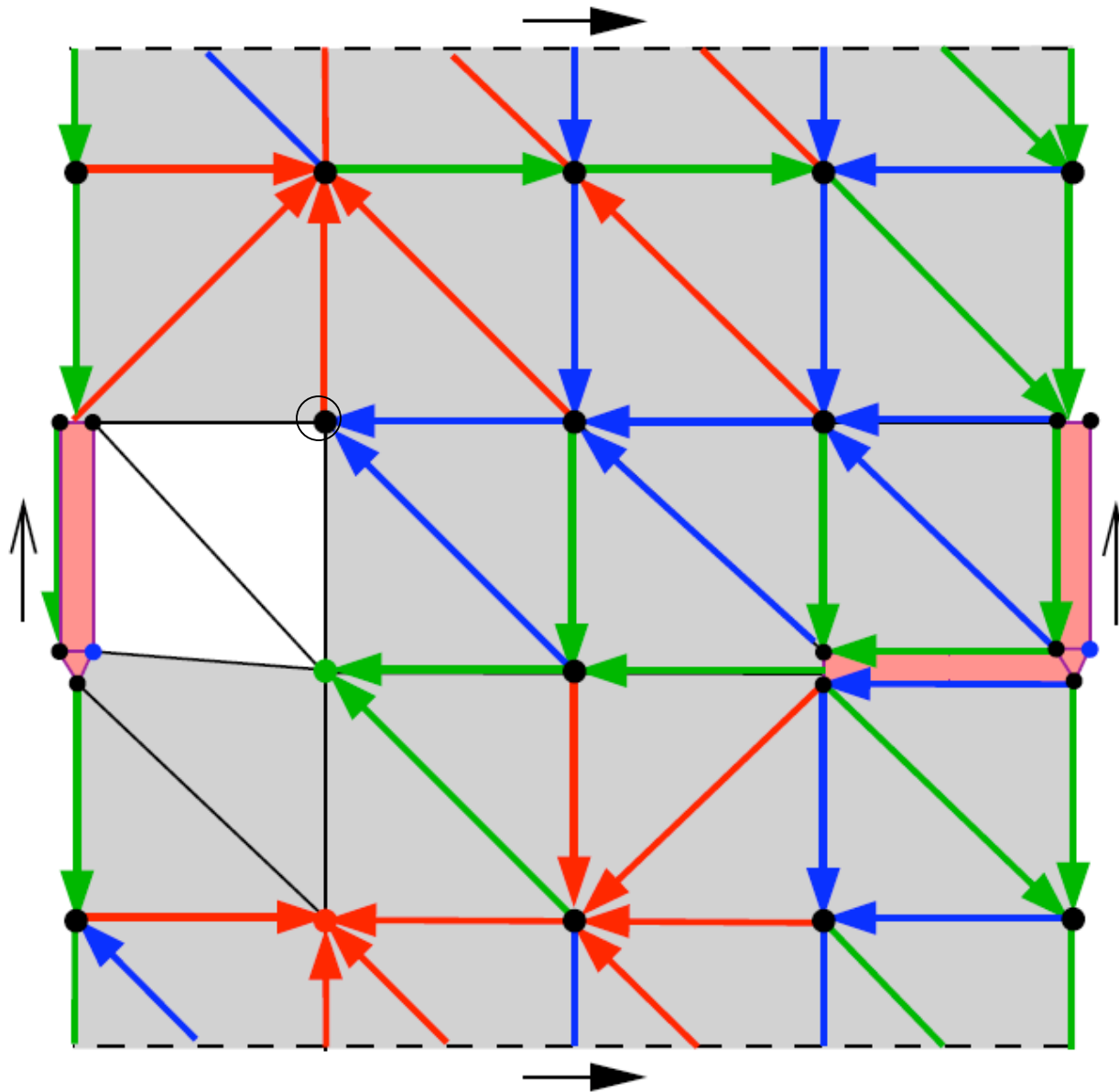
# Conquest in higher genus



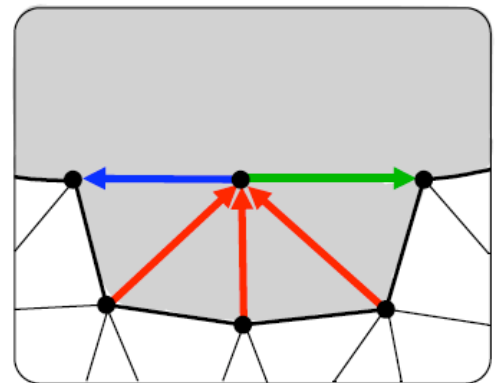
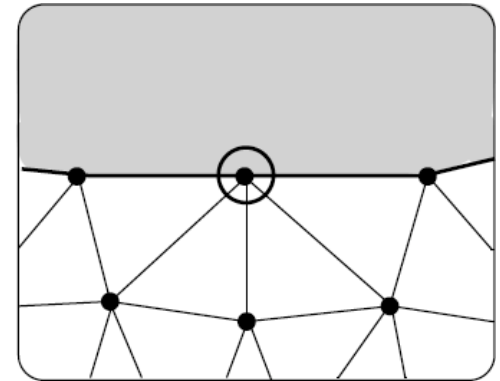
Conquest step:



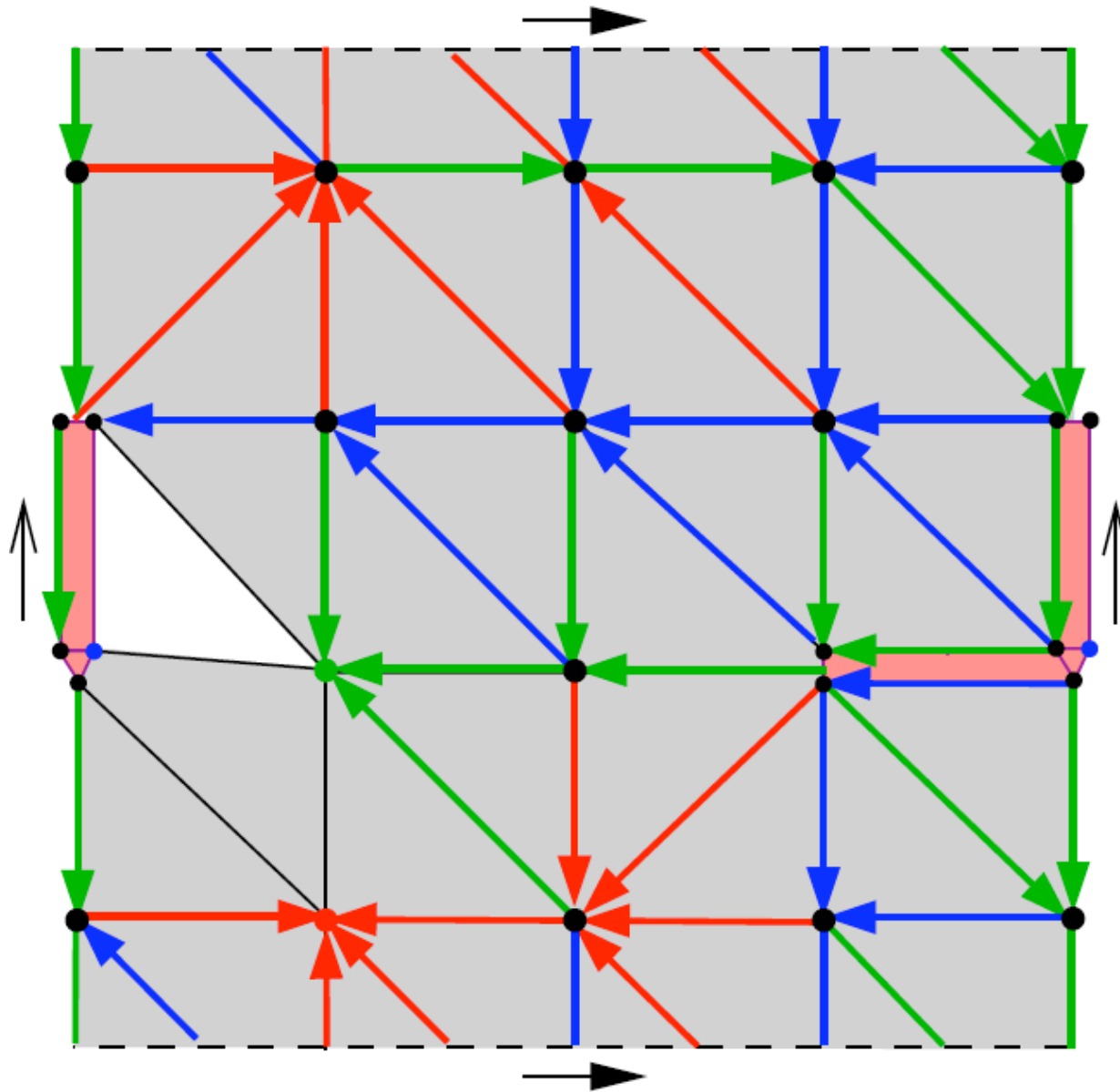
# Conquest in higher genus



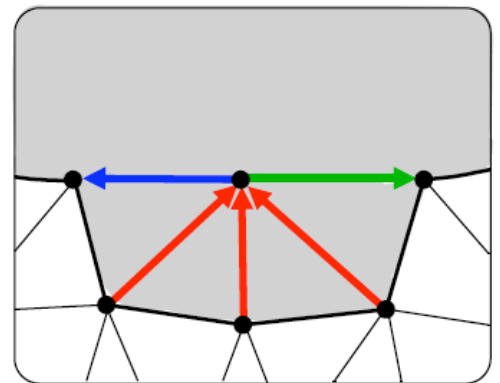
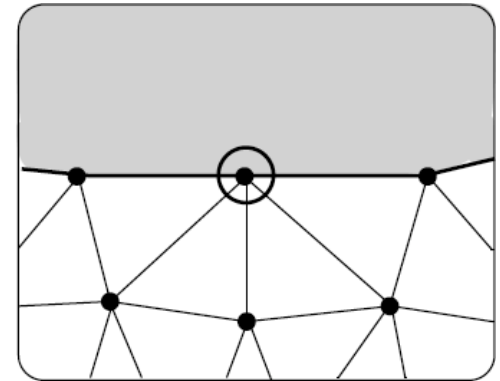
Conquest step:



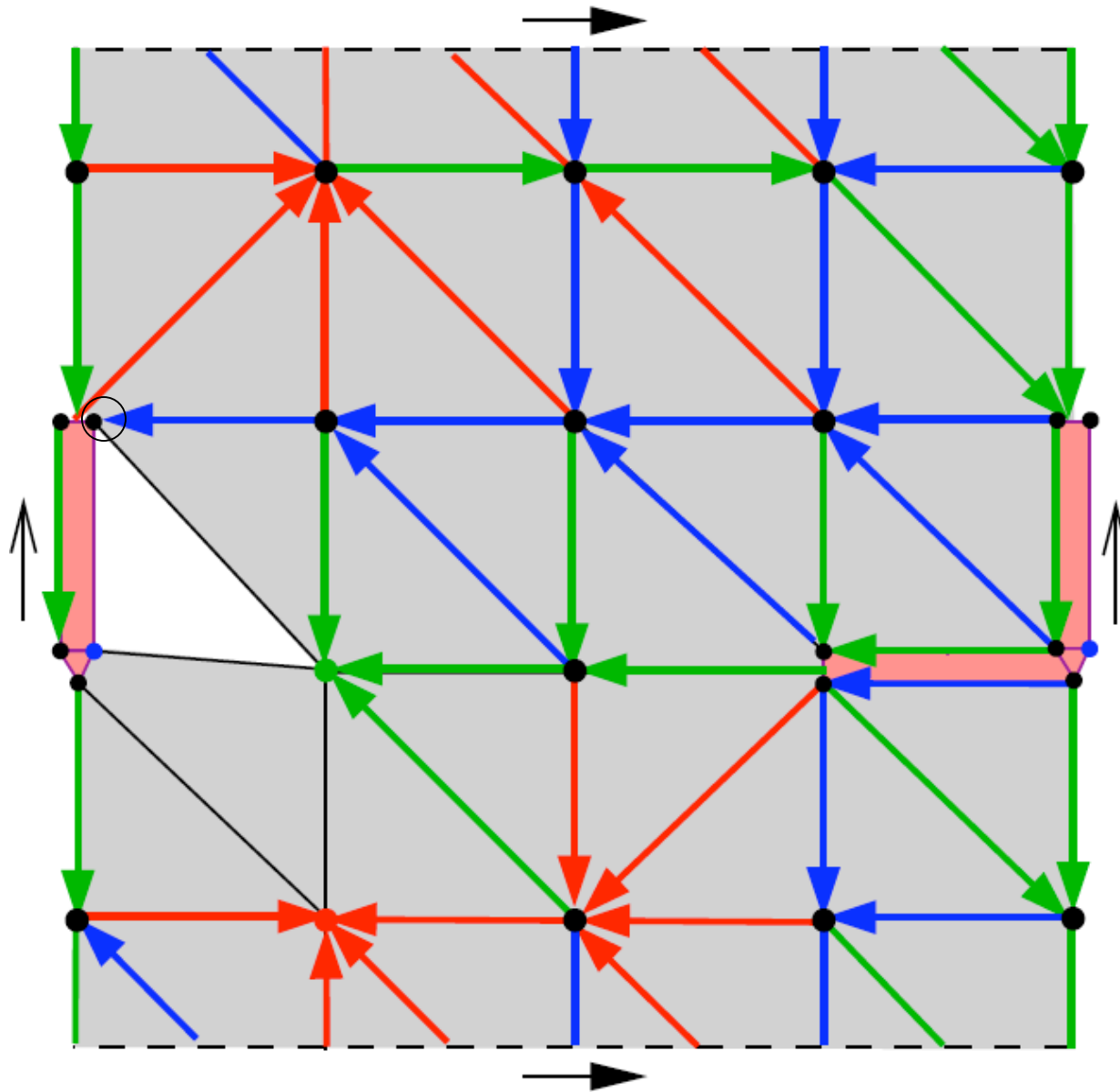
# Conquest in higher genus



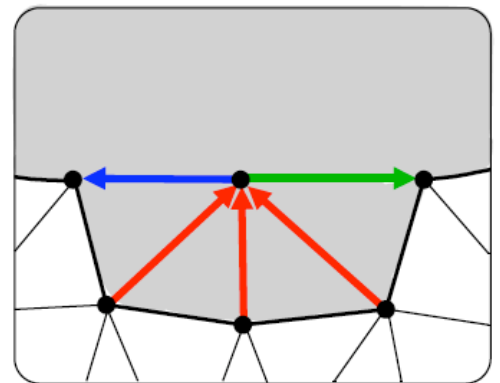
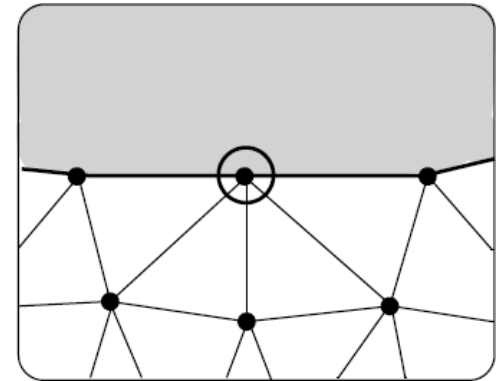
Conquest step:



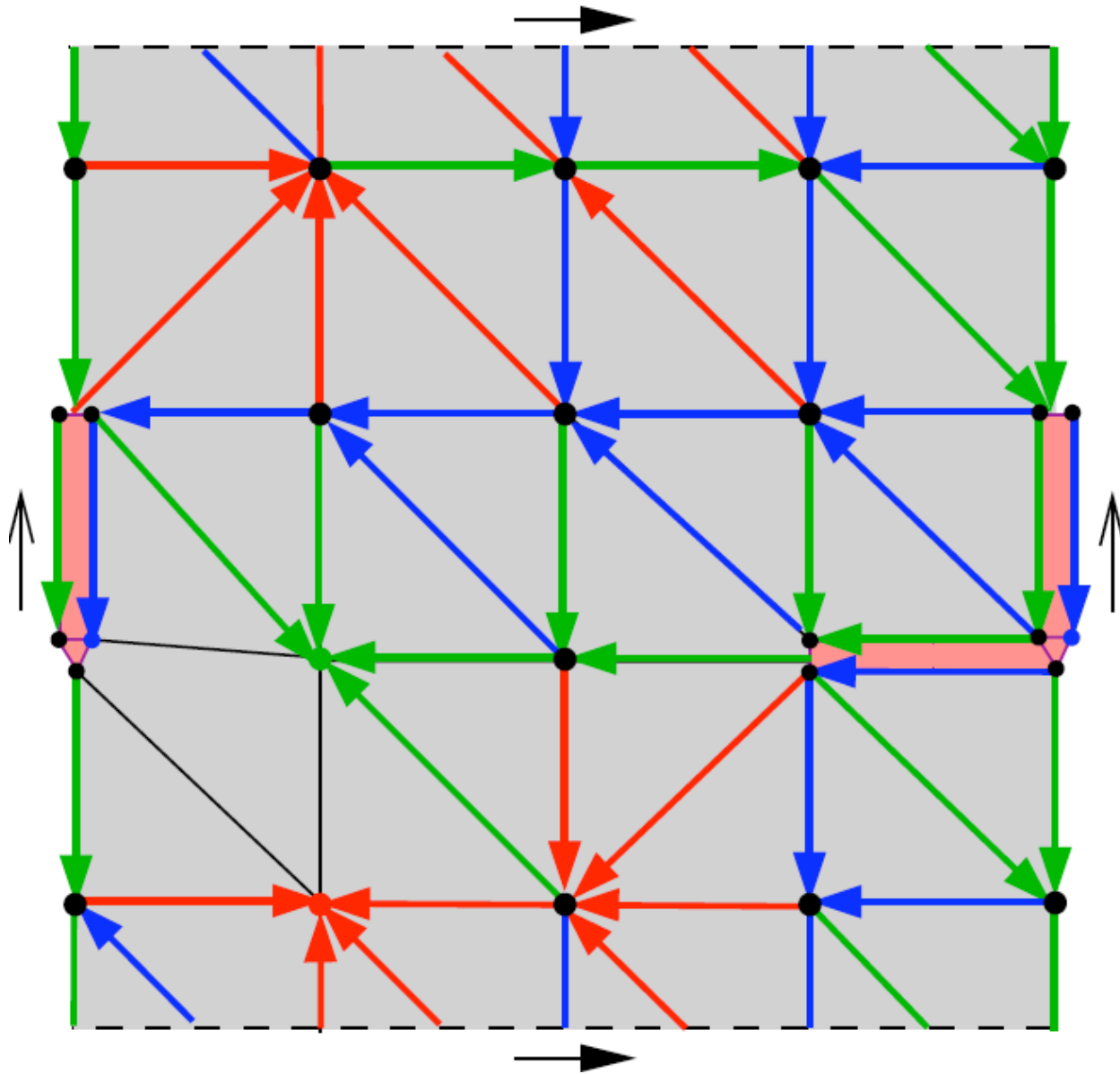
# Conquest in higher genus



Conquest step:



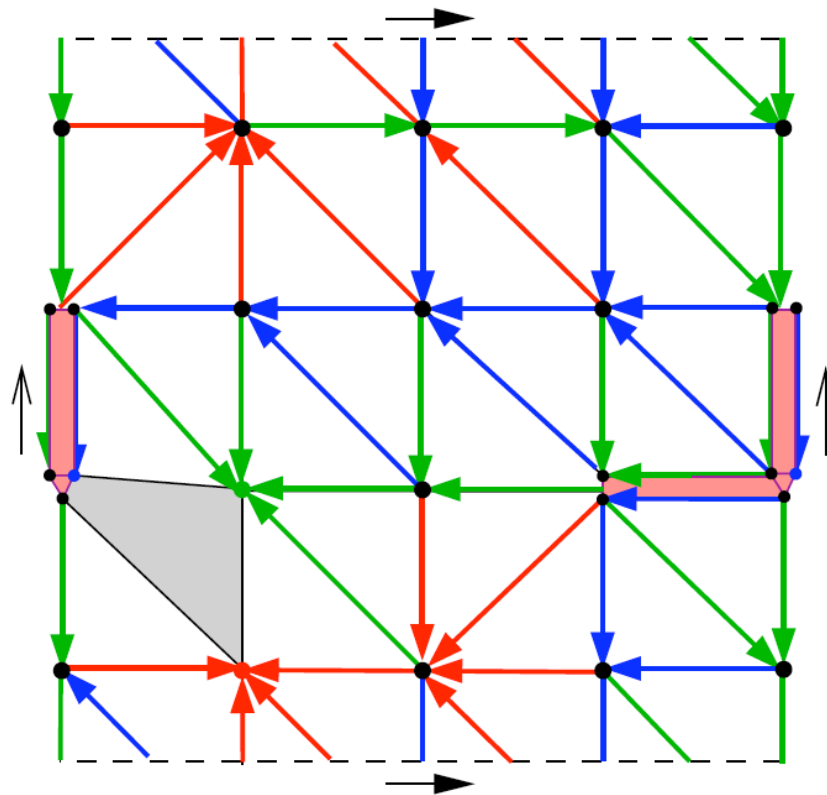
# Conquest in higher genus



Finished !

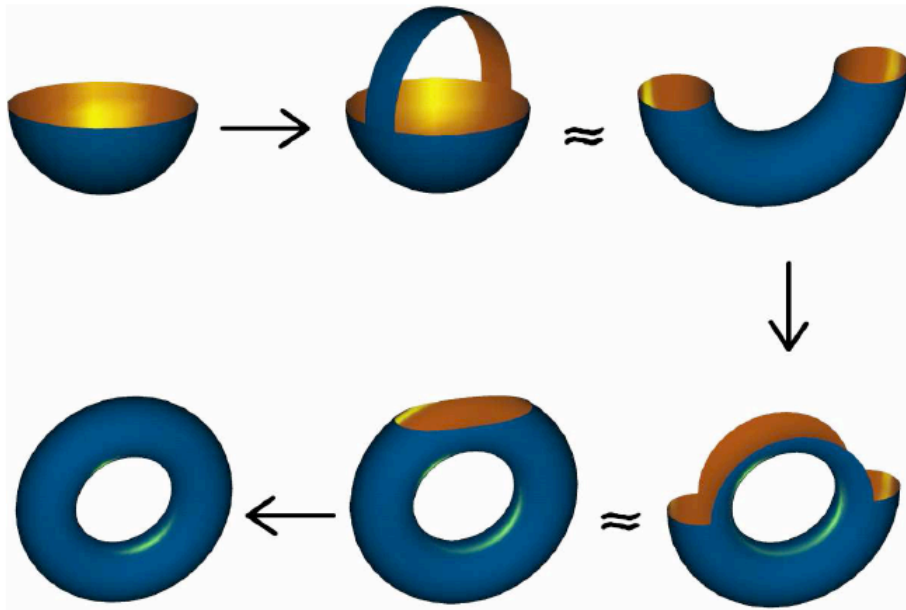
# Main result

- **Theorem** [Castelli, F, Lewiner'08]: The conquest (with  $2g$  special steps) **terminates**. Running **time** is  $O((n+g)g)$ .
- The structure computed is called a  $g$ -Schnyder wood



# Main result

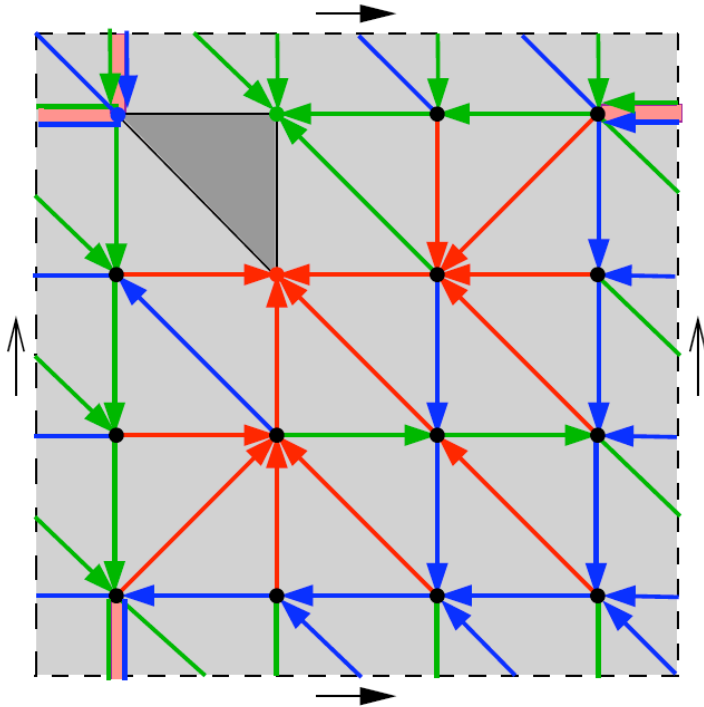
- **Theorem** [Castelli, F, Lewiner'08]: The conquest (with  $2g$  special steps) **terminates**. Running **time** is  $O((n+g)g)$ .
- The structure computed is called a  **$g$ -Schnyder wood**
- Our traversal procedure is inspired by **handlebody theory**:



Handlebody decomposition  
of a torus

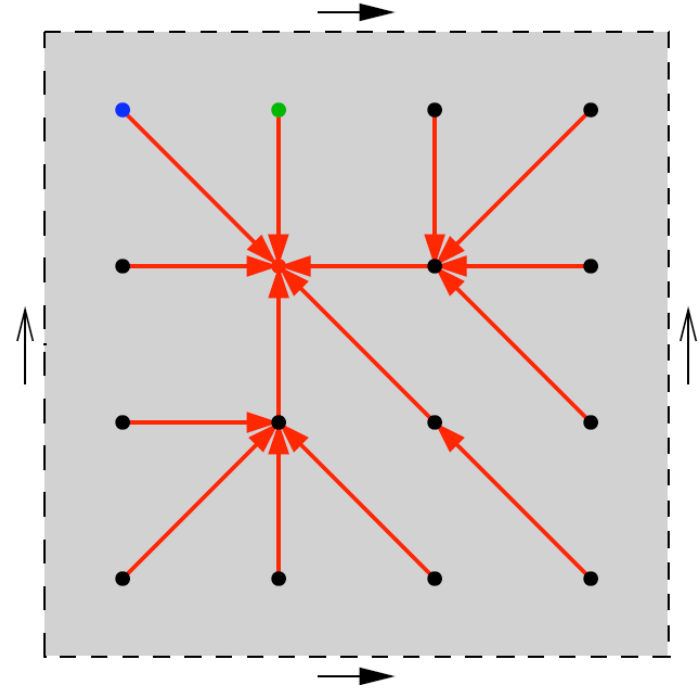
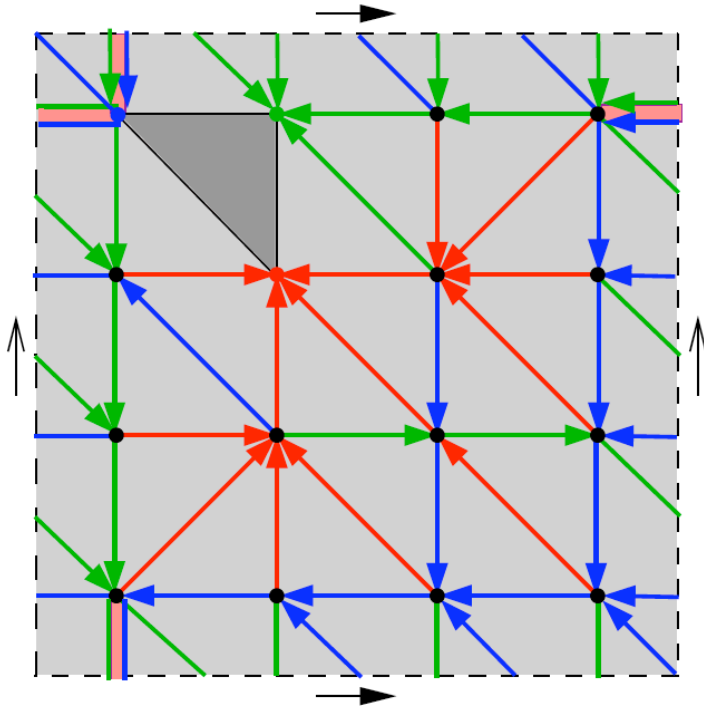
From [Rossignac et al'03]:  
"EdgeBreaker" procedure

# Properties in higher genus



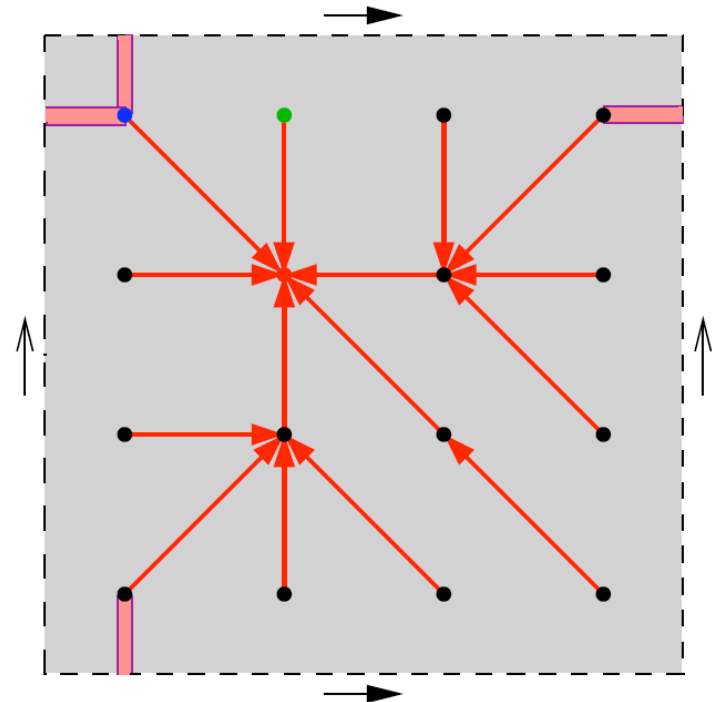
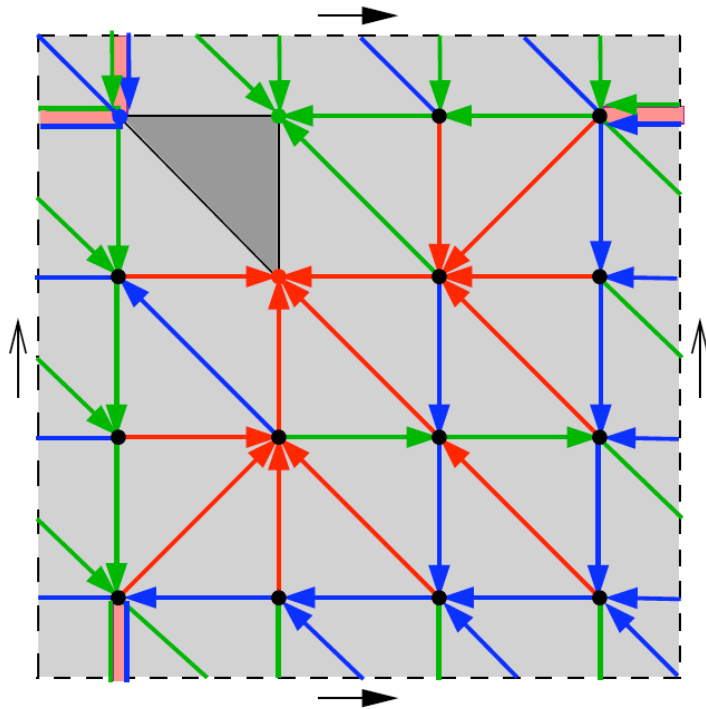


# Properties in higher genus



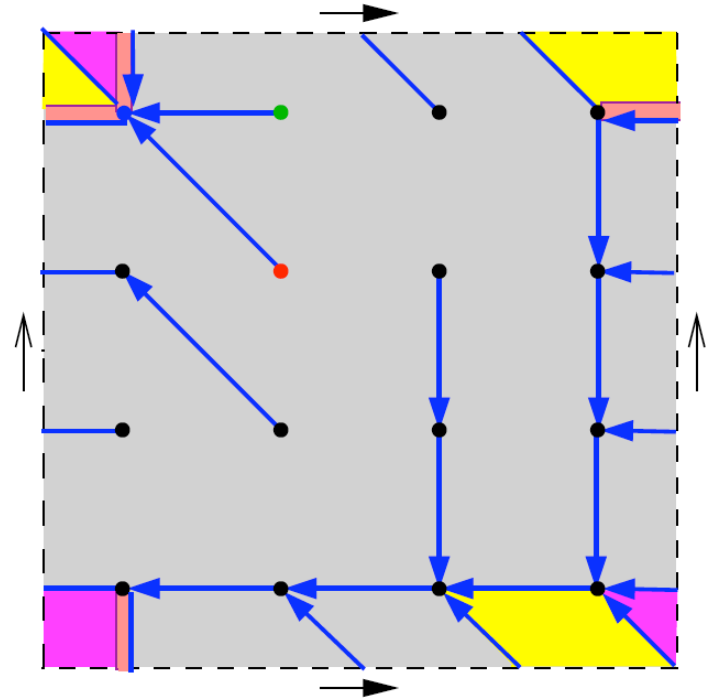
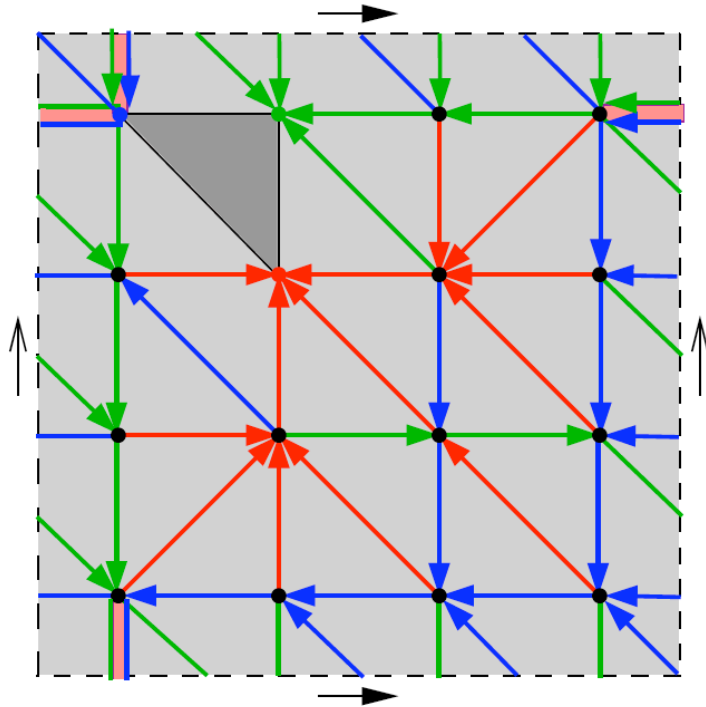
- $T_R = \{\text{red edges}\} + \{\text{R-B}\} + \{\text{R-G}\}$  is a spanning tree

# Properties in higher genus



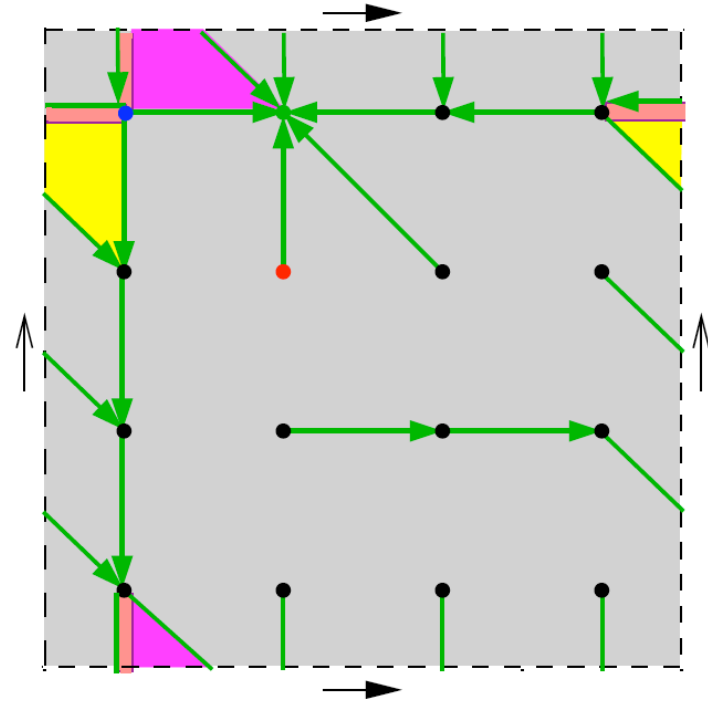
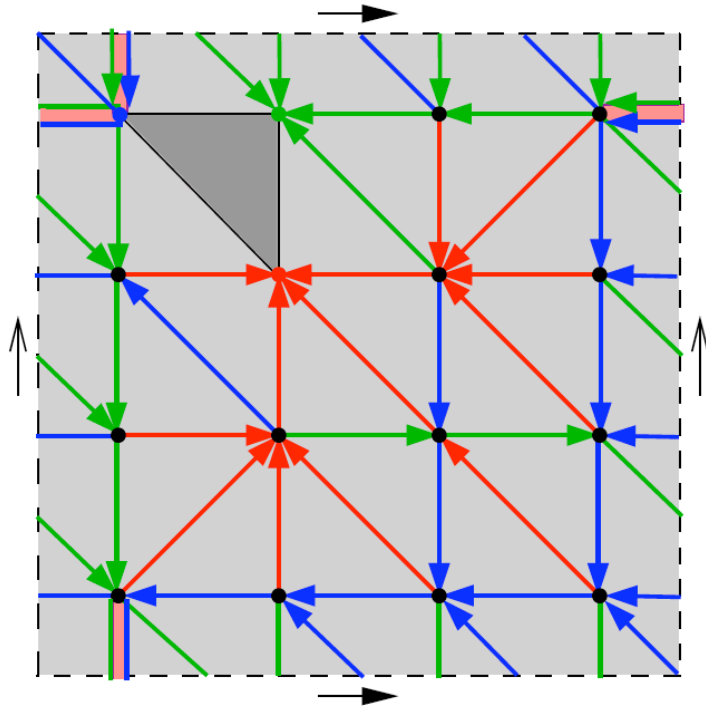
- $T_R = \{\text{red edges}\} + \{R-B\} + \{R-G\}$  is a spanning tree
- $G_R = T_R + \{2g \text{ special edges}\}$  is a spanning submap with 1 face

# Properties in higher genus



- $T_R = \{\text{red edges}\} + \{R-B\} + \{R-G\}$  is a spanning tree
- $G_R = T_R + \{2g \text{ special edges}\}$  is a spanning submap with 1 face
- $G_B = \{\text{blue edges}\} + \{B-R\} + \{B-G\}$  is a spanning submap with  $1+2g$  faces

# Properties in higher genus

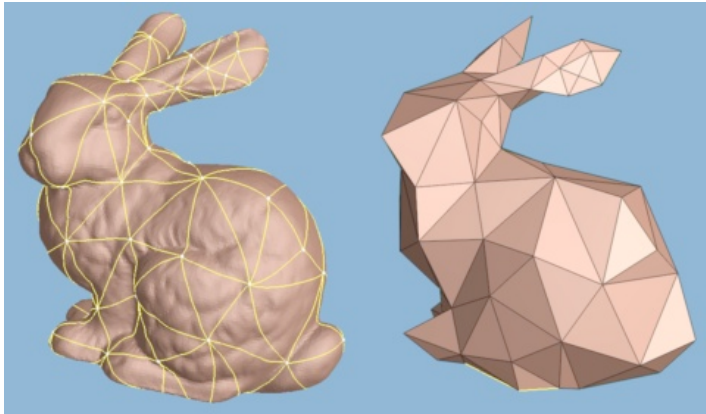


- $T_R = \{\text{red edges}\} + \{R-B\} + \{R-G\}$  is a spanning tree
- $G_R = T_R + \{2g \text{ special edges}\}$  is a spanning submap with 1 face
- $G_B = \{\text{blue edges}\} + \{B-R\} + \{B-G\}$  is a spanning submap with  $1+2g$  faces
- $G_G = \{\text{green edges}\} + \{G-R\} + \{G-B\}$  is a spanning submap with  $1+2g$  faces

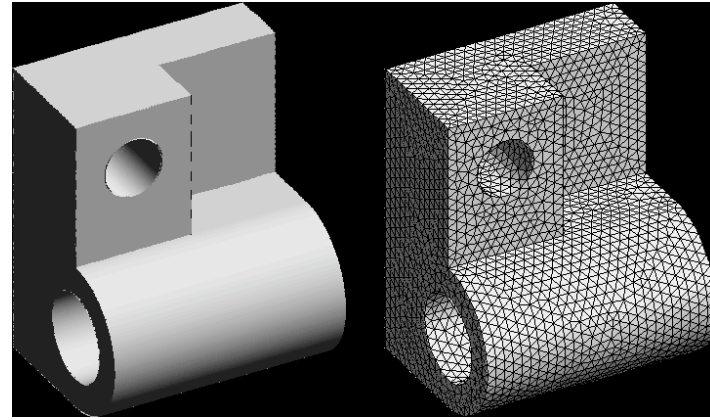
**Application to coding**

# Motivation: mesh compression

- **Triangulations** are the combinatorial part of **triangular meshes**



mesh of genus 0

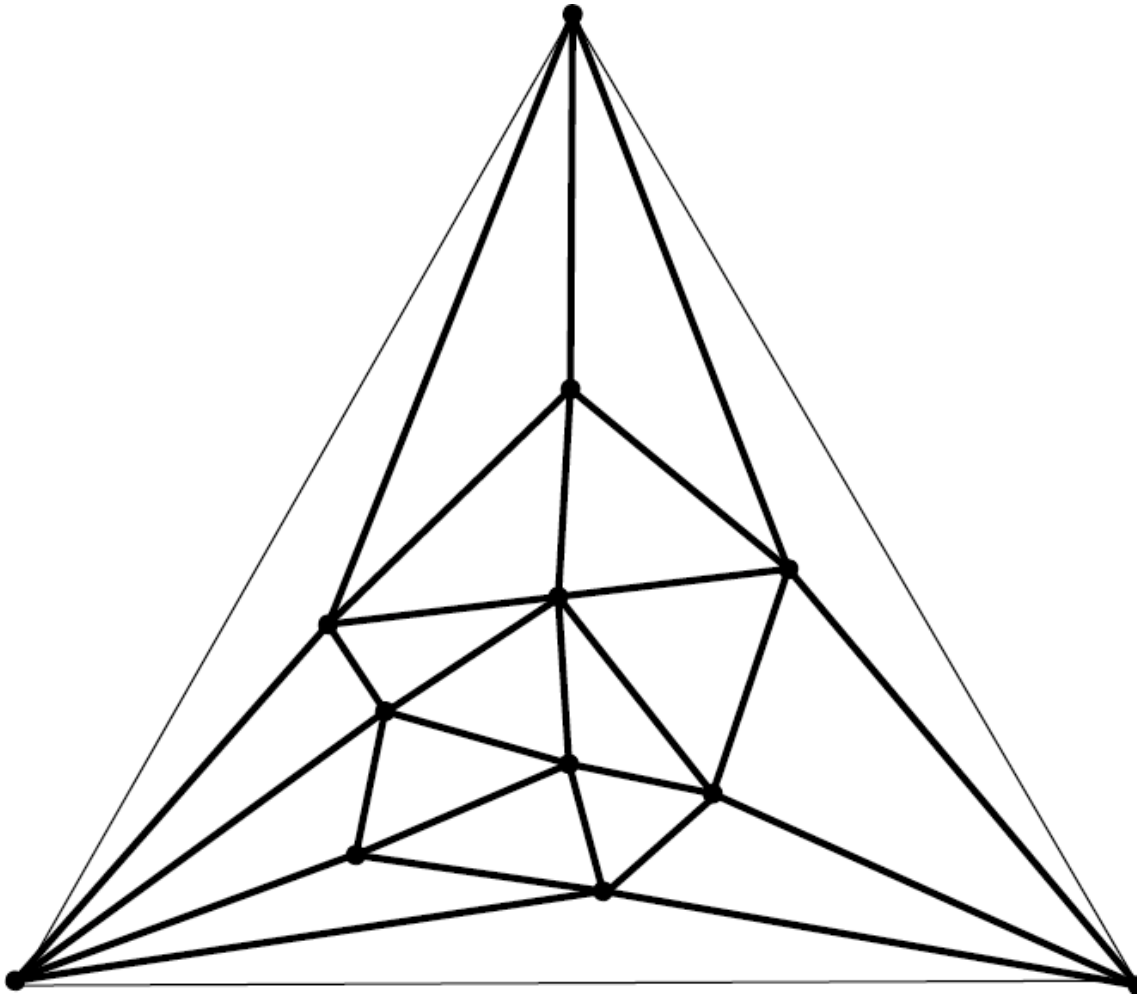


mesh of genus 2

- **Naïve encoding**: vertices are labelled  $\{1, 2, \dots, n\}$   
store the faces (vertex-triples), takes memory of order  $n \log(n)$
- This talk: **Schnyder woods**  $\rightarrow$  encoding in  $4n + O(g \log(n))$  bits  
(extends encoding procedure of [He-Kao-Lu'99, Bernardi-Bonichon'07] to any genus)

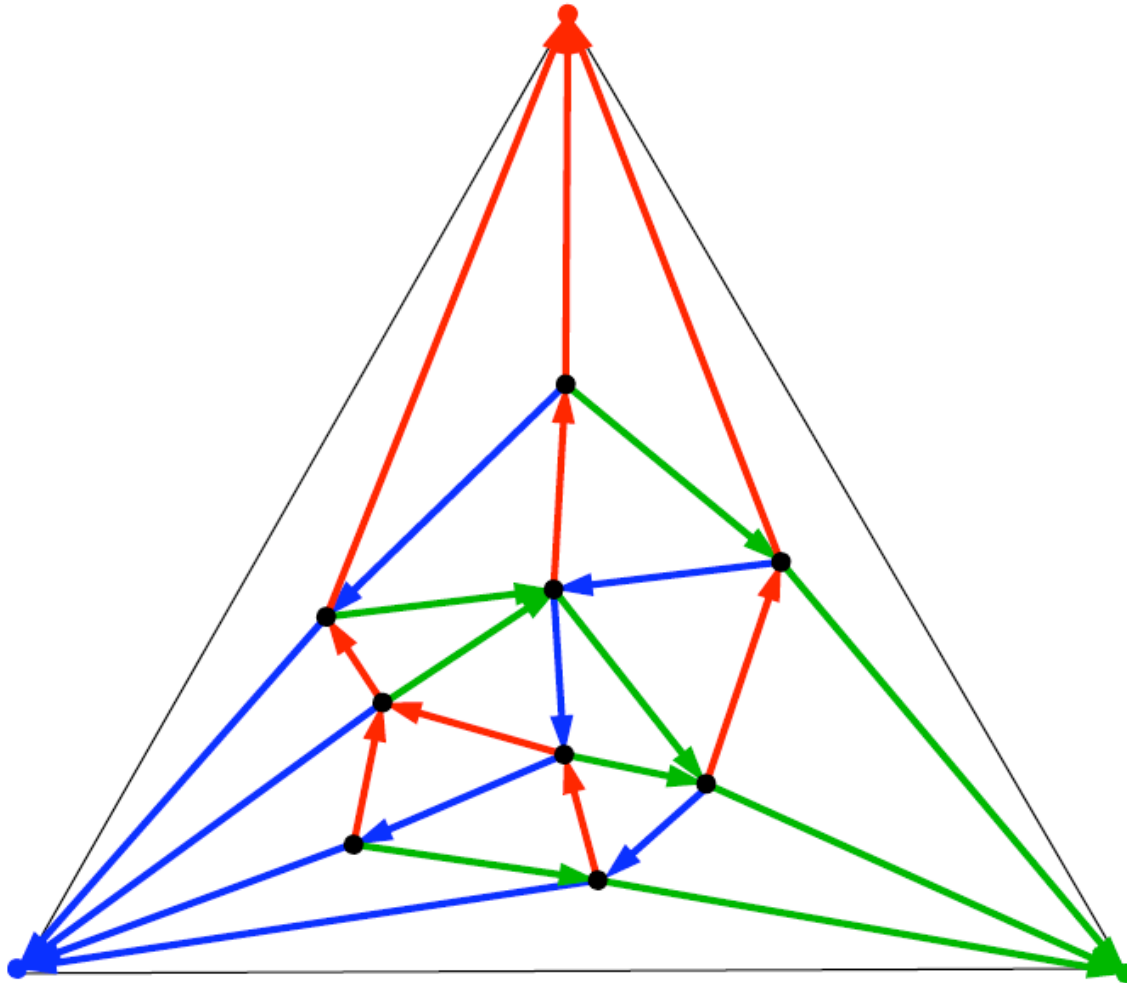
# Encoding a planar triangulation

- Reduces to encoding a Schnyder wood



# Encoding a planar triangulation

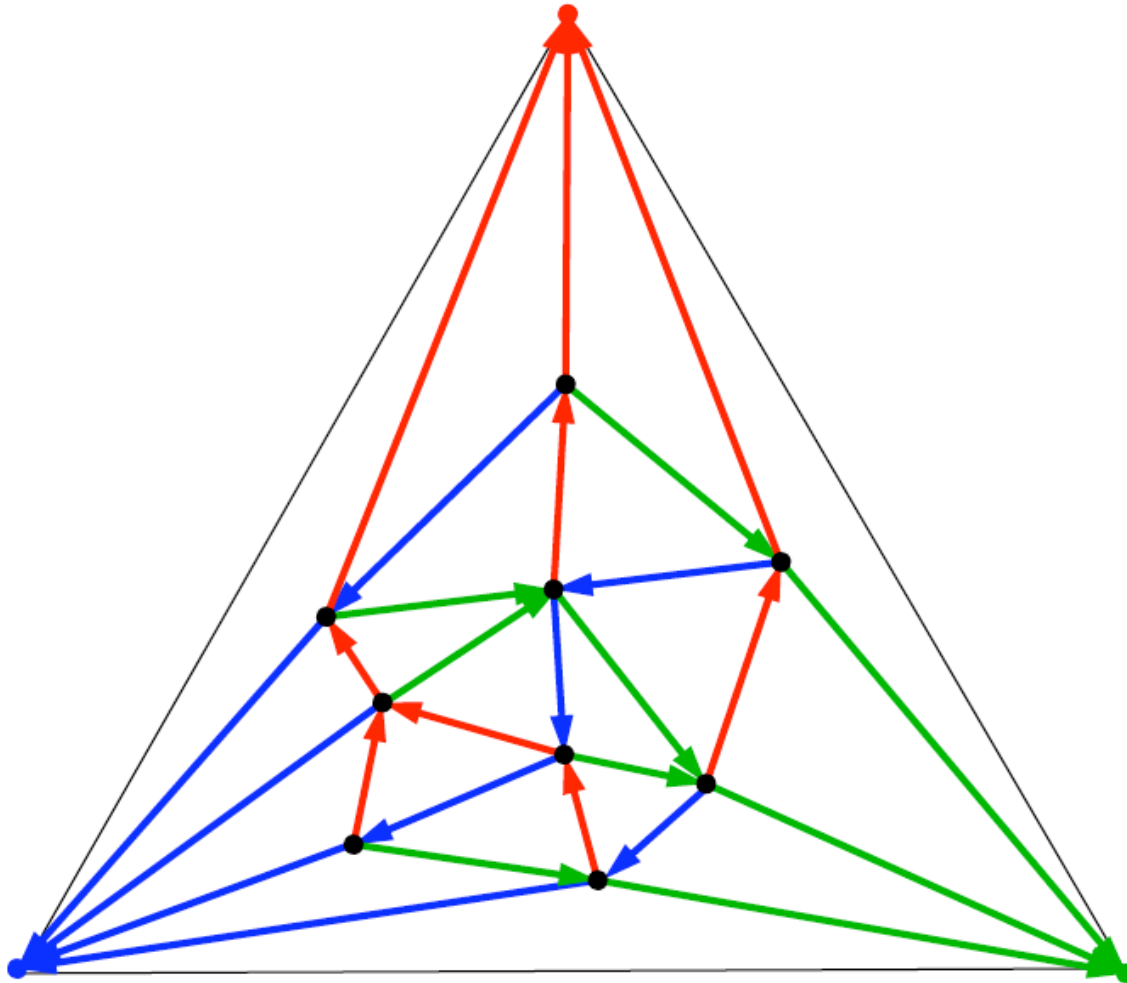
- Reduces to encoding a Schnyder wood





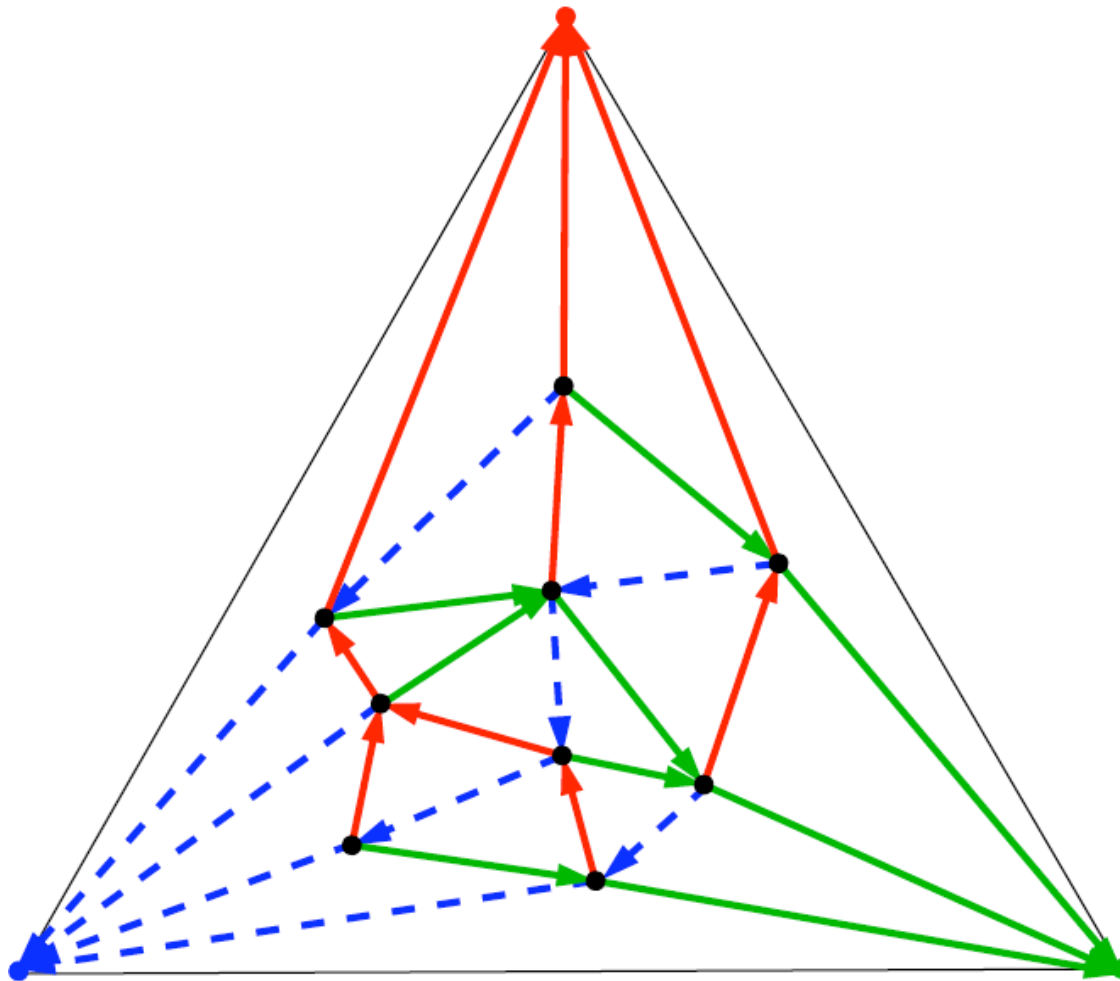
# Encoding a planar triangulation

- Some information is **redundant**



# Encoding a planar triangulation

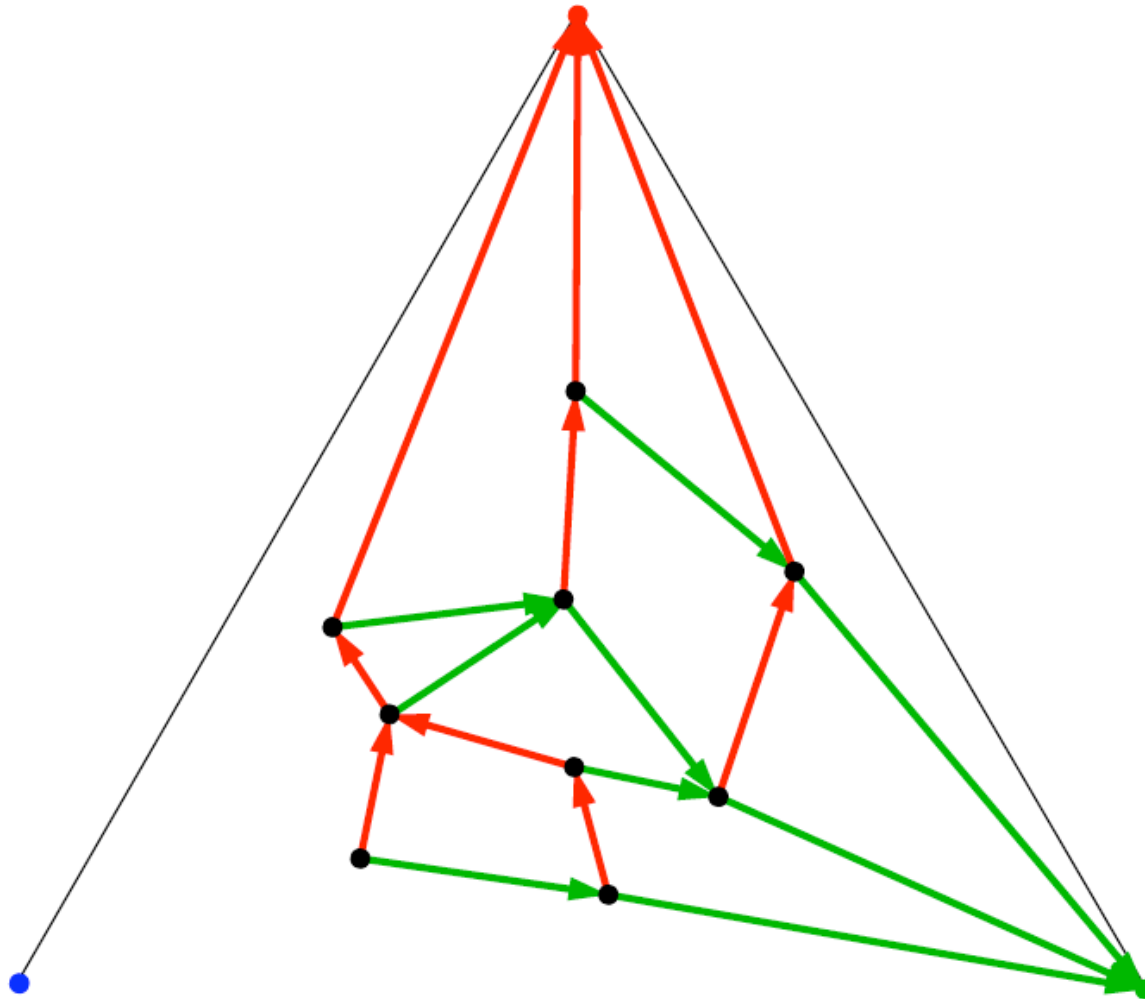
- Some information is **redundant**



can erase  
blue edges

# Encoding a planar triangulation

- Some information is **redundant**

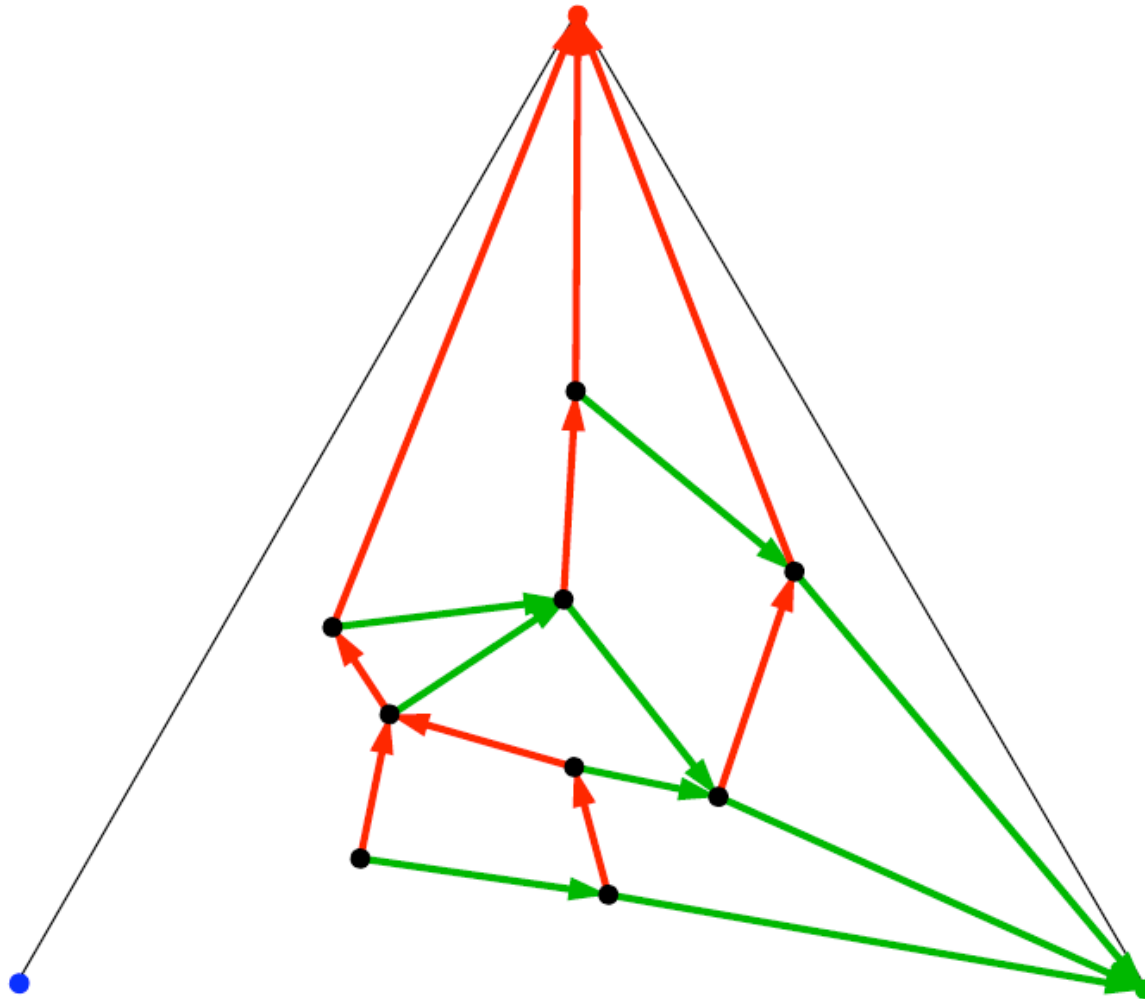


can erase  
blue edges



# Encoding a planar triangulation

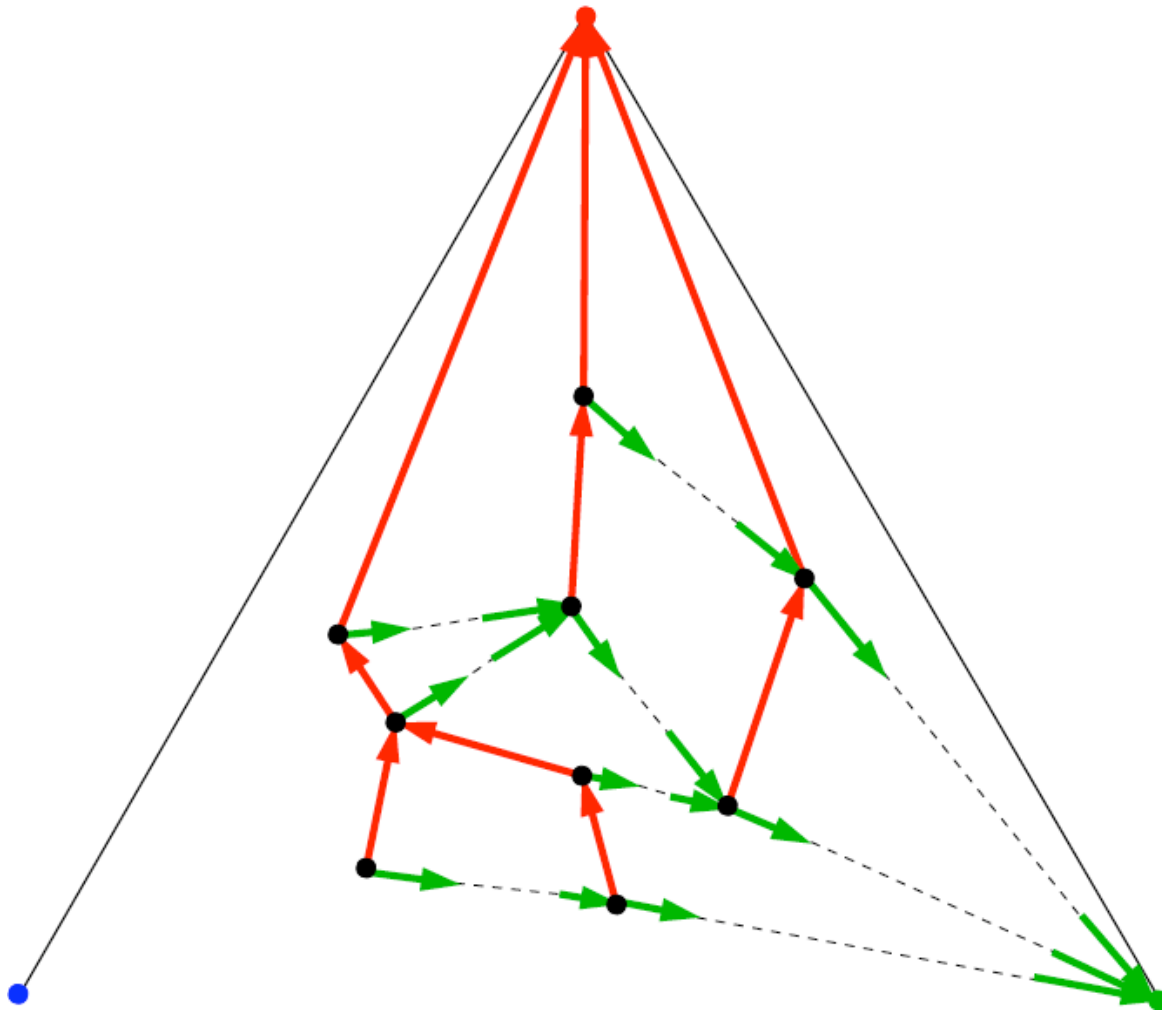
- Some information is **redundant**



can cut  
green edges  
at the middle

# Encoding a planar triangulation

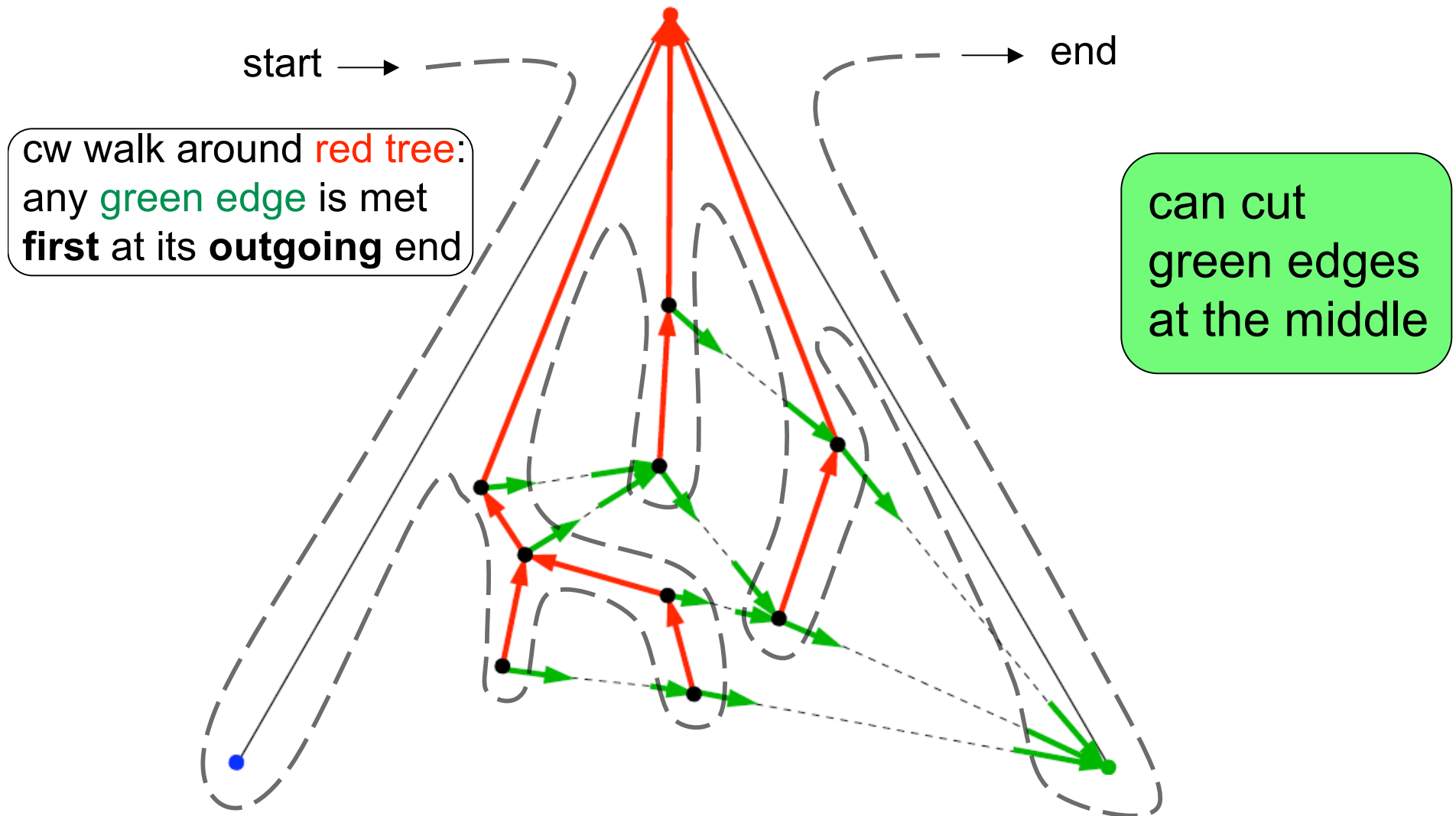
- Some information is **redundant**



can cut  
green edges  
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# Encoding a planar triangulation

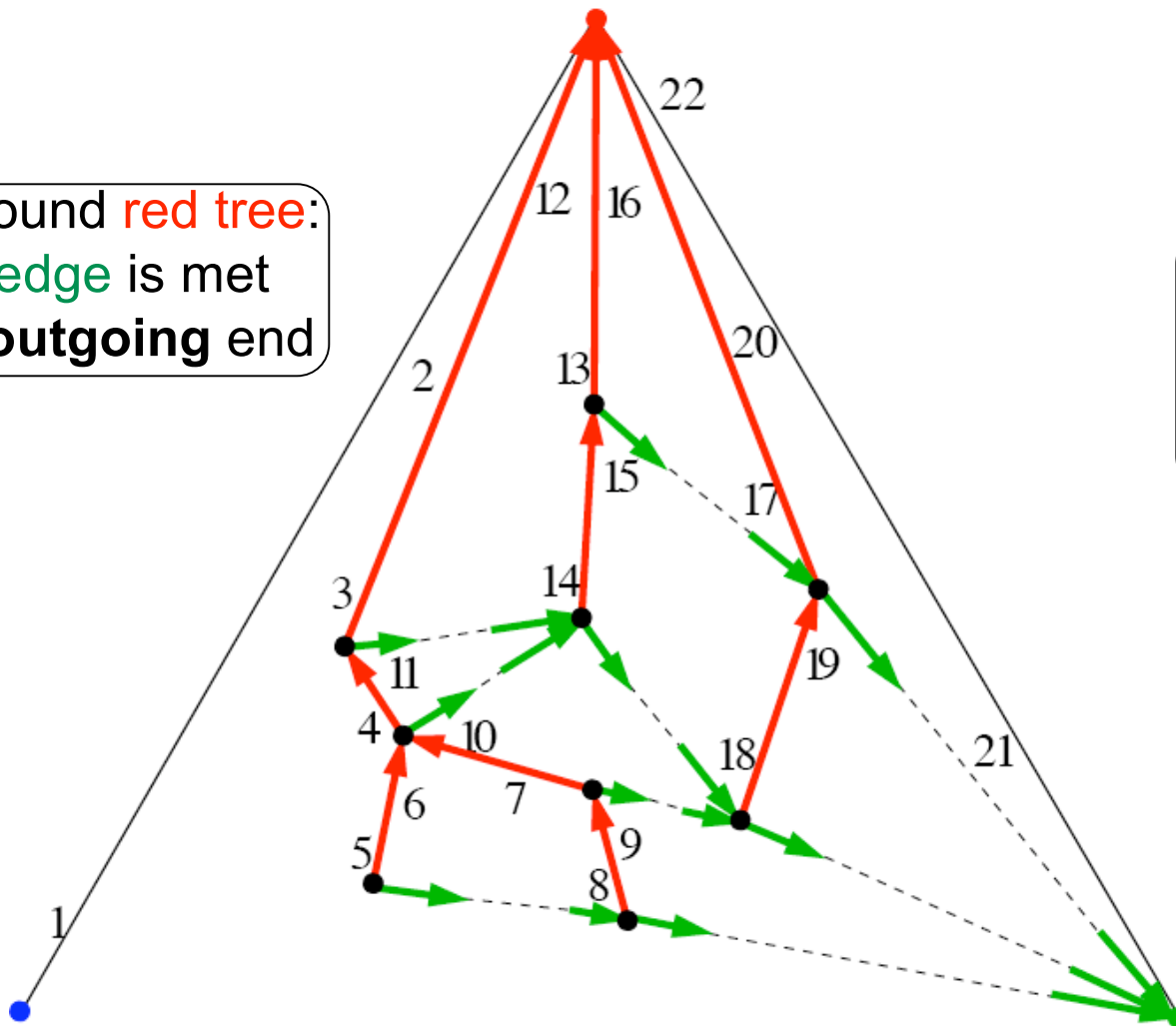
- Some information is **redundant**



# Encoding a planar triangulation

- Some information is **redundant**

cw walk around **red tree**:  
any **green edge** is met  
**first** at its **outgoing** end

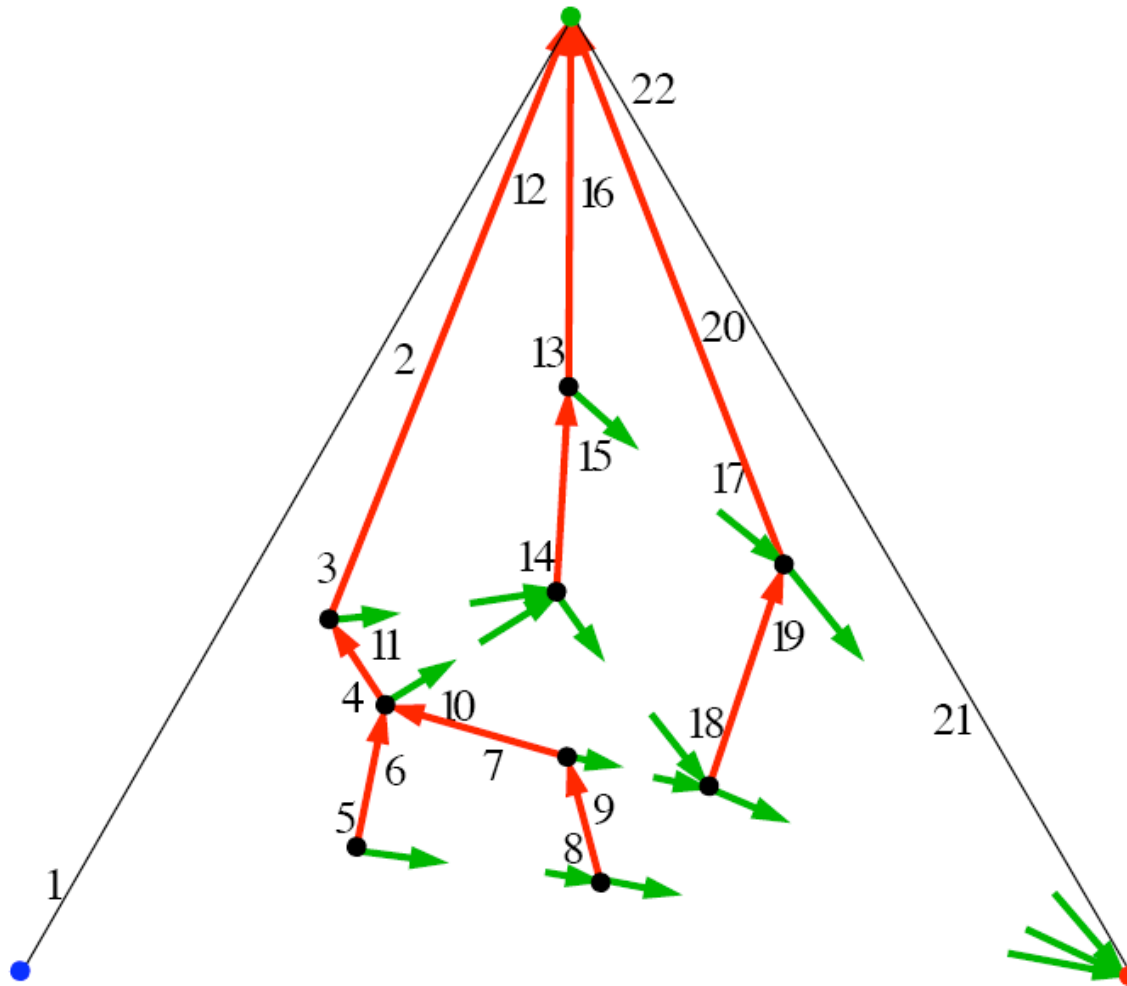


can cut  
green edges  
at the middle



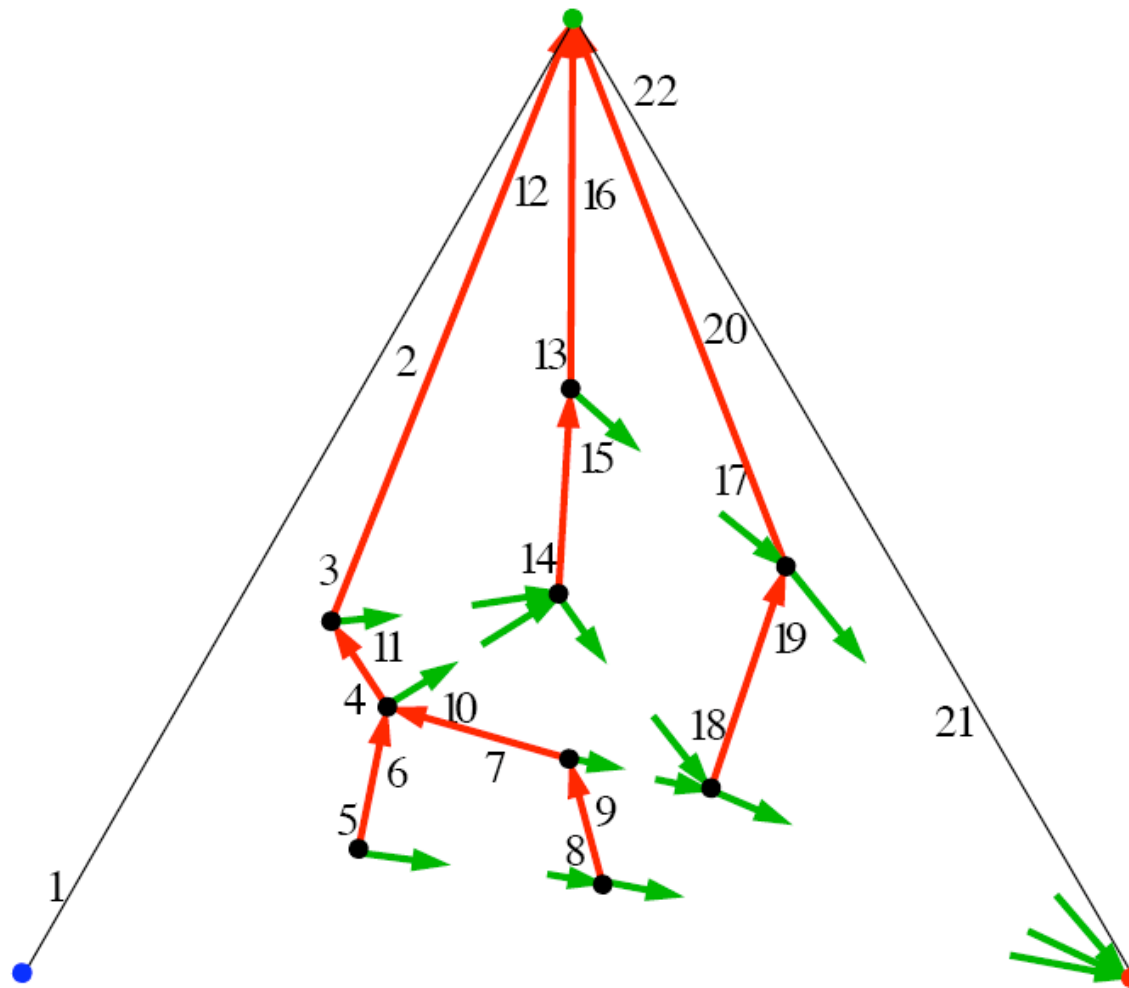
# Encoding a planar triangulation

- Some information is **redundant**



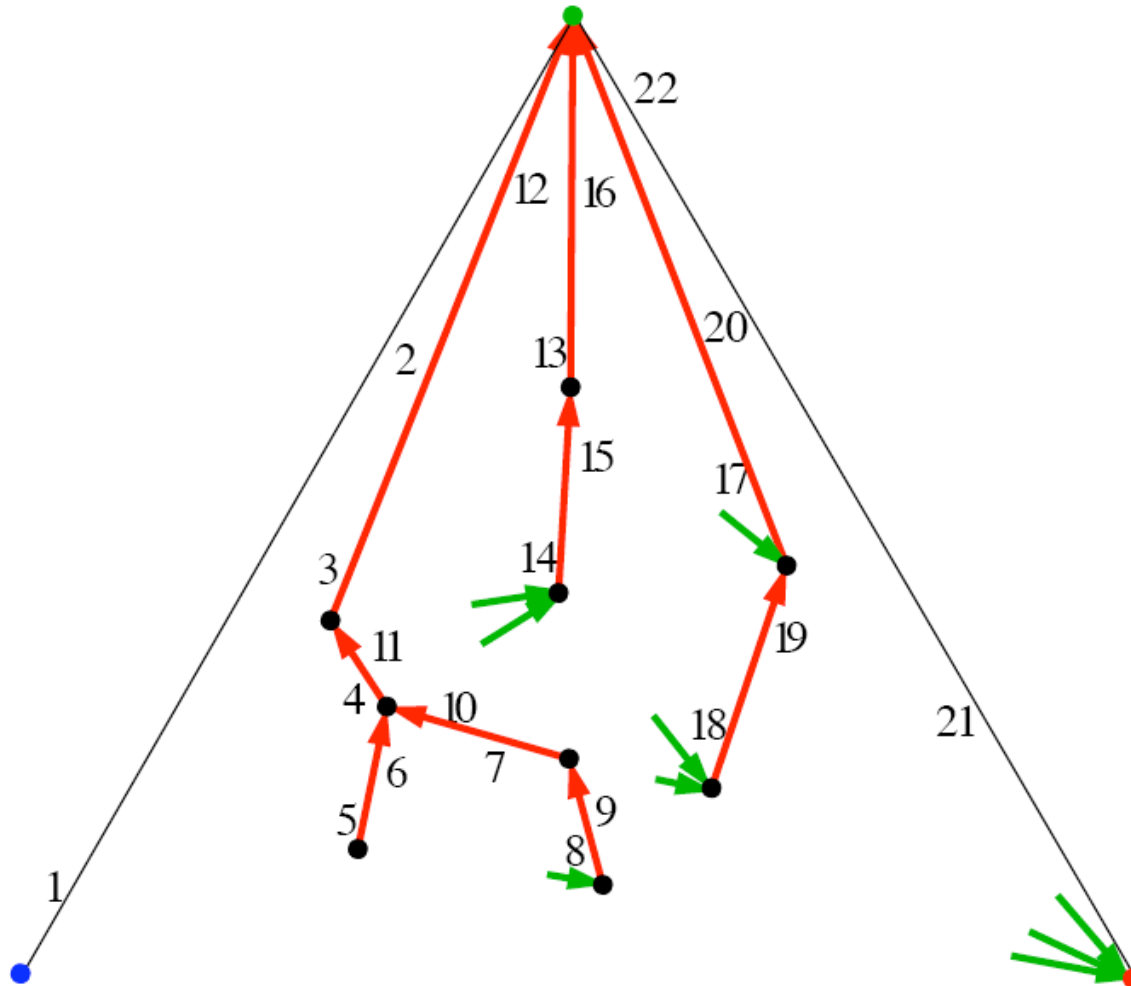
# Encoding a planar triangulation

- Some information is **redundant**



# Encoding a planar triangulation

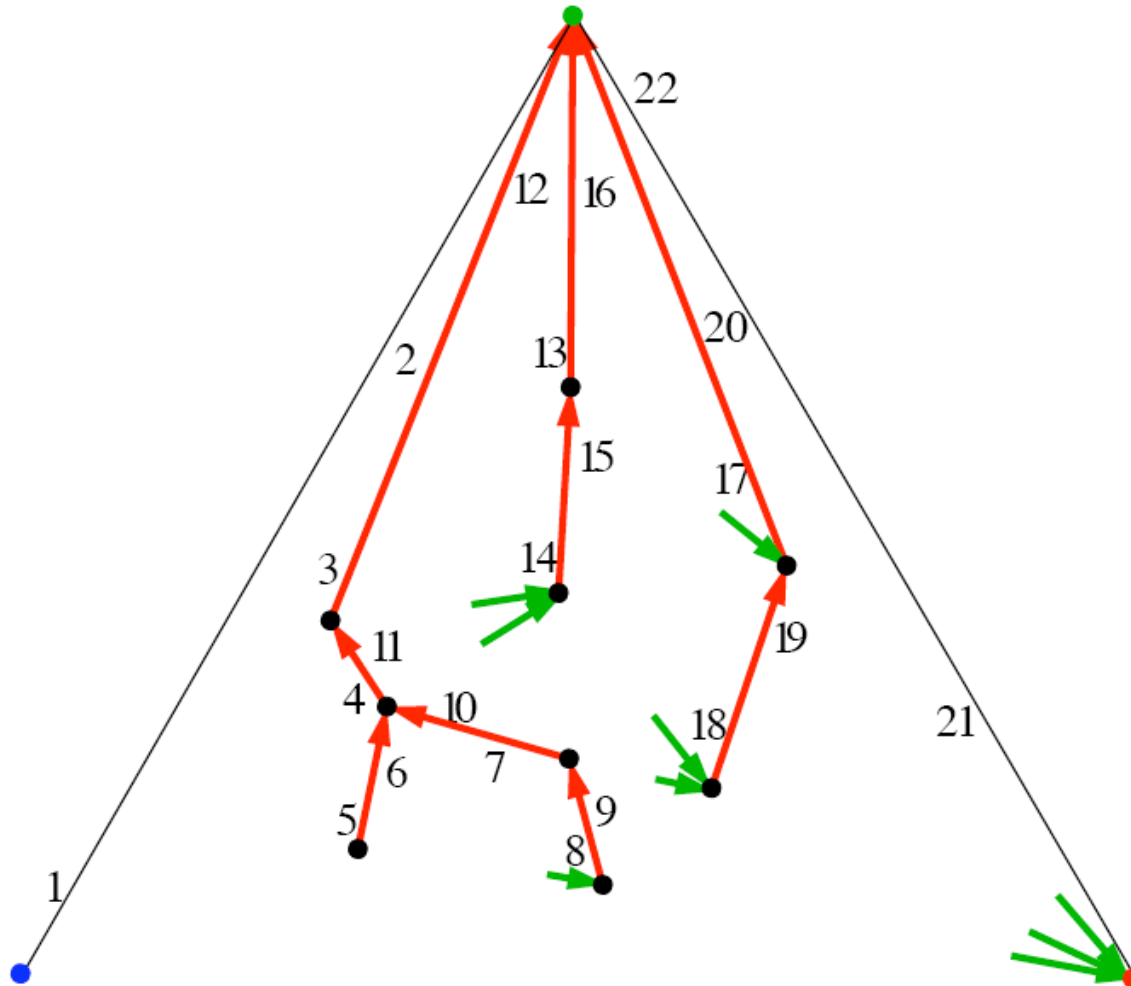
- Some information is **redundant**



can erase  
green outer  
half-edges

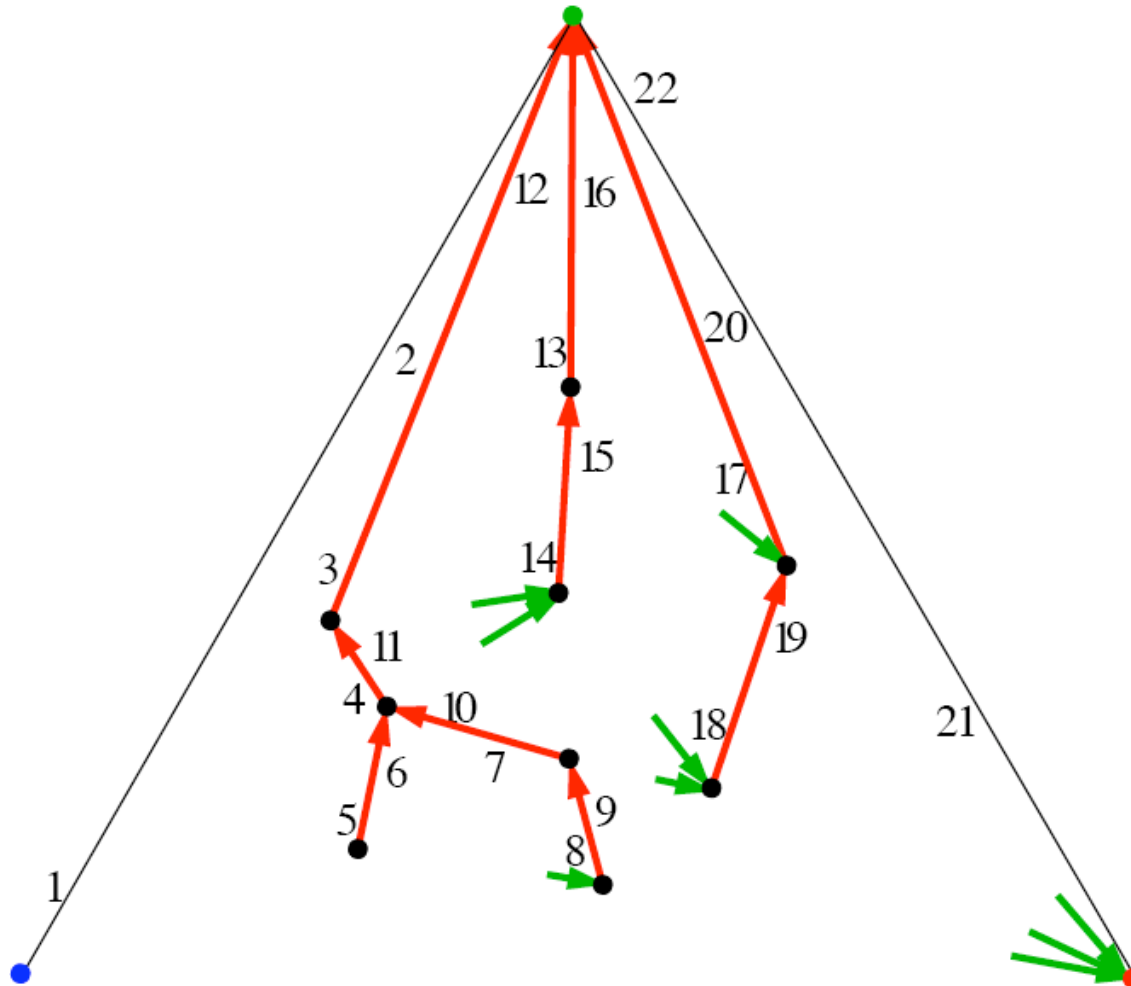
# Encoding a planar triangulation

- Some information is redundant



# Encoding a planar triangulation

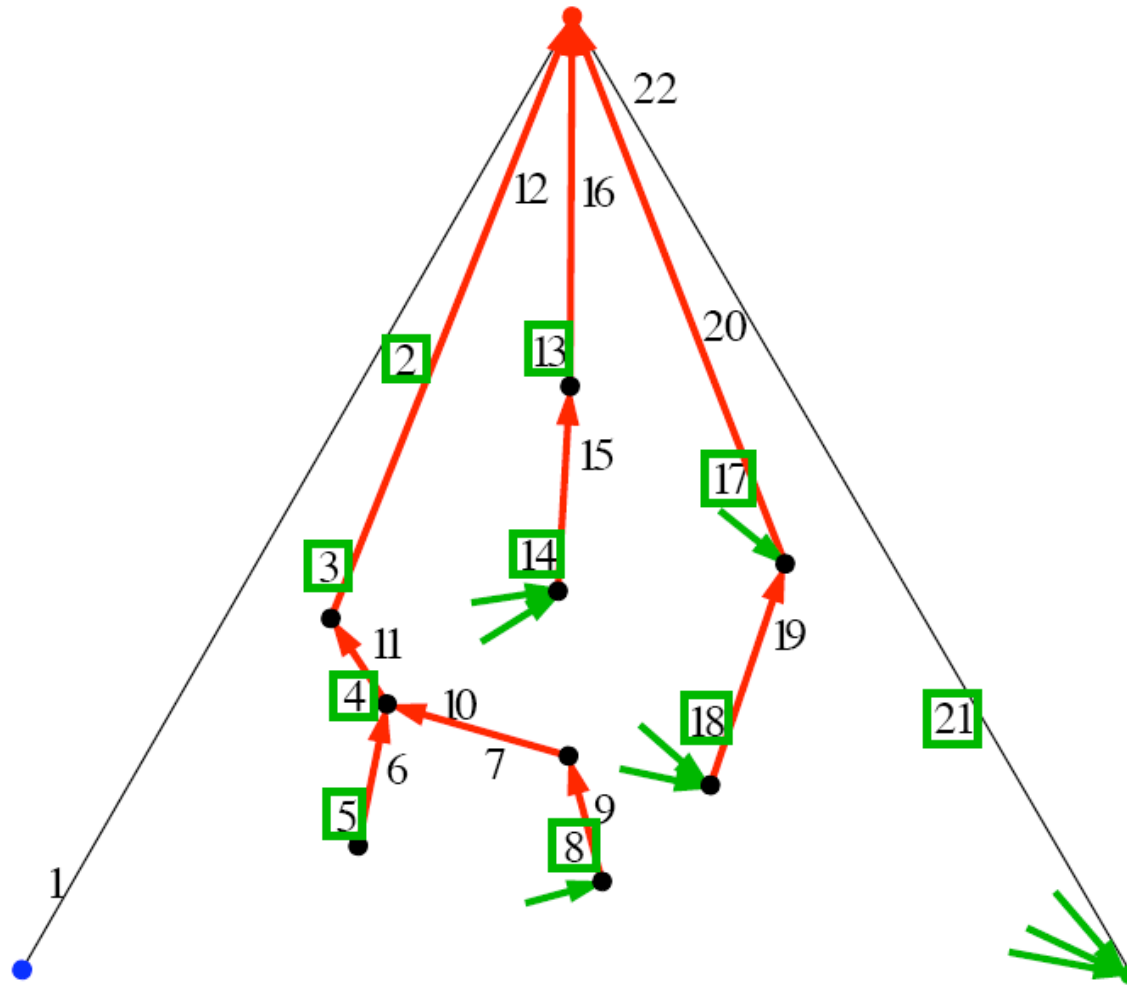
- Some information is **redundant**



locate corners  
that can have  
ingoing green  
half-edges

# Encoding a planar triangulation

- Some information is **redundant**

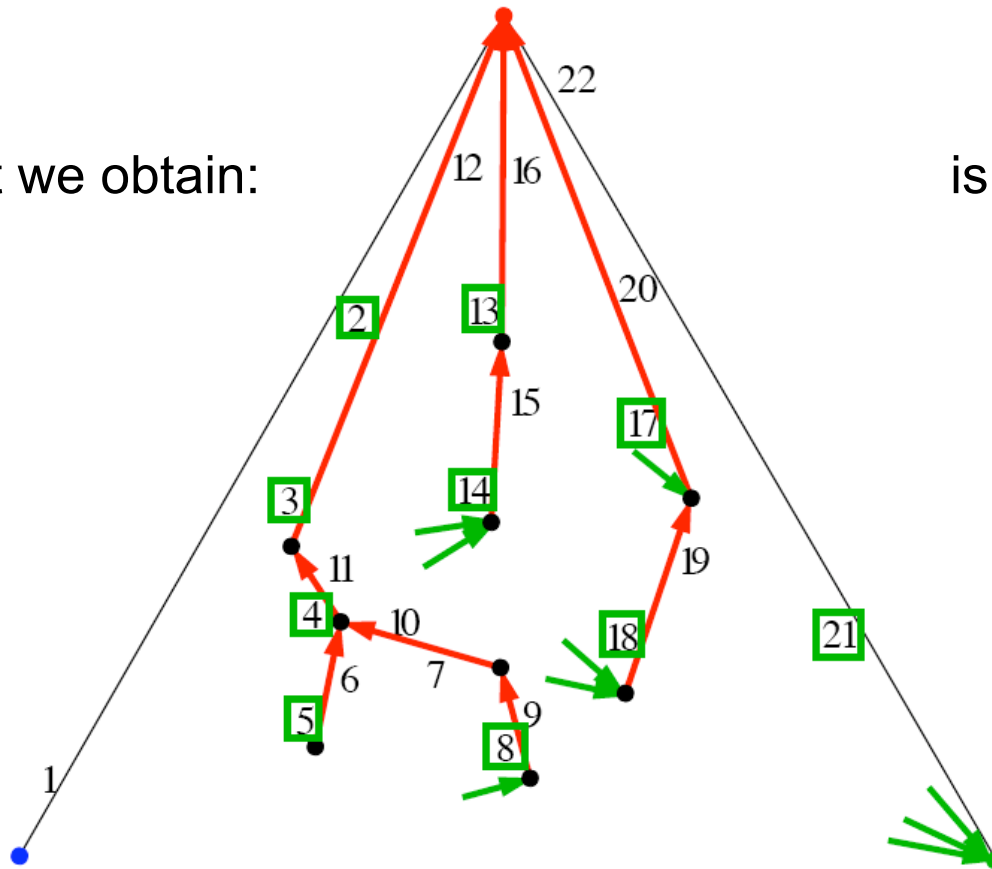


locate corners  
that can have  
ingoing green  
half-edges

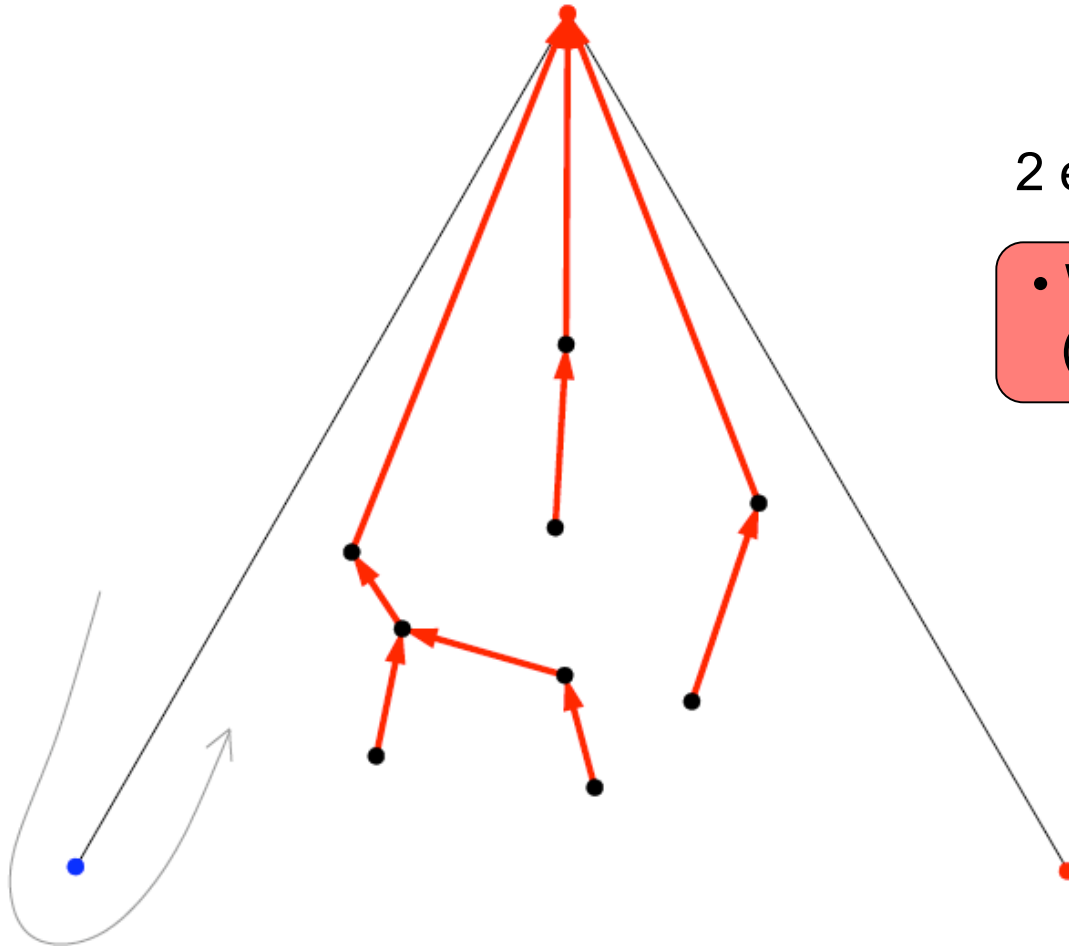
# Encoding a planar triangulation

What we obtain:

is coded by **2 words**:



# Encoding a planar triangulation

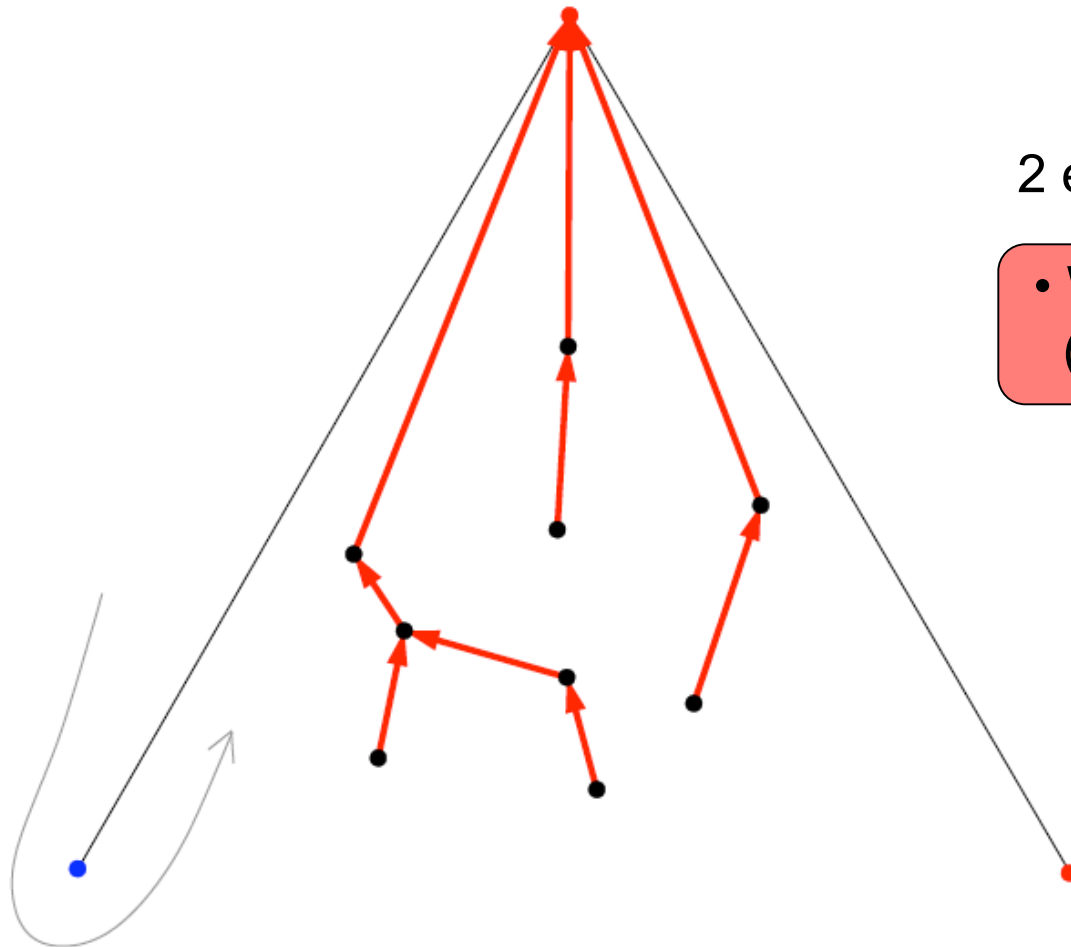


2 encoding words:

- $W_R$  codes the red tree (Dyck word)



# Encoding a planar triangulation



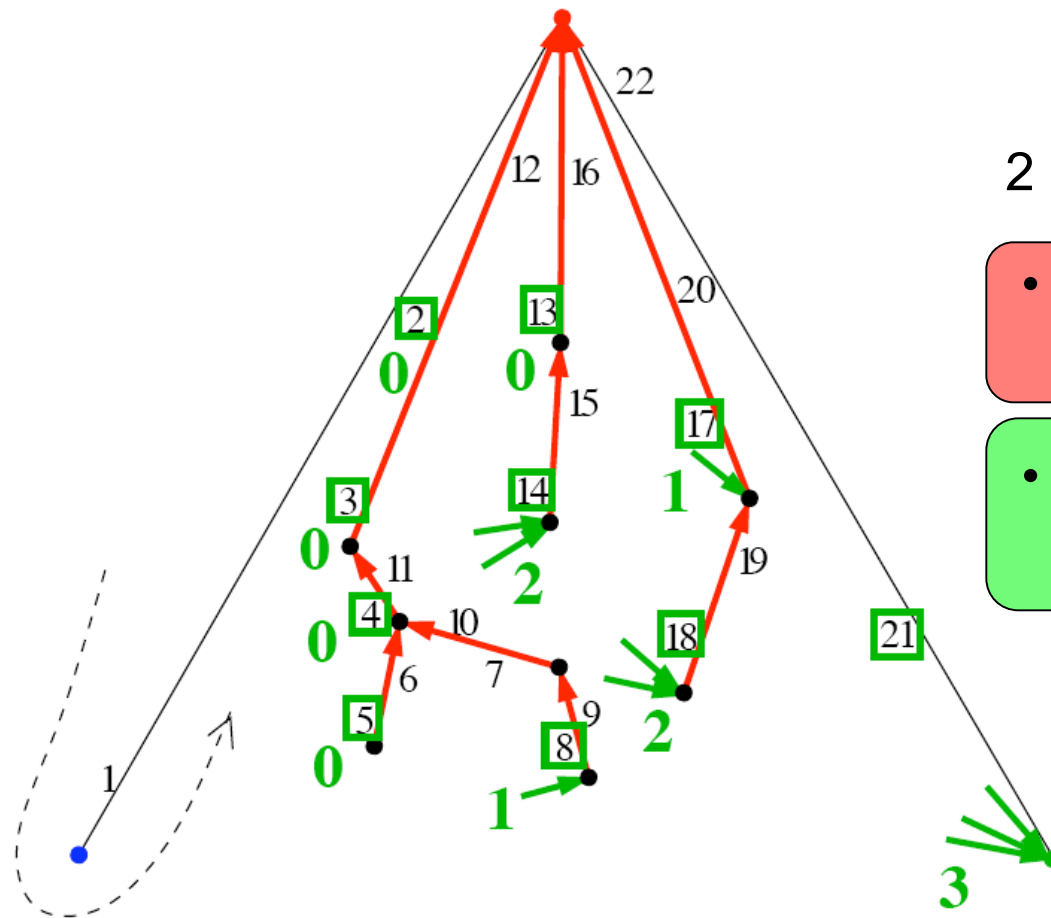
2 encoding words:

- $W_R$  codes the red tree (Dyck word)

1)  $W_R = \text{abaaabaabbbbbaabbaabbab}$

$W_R$  has length  $2n-2$ ,

# Encoding a planar triangulation



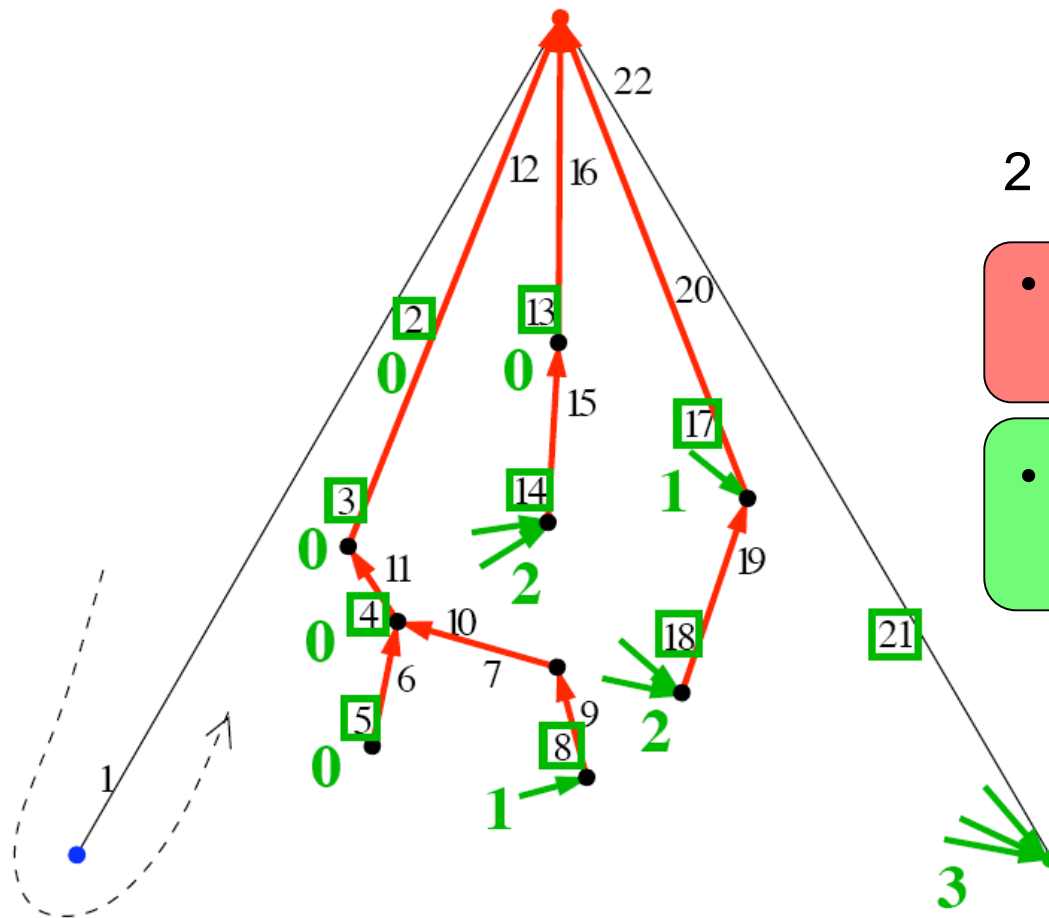
2 encoding words:

- $W_R$  codes the red tree (Dyck word)
- $W_G$  codes green indegrees at framed corners

1)  $W_R = \text{abaaabaabbbbbaabbaabbab}$

$W_R$  has length  $2n-2$ ,

# Encoding a planar triangulation



2 encoding words:

- $W_R$  codes the red tree (Dyck word)

- $W_G$  codes green indegrees at framed corners

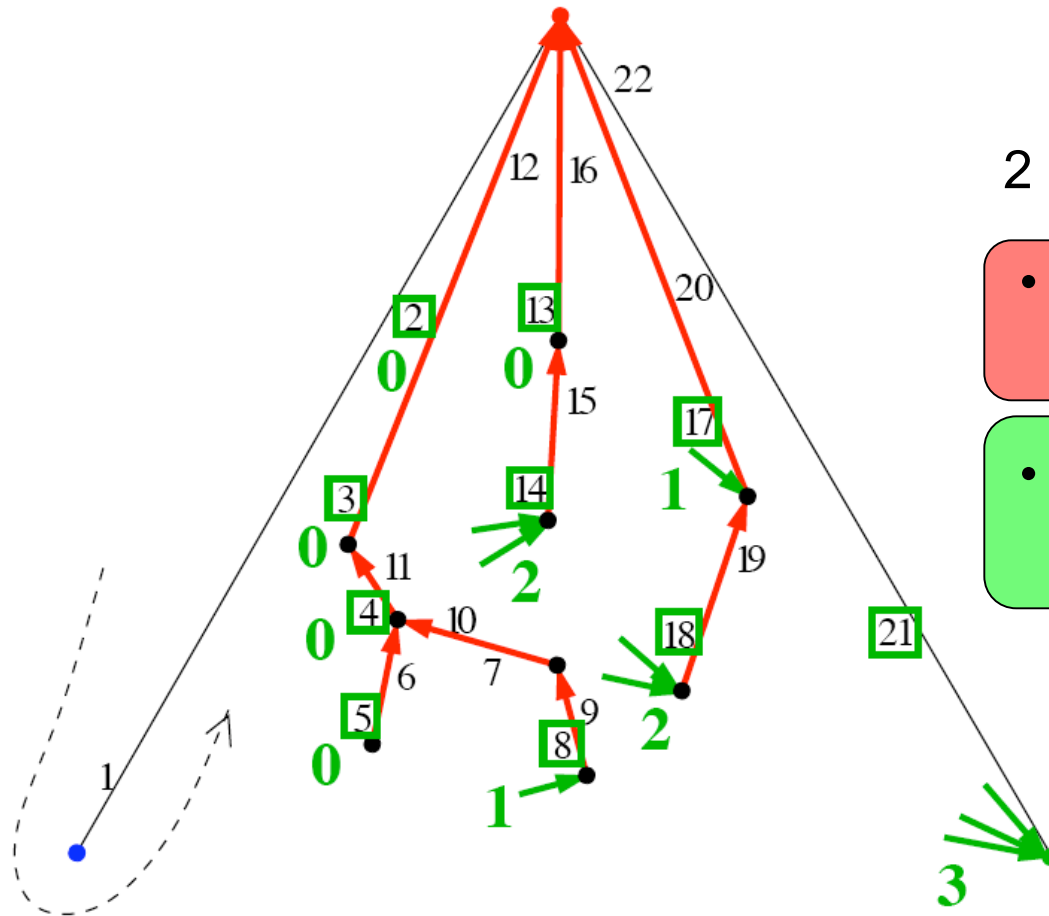
1)  $W_R = \text{abaaabaabbbbbaabbaabbab}$

2)  $W_G = 0, 0, 0, 0, 1, 0, 2, 1, 2, 3$

$W_R$  has length  $2n-2$ ,

$W_G \simeq$  binary word length  $2n-6$

# Encoding a planar triangulation



2 encoding words:

- $W_R$  codes the red tree (Dyck word)

- $W_G$  codes green indegrees at framed corners

1)  $W_R = \text{abaaabaabbbbbaabbaabbab}$

2)  $W_G = 0, 0, 0, 0, 1, 0, 2, 1, 2, 3$

$W_R$  has length  $2n-2$ ,

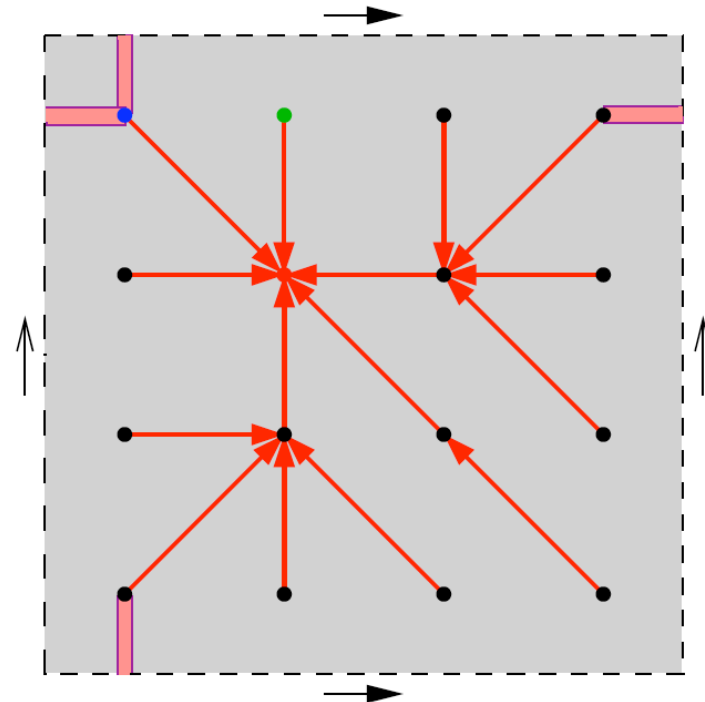
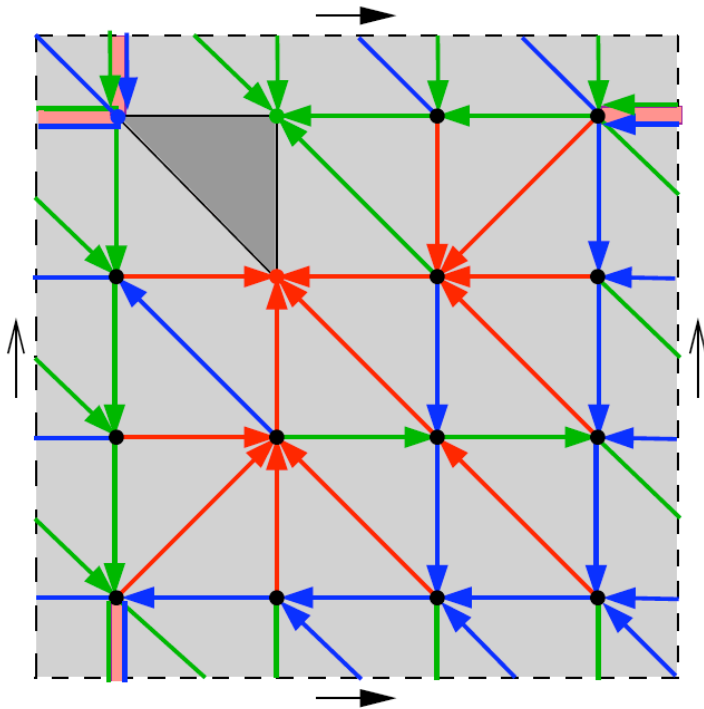
$W_G \simeq$  binary word length  $2n-6$

$\Rightarrow$

code length is  $4n-8$

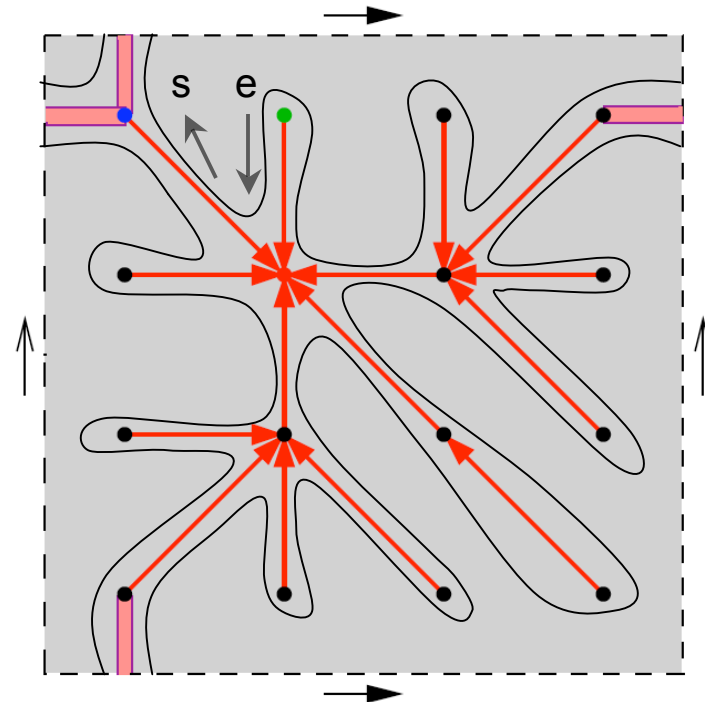
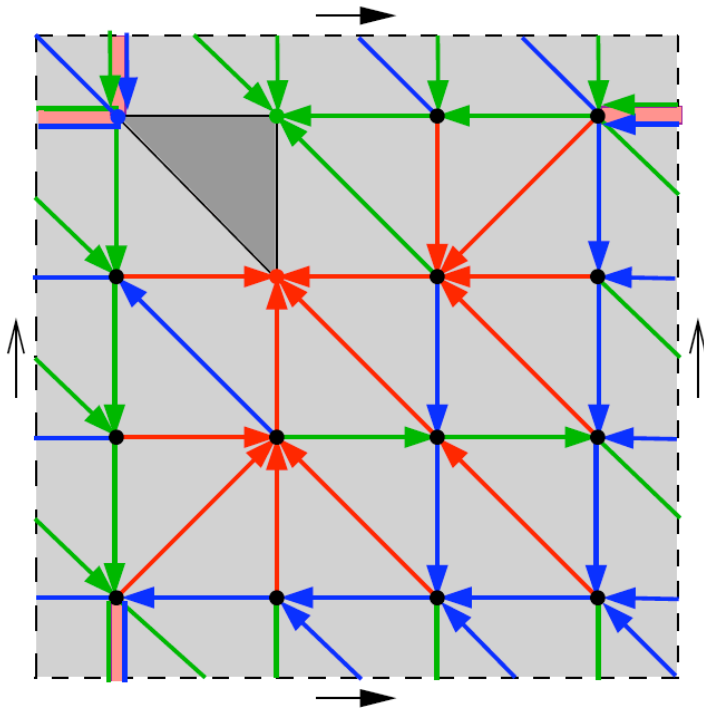
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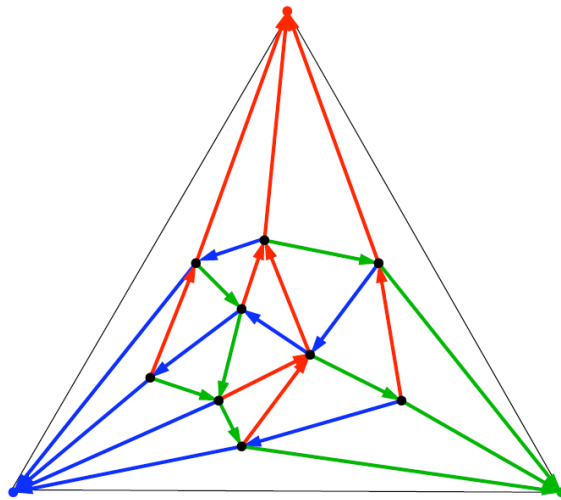
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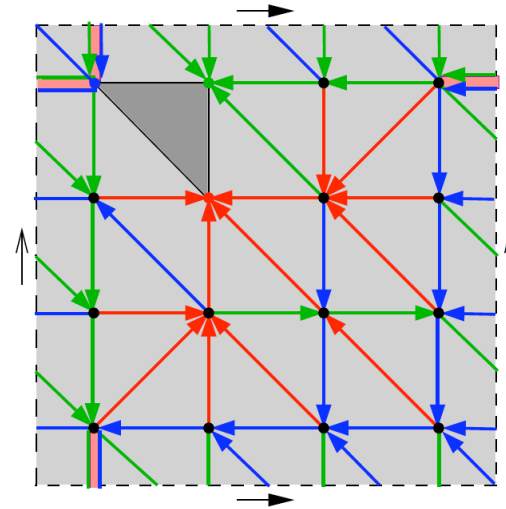
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- In genus 0, **bijective coding** [Poulalhon-Schaeffer'03] **optimal**
- In **higher genus**, best known rate is  $4n+O(g \log(n))$  bits:
  - our encoding based on Schnyder woods
  - Edgebreaker of [Rossignac et al]

# Conclusion

- We extend definition/computation of Schnyder woods to higher genus



planar



toroidal

- In genus  $g > 0$ , there are  $2g$  'special' edges
- Schnyder wood  $\rightarrow$  code triangulation of genus  $g > 0$  in  $4n + O(g \log(n))$  bits